

# Bioprocesses and Downstream Processing

*Transfer phenomena*

Dr. Kurt Eyer

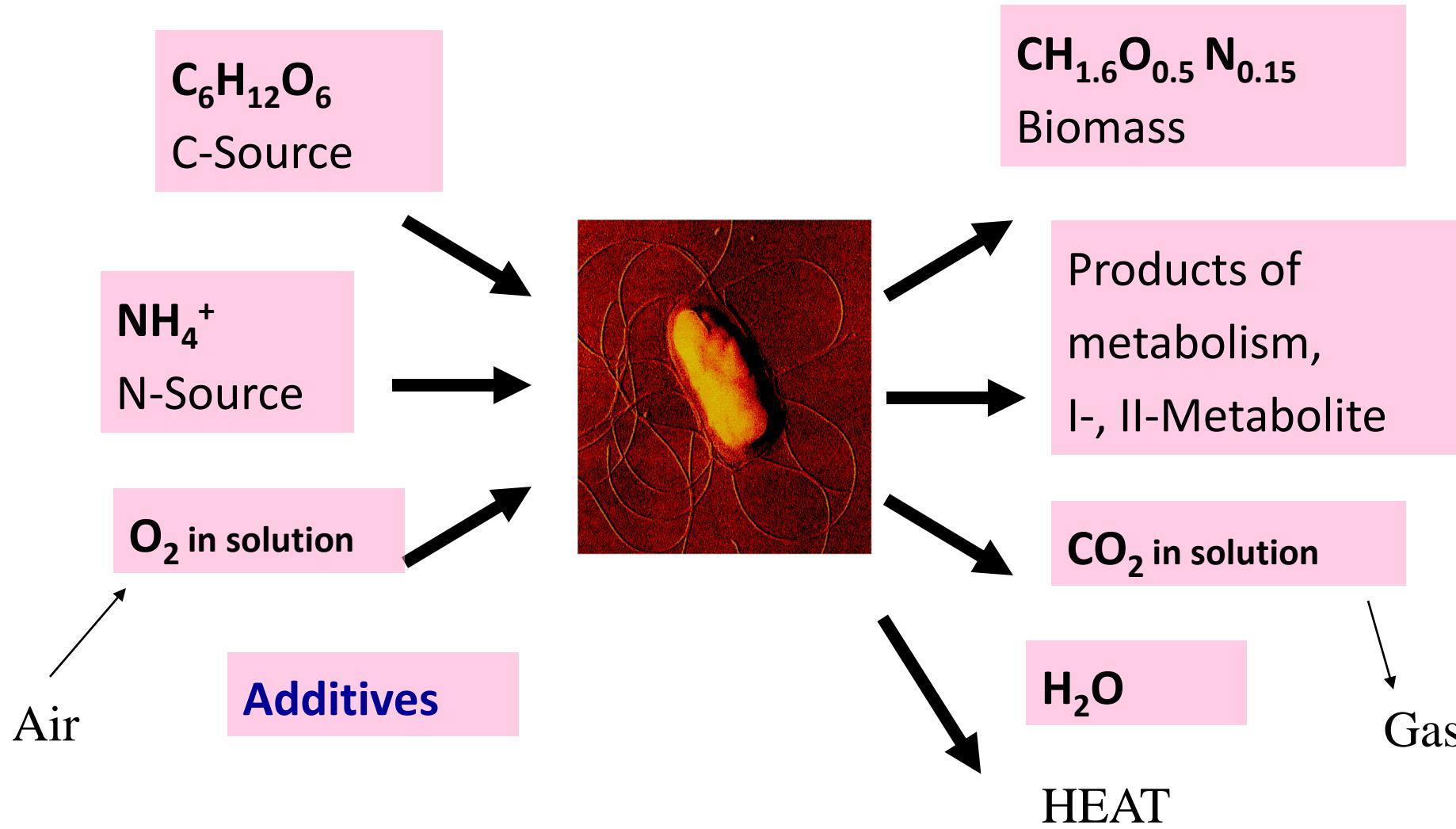
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# Basic mass transfer

The rate of mass transfer of a gas into a liquid can be represented by the basic relationship

$$\text{Rate} = \text{driving force}/\text{resistance}$$



# Tasks of a bioreactor

## *Mixing:*

Stirrer  
Static mixer  
Movement guidance (Loops)

## *Dividing:*

Static:  
Ring nozzle  
Hole plate  
Sinter stones

Dynamic:  
Jets  
Stirrer

## *Heat Transport:*

Double coat  
Heating / Cooling system  
externe heat exchangers

## *Energy Transport*

mechanical  
pneumatical  
hydrodynamical  
Combined

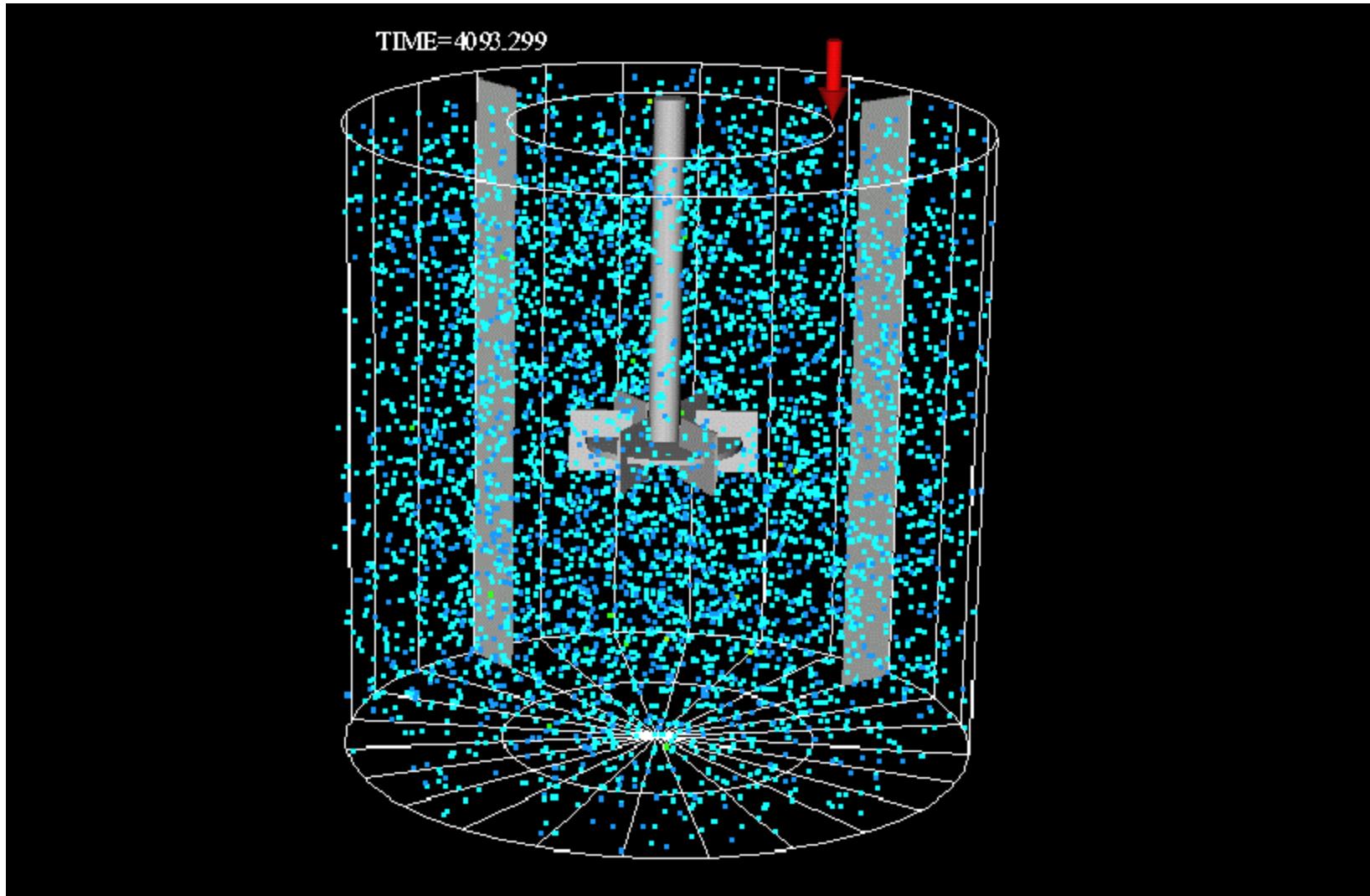
# Tasks of a bioreactor

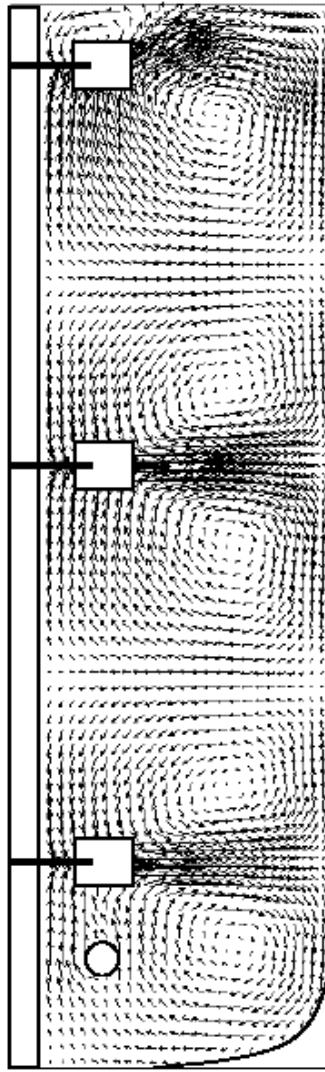
**Rühren und Begasen...**



# Tasks of a bioreactor

\Vorlesung\Turbulenz.avi



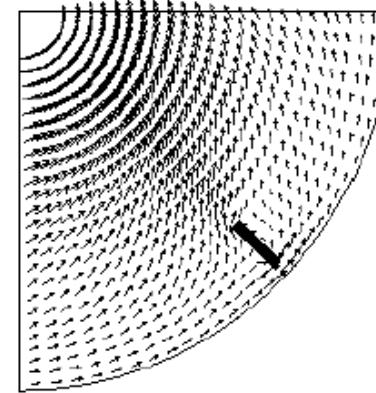


Strömungsfeld: Zeitpunkt 1

$V = 300 \text{ l}$

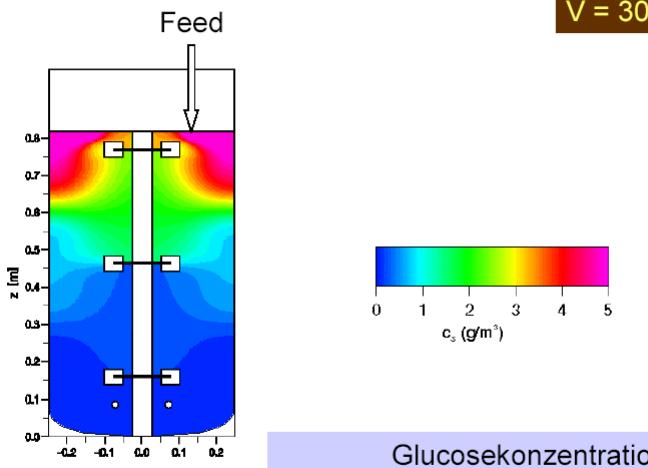
zwischen den  
Strombrechern

$z = 0.572 \text{ m}$



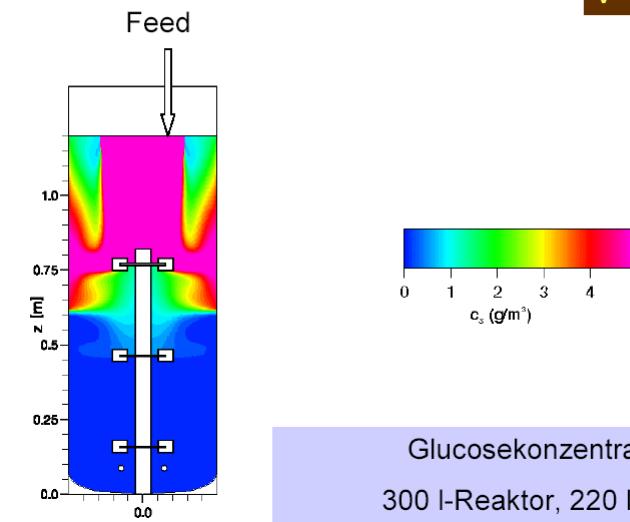
Strömung im 300 l-Reaktor  
bei 150 l Inhalt

### Substratverteilung Zeitpunkt 1



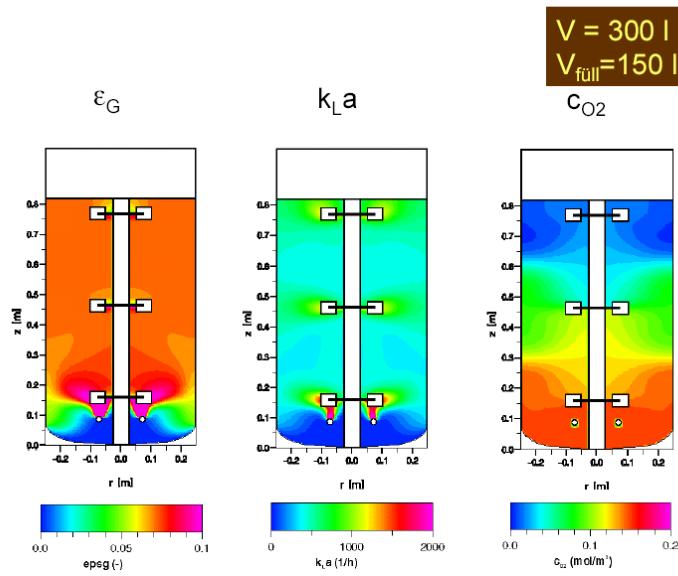
Glucosekonzentration  
300 l Tank, 150 l Inhalt

### Substratverteilung Zeitpunkt 2



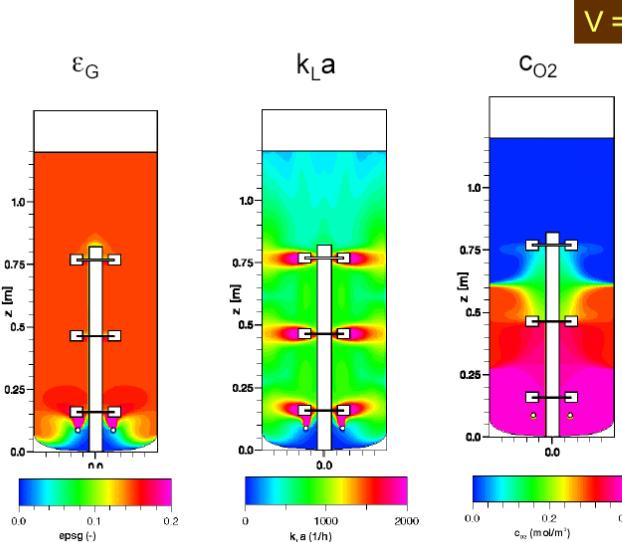
Glucosekonzentration  
300 l-Reaktor, 220 l Inhalt

### Parameter: Zeitpunkt 1



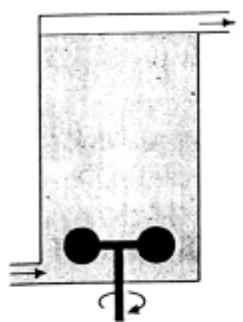
Video Mixing

### Parameter: Zeitpunkt 2

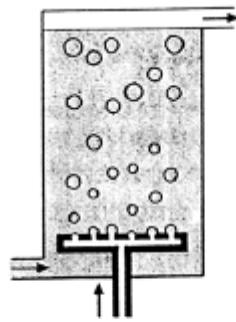


300 l-Reaktor, 220 l Inhalt

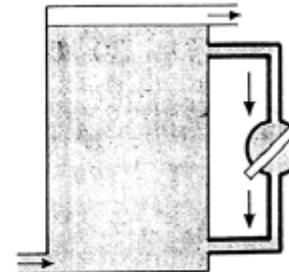
# Tasks of a bioreactor



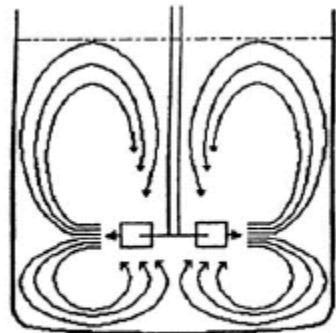
1. Mechanische



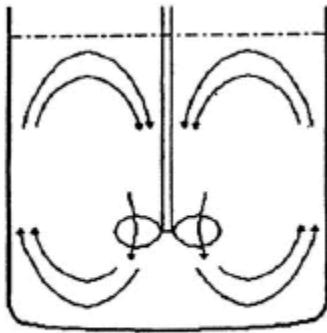
2. Pneumatische  
Energieeintragung



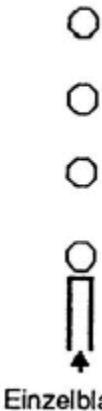
3. Hydrodynamisch



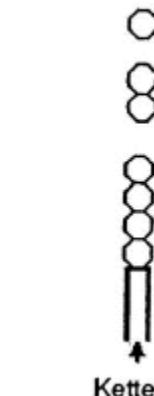
Turbinenrührer



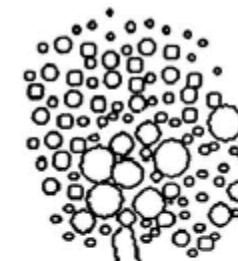
Propellerrührer



Einzelblasen

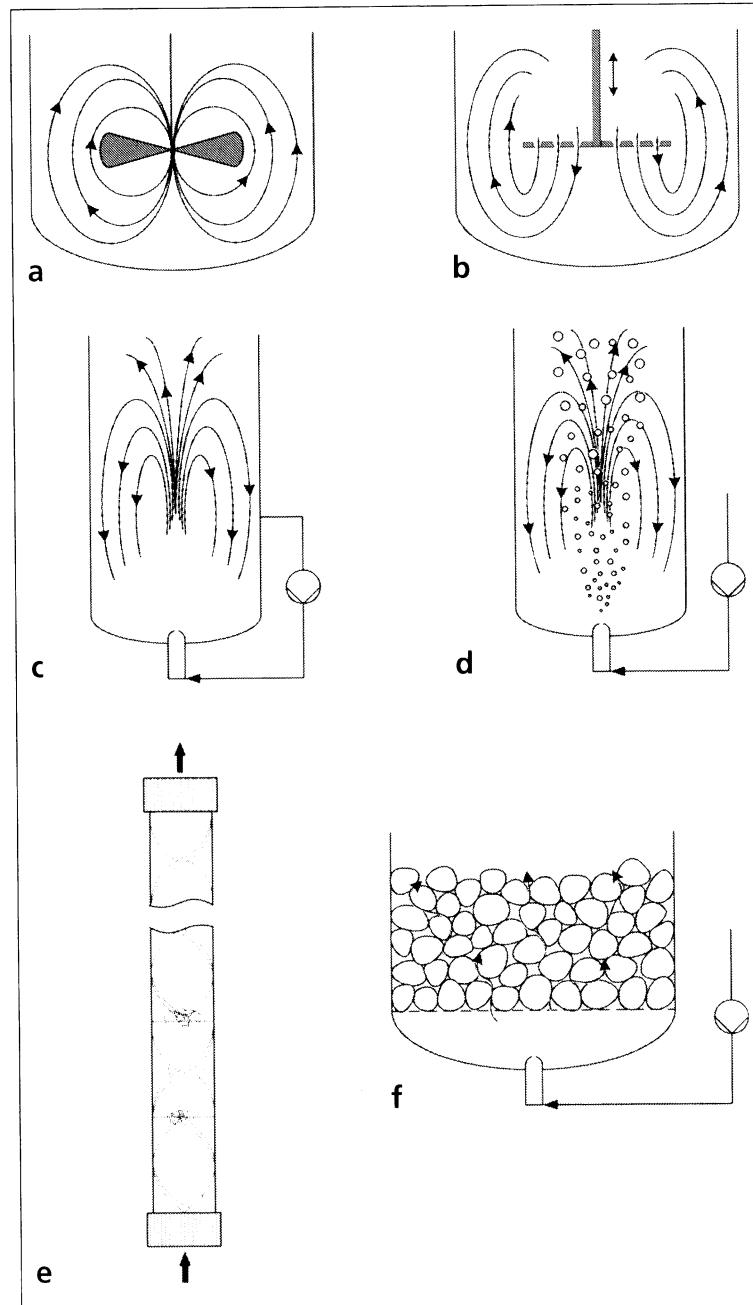


Ketten



Jet

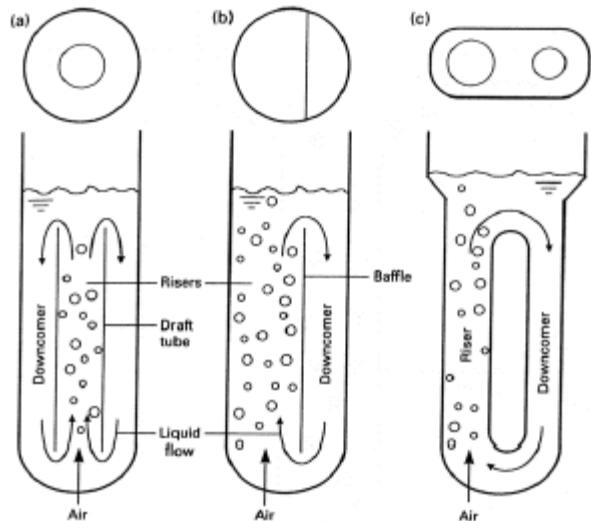
Dividing



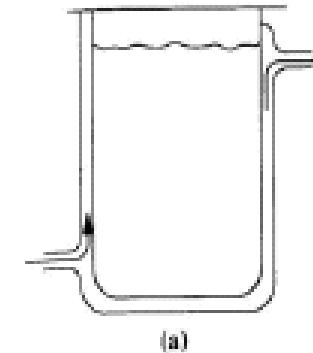
**Abb. 7.1** Einteilung der Mischer nach Energieeintrag und Strömungsführung  
a) rotierende Welle, b) Siebplatte, c) Freistrahl, d) Gas-eintrag, e) statisch, f) Festbett

# Tasks of a bioreactor

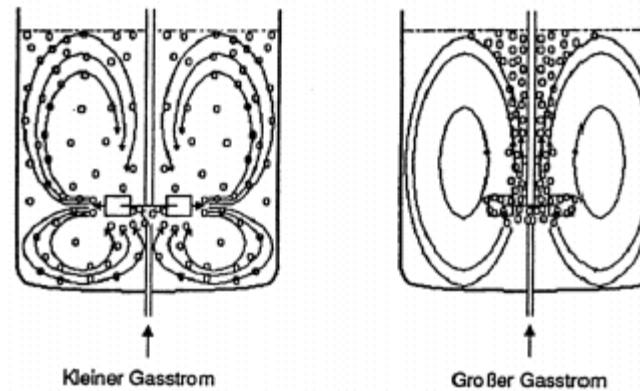
Movement guidance (loops)



Heat Transport: Double coat



Mixing Dynamics



# Bioprocess mass transfer

## 3 phase systems:

gas-liquid  
liquid-liquid  
Liquid-solid  
(gas-solid)

Mass transfer across phase boundaries important, requiring efficient:

Mixing/ agitation  
Aeration/ hold- up  
Shear  
Heat transfer

# Mass transfer: gas-liquid

**Critical factors:**

OTR- oxygen transfer rate

CER- carbon dioxide evolution rate

**Example of CO<sub>2</sub> toxicity:**

pCO <sub>2</sub> in Inlet air (%)	Relative product yield
0	100
1	66
2	15
3	0
4	0

# CO<sub>2</sub> mass transfer

Example of a dissolved gas which can undergo liquid phase reactions

$$C_t = [CO_2] + [H_2CO_3] + [HCO_3^-] + [CO_3^{2-}]$$

Concentration of each species depends on pH:

---

pH	Major species
<5	CO <sub>2</sub>
7-9	Bicarbonate
>11	Carbonate

# Oxygen Transfer

**Important because:**

Non-reacting gas in aqueous solution

A major substrate for aerobic processes

Poorly soluble in aqueous culture media

Frequently growth limiting

Often dictates bioreactor configuration

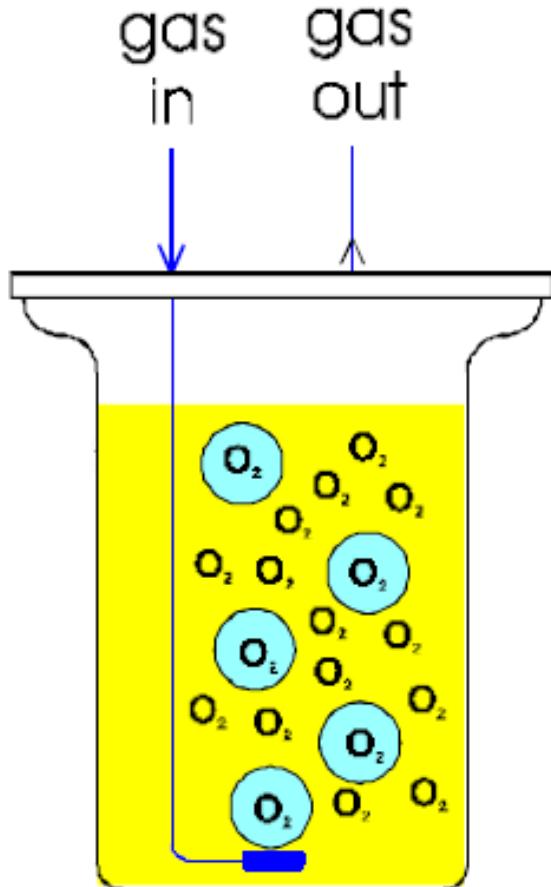
Solubility of O<sub>2</sub> in 1 litre H<sub>2</sub>O at 20°C:

$$0.3 \text{ mM} = 9 \text{ ppm} = \text{mg l}^{-1}$$

*But:*

*solubility decreases with temperature and salt  
concentration*

# Gas / Liquid mass transfer

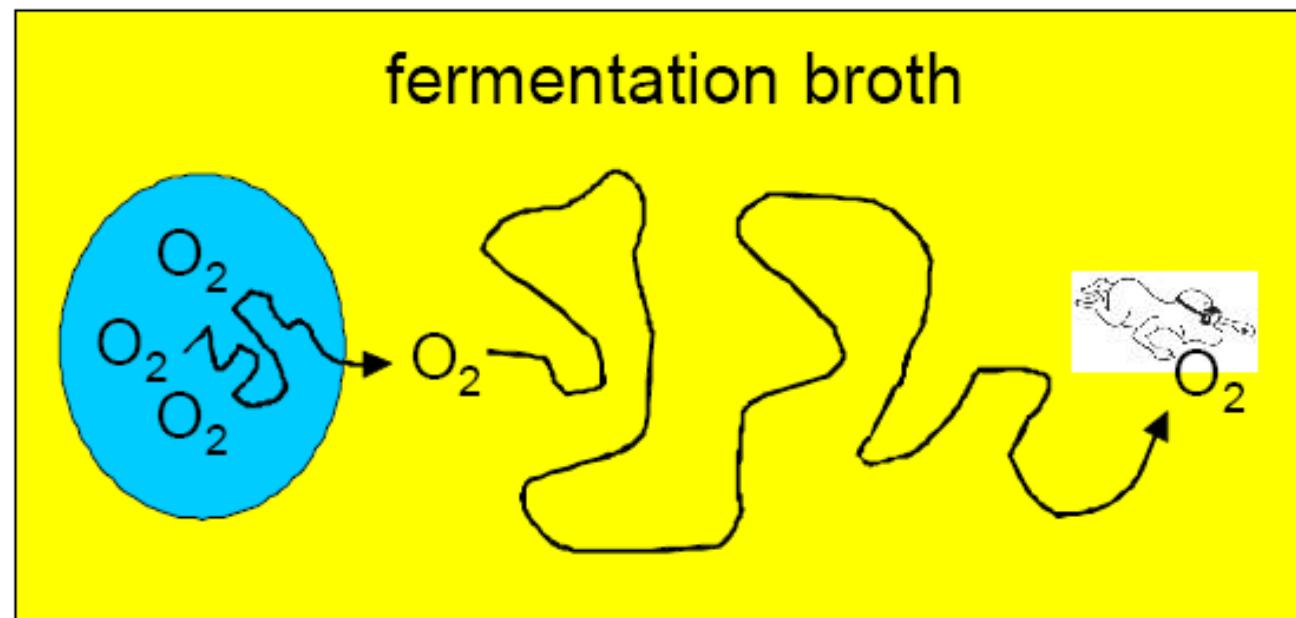


- Microorganisms can take up only dissolved gaseous substrates from **aqueous media**. Therefore an oxygen molecule must escape from its gas bubble and dissolve into the fermentation broth before it can be metabolised.
- This step is known more generally as **gas/liquid mass transfer**.

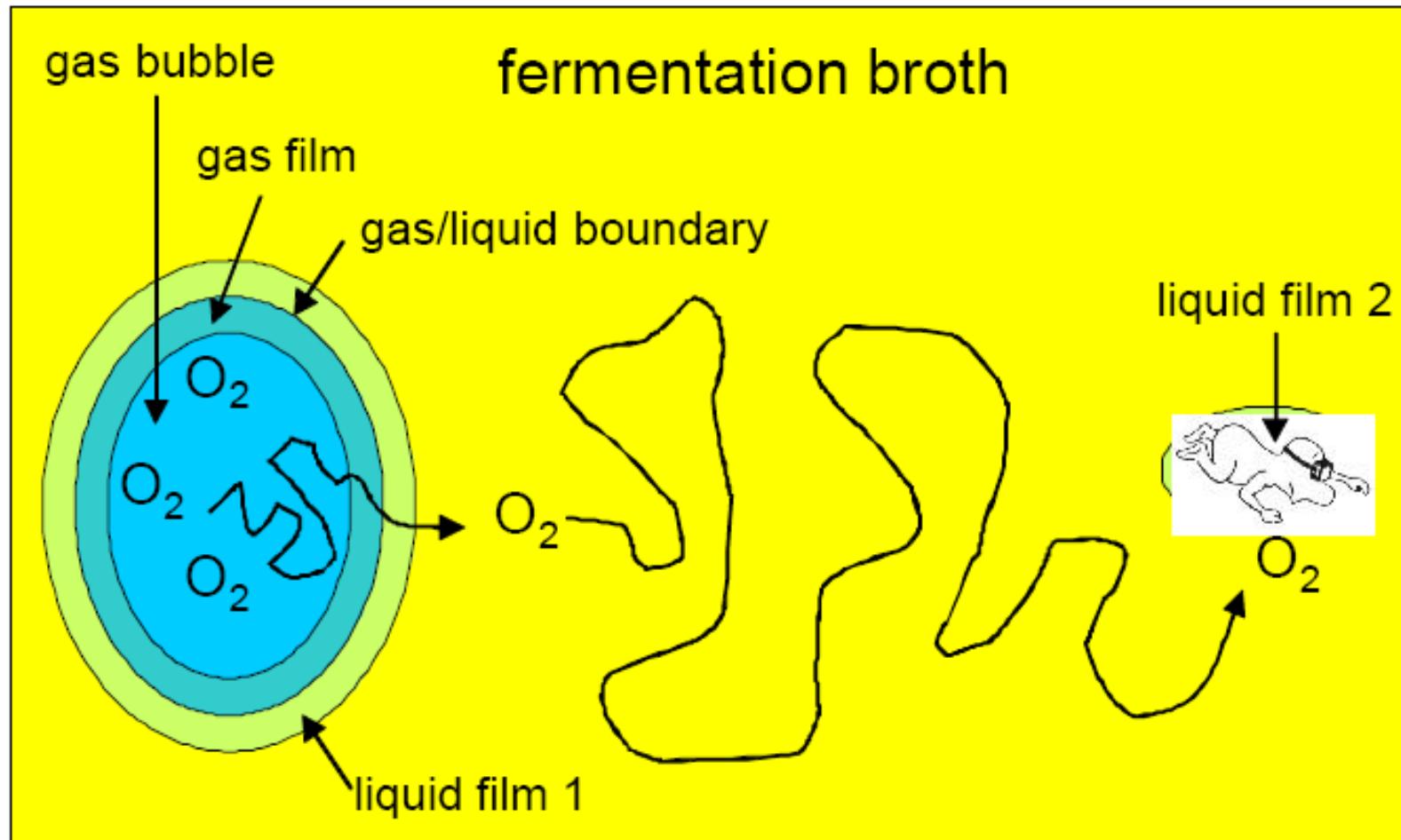
Video: principles of oxygen transfer

# Gas / Liquid mass transfer

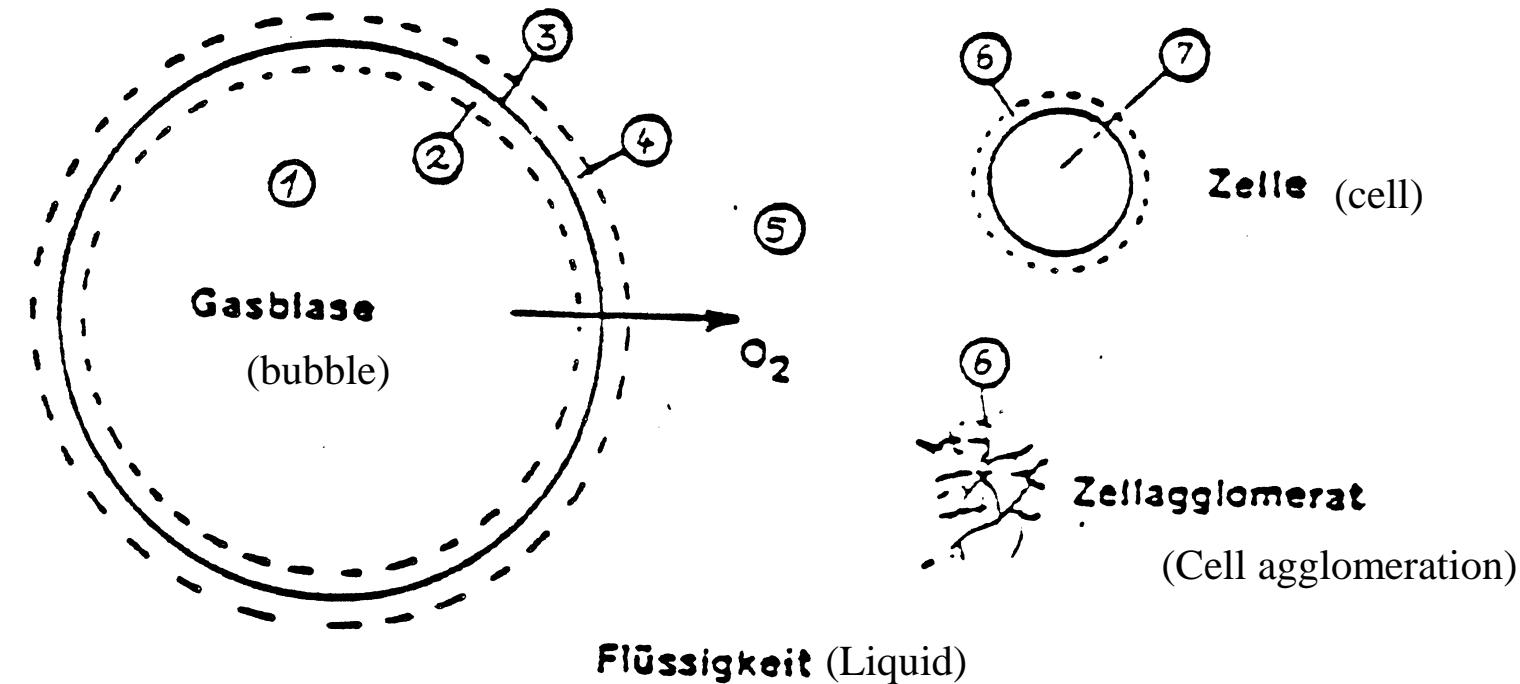
In order to describe the oxygen mass transfer in a bioreactor, a more detailed understanding of the transport procedures of oxygen molecules from **gas bubbles** → **bulk liquid** → **microorganisms** is necessary.



# Two film model (2)

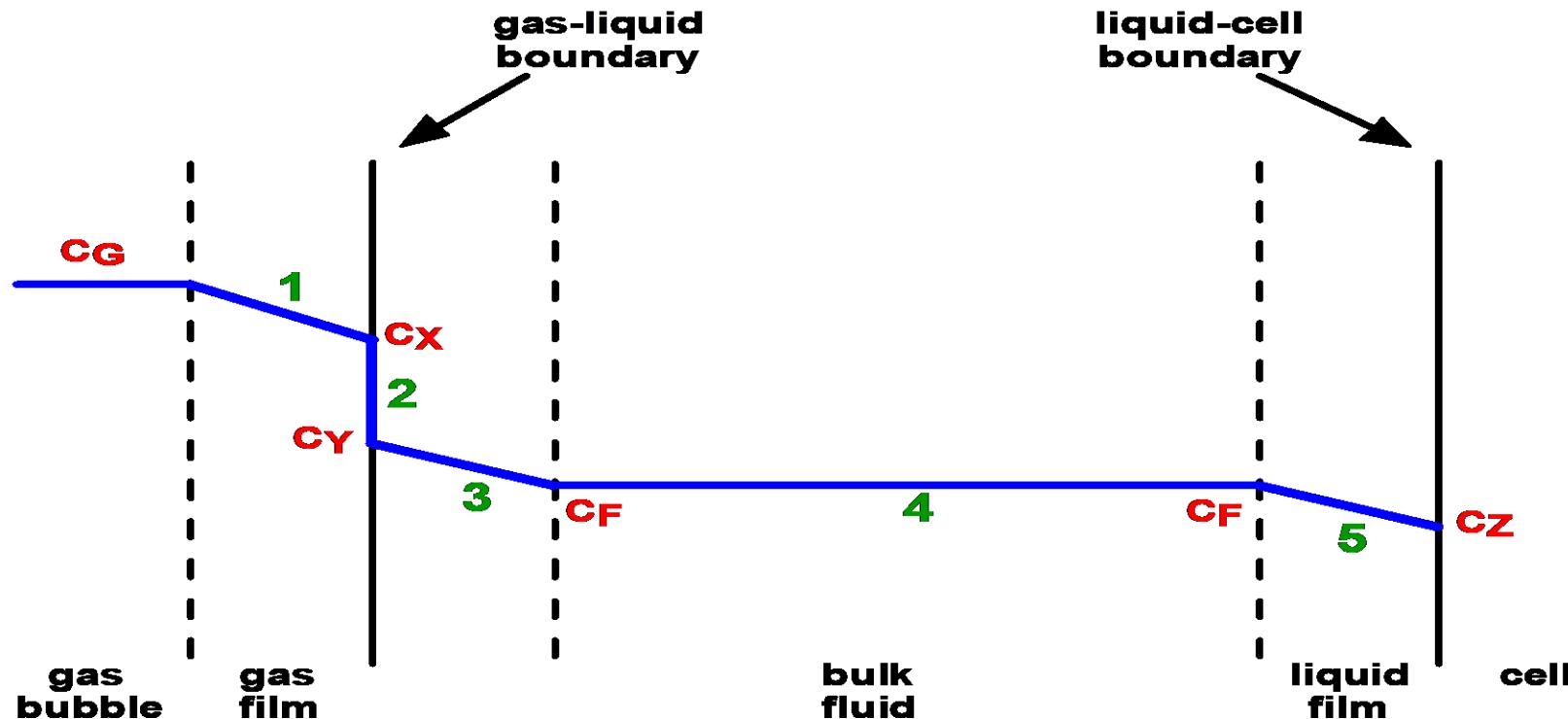


# Resistance to oxygen transfer from air bubble to microbial cell



- 1. Convection
- 2. Diffusion
- 4. Diffusion
- 5. Convection
- 6. Diffusion
- 7. uptake

# Resistance to oxygen transfer from air bubble to microbial cell



# Oxygen Transfer Rate OTR

If the concentration difference  $\Delta c$  is replaced by the difference of the oxygen saturation concentration  $c_{O_2}^*$  and the actual measured concentration of oxygen  $c_{O_2}$ , following equation for the oxygen transfer rate OTR results:

$$\mathbf{OTR} = k_L a \cdot (c_{O_2}^* - c_{O_2})$$

OTR [mg/(l·h)] oxygen transfer rate

$k_L a$  [1/h] volumetric mass transfer coefficient

$c_{O_2}^*$  [mg/l] (liquid phase) oxygen saturation concentration

$c_{O_2}$  [mg/l] actual measured (liquid phase) oxygen concentration

# Volumetric mass transfer coefficient $k_L a$

- The **unit** of  $k_L$  results from a further definition for  $k_L$ :

$$k_L = D_G/d$$

$k_L$  [1/h] liquid film coefficient

$D_G$  [m<sup>2</sup>/h] diffusional coefficient

$d$  [m] liquid film width

- Multiplied by the unit of the specific surface area  $a$  [m<sup>2</sup>/m<sup>3</sup>] the **unit of  $k_L a$**  results as:

$$[ \frac{m^2}{h} \cdot \frac{1}{m} \cdot \frac{m^2}{m^3} = \frac{1}{h} ]$$

- Typical  $k_L a$ -values in bioreactors are in the range of **100 to 1000 1/h**.

# How is $k_L a$ affected? (1)

The value of the volumetric mass-transfer coefficient  $k_L a$  depends among other factors:

- On the **medium viscosity** ( $k_L$  and  $a$ ):
  - Increase of the viscosity leads to **thicker liquid films** (higher  $d$ ) =>  **$k_L a$  decreases.**
  - Increase of the viscosity leads to **bubble coalescence** (smaller  $a$ ) =>  **$k_L a$  decreases.**
- On the degree of **mixing** ( $k_L$ ):
  - Increase of mixing leads to an **increase of the relative velocity** between gas bubble and fluid phase =>  **$k_L a$  increases.**



## How is $k_L a$ affected? (2)

The value of the volumetric mass-transfer coefficient  $k_L a$  depends among other factors:

- On the employment of **surface-active substances** ( $k_L$  and  $a$ ):

- Antifoam agents **decrease  $k_L a$  substantially.**



- On the **salt concentration** ( $a$ ):

- An increase of the salt concentration reduces the **gas bubble size =>  $k_L a$  increases.**



**(But:** An increase of the salt concentration decreases the oxygen solubility!)

# How can OTR be increased? (1)

The following methods are frequently used to increase the oxygen transfer rate OTR:

- Increase of the **stirrer speed** = increase of the specific power input:
  - Shear stress reduces the gas bubble size.
  - Relative velocity between gas bubbles and fluid phase increases.
- Increase of the **aeration rate** (Caution: High aeration rates could lead to impeller flooding!)
  - Number of **gas bubbles** per unit volume increases.
  - Reduction of **O<sub>2</sub>-depletion** in the gas bubbles.

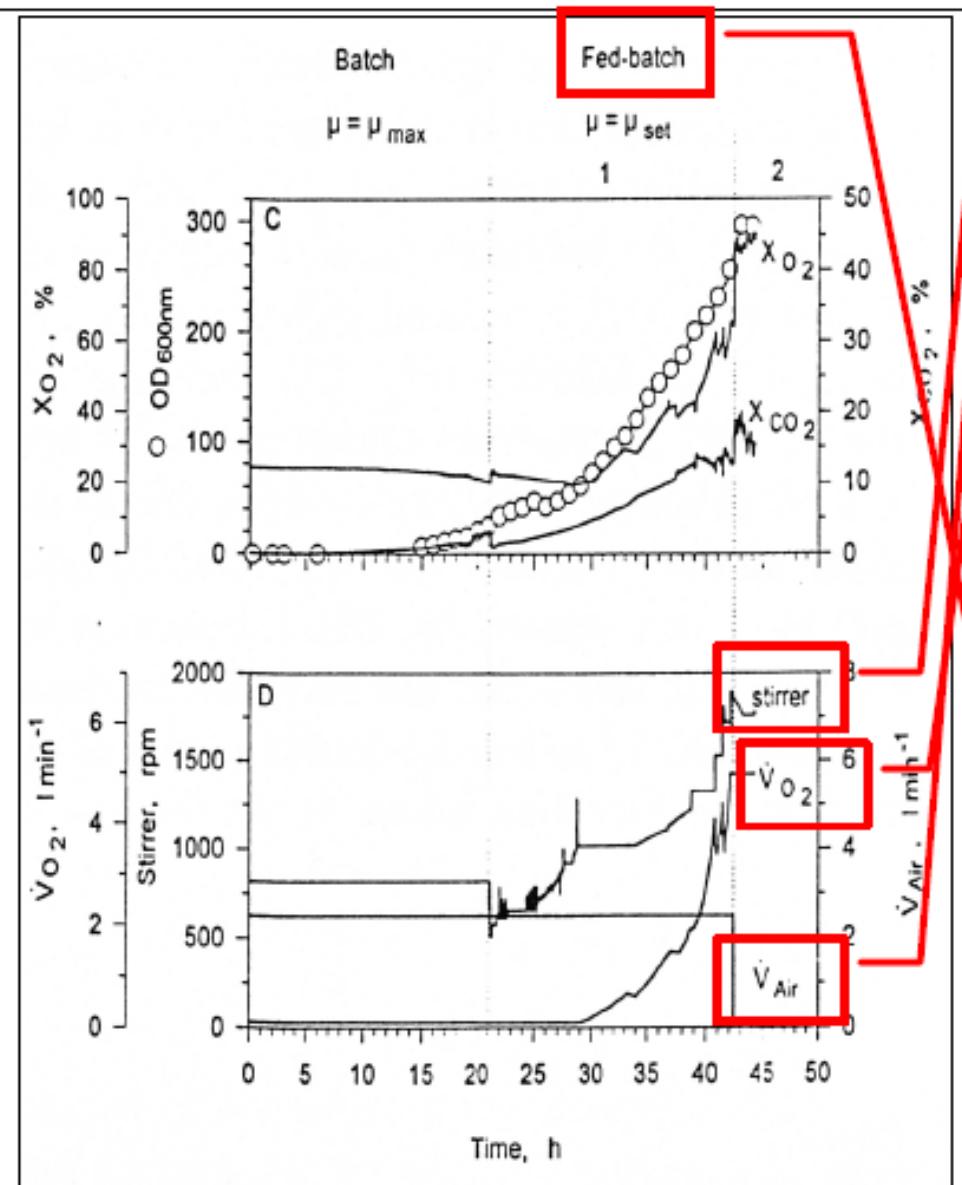


## How can OTR be increased? (2)

The following methods are frequently used to increase the oxygen transfer rate OTR:

- Increase of the **reactor pressure** to 2-3 bar:
  - $c_{O_2}^*$  and therefore  $\dot{c}$  increases.
- Enrichment of aeration air with **pure O<sub>2</sub>** (expensive!)
  - $c_{O_2}^*$  and therefore  $\dot{c}$  increases.
- Using of a fermentation protocol with a **low process temperature**:
  - $c_{O_2}^*$  and therefore  $\dot{c}$  increases.

## Measures to avoid $O_2$ -limitations during HCDC:

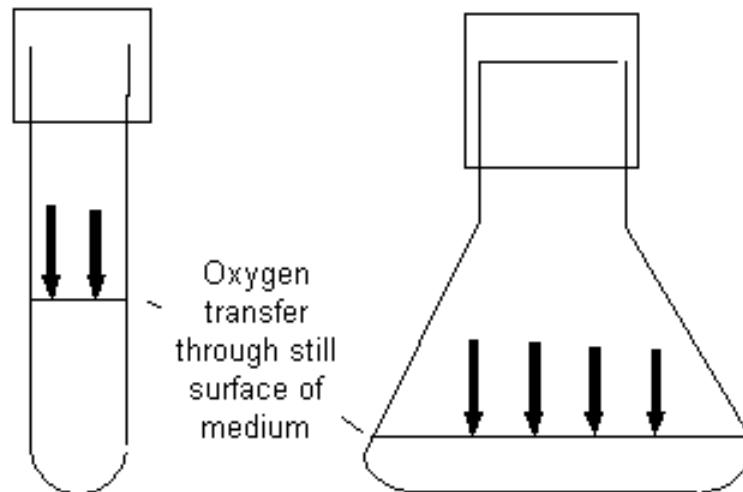


- Increase of the **stirrer speed**
  - Caution: Heat development
- Increase of the **aeration rate**
  - Caution: Impeller flooding
- Using of **pure oxygen** enrichment
  - Caution: Enrichment of  $CO_2$
- Using of a **fed batch strategy**
- Avoid excess amount of **antifoam agent**
- Increase of **reactor pressure**
  - Caution: Enrichment of  $CO_2$

# Oxygen Transfer

## Standing cultures

In standing cultures, little or no power is used for aeration. Aeration is dependent on the transfer of oxygen through the still surface of the culture.



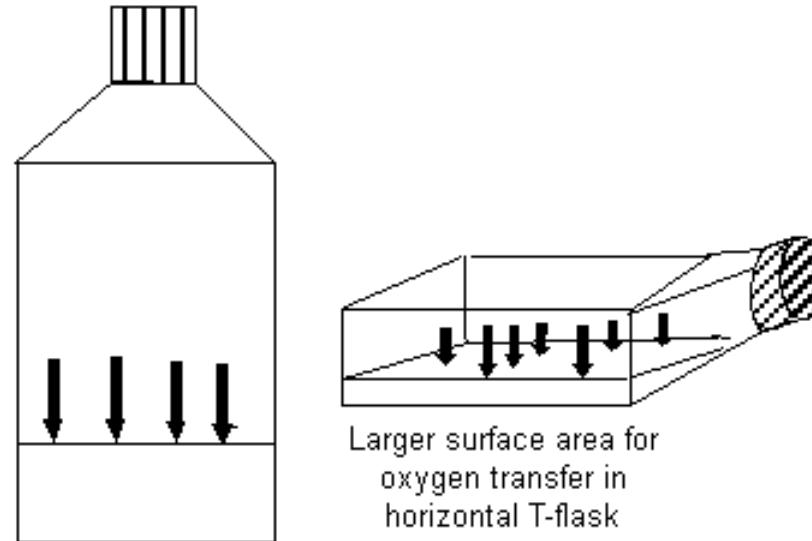
The rate of oxygen transfer will be poor due to the small surface area for transfer.

Standing cultures are commonly used in small scale laboratory systems in which oxygen supply is not critical. For example, biochemical tests used for the identification of bacteria are often performed in test-tubes containing between 5-10 ml of media.

# Oxygen Transfer

## Standing cultures - T flasks

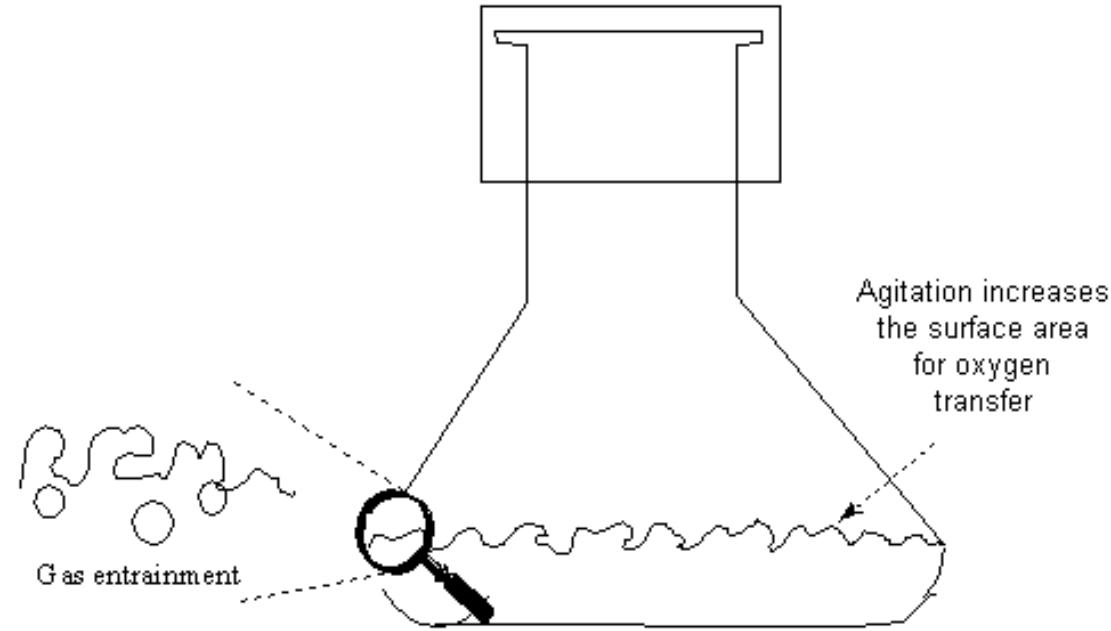
T-flasks used in the small scale culture of animal cells are another example of a standing culture. T-flasks are normally incubated horizontally to increase the surface area for oxygen transfer.



The surface aeration rate in standing cultures can be increased by using large volume flasks.

# Oxygen Transfer

## Shake flasks



Shake flasks are commonly used for small scale cell cultivation. Through continuous shaking of the culture fluid, higher oxygen transfer rates can be achieved as compared to standing cultures. Shaking continually breaks the liquid surface and thus provides a greater surface area for oxygen transfer. Increased rates of oxygen transfer are also achieved by entrainment of oxygen bubbles at the surface of the liquid.

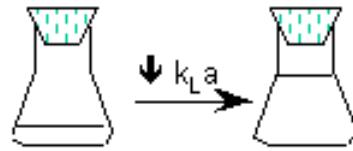
Although higher oxygen transfer rates can be achieved with shake flasks than with standing cultures, oxygen transfer limitations will still be unavoidable particularly when trying to achieve high cell densities

# Oxygen Transfer

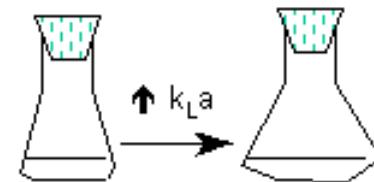
## Shake flasks- factors affecting $k_L a$

The rate of oxygen transfer in shake flasks is dependent on the

- shaking speed
- the liquid volume
- shake flask design.



$k_L a$  decreases with  
liquid volume



$k_L a$  increases with liquid  
surface area



$k_L a$  is higher when baffles  
are present

The  $k_L a$  will increase with the shaking speed.

At high shaking speeds, bubbles become entrained into the medium to further increases the oxygen transfer rate. The appropriate liquid volume is determined by the flask volume. For example, for a standard 250ml flask, the liquid volume should not exceed 70 ml while for a 1 litre flask, the liquid volume should be less than 200 ml. Larger liquid volumes can be used with wide based flasks.

# Oxygen Transfer

The presence of baffles in the flasks will further increase the oxygen transfer efficiency, particularly for orbital shakers. The following photographs show how baffles increase the level of gas entrainmentment in a shake flask being shaken in an orbital shaker at 150 rpm

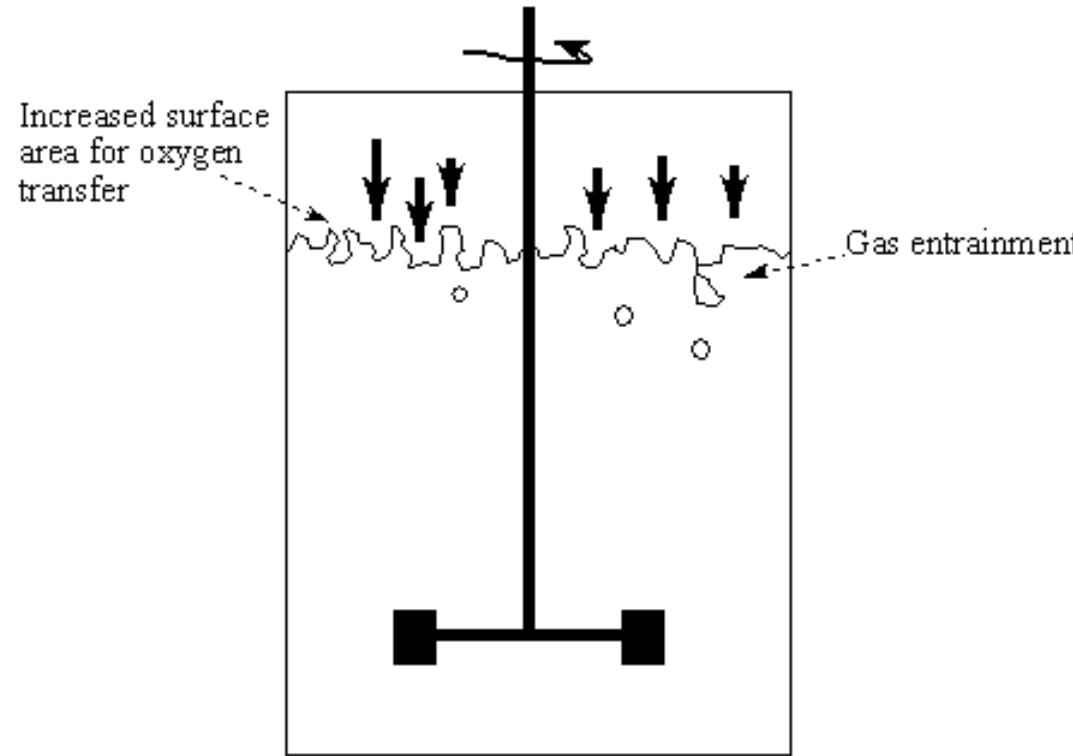


Note the high level of foam formation in the baffled flask due to the higher level of gas entrainment.

The same improvement in oxygen transfer is not as evident with horizontal reciprocating shakers.

# Oxygen Transfer

## *Mechanically stirred bioreactors*



For aeration of liquid volumes greater than 200 ml, various options are available. Non-sparged mechanically agitated bioreactors can supply sufficient aeration for microbial fermentations with liquid volumes up to 3 litres. However, stirring speeds of up to 600 rpm may be required before the culture is not oxygen limited.

In non-sparged reactors, oxygen is transferred from the head-space above the fermenter liquid. Agitation continually breaks the liquid surface and increases the surface area for oxygen transfer.

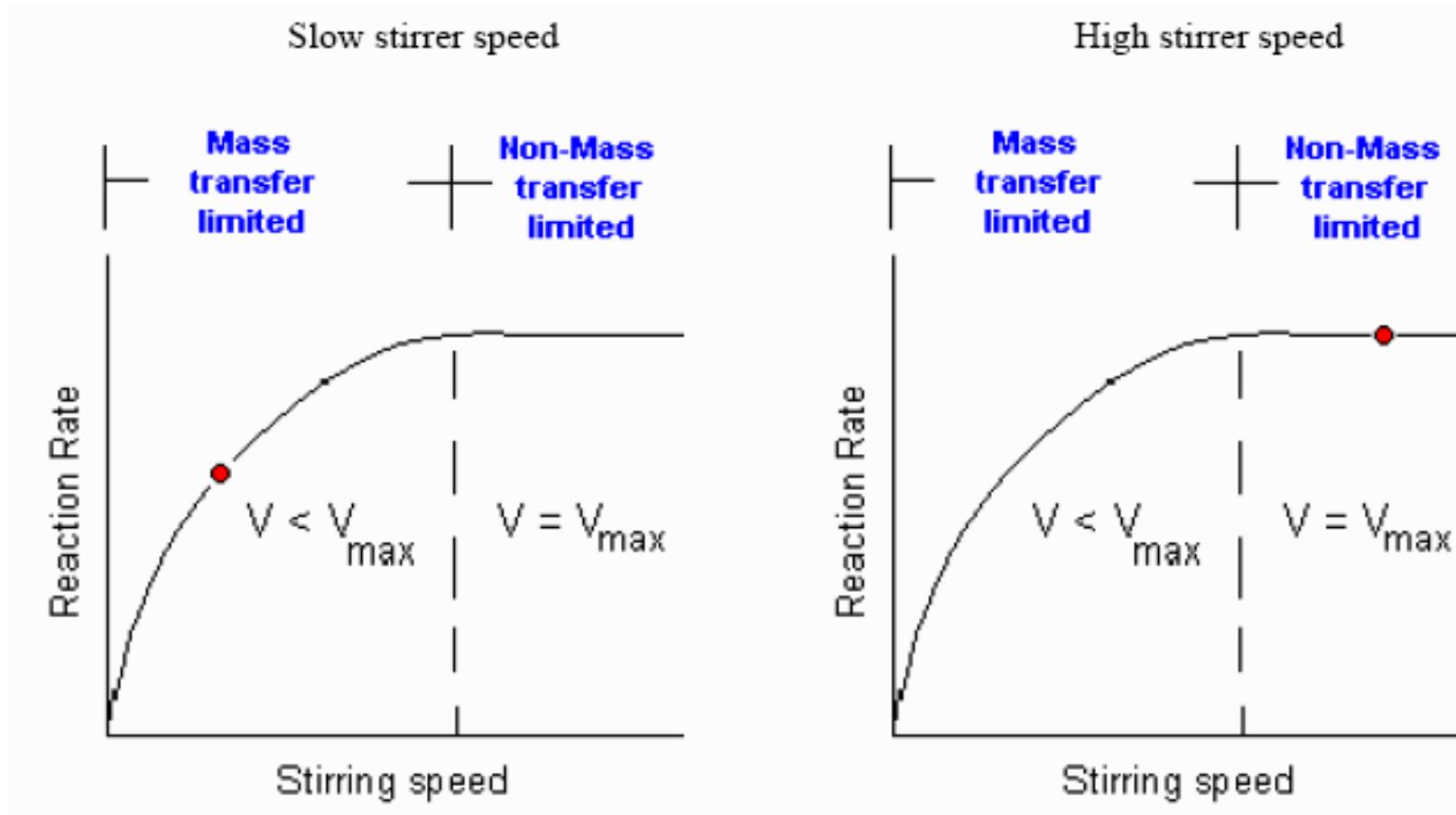
# Oxygen Transfer

## Sparged stirred tank bioreactors - Exercise

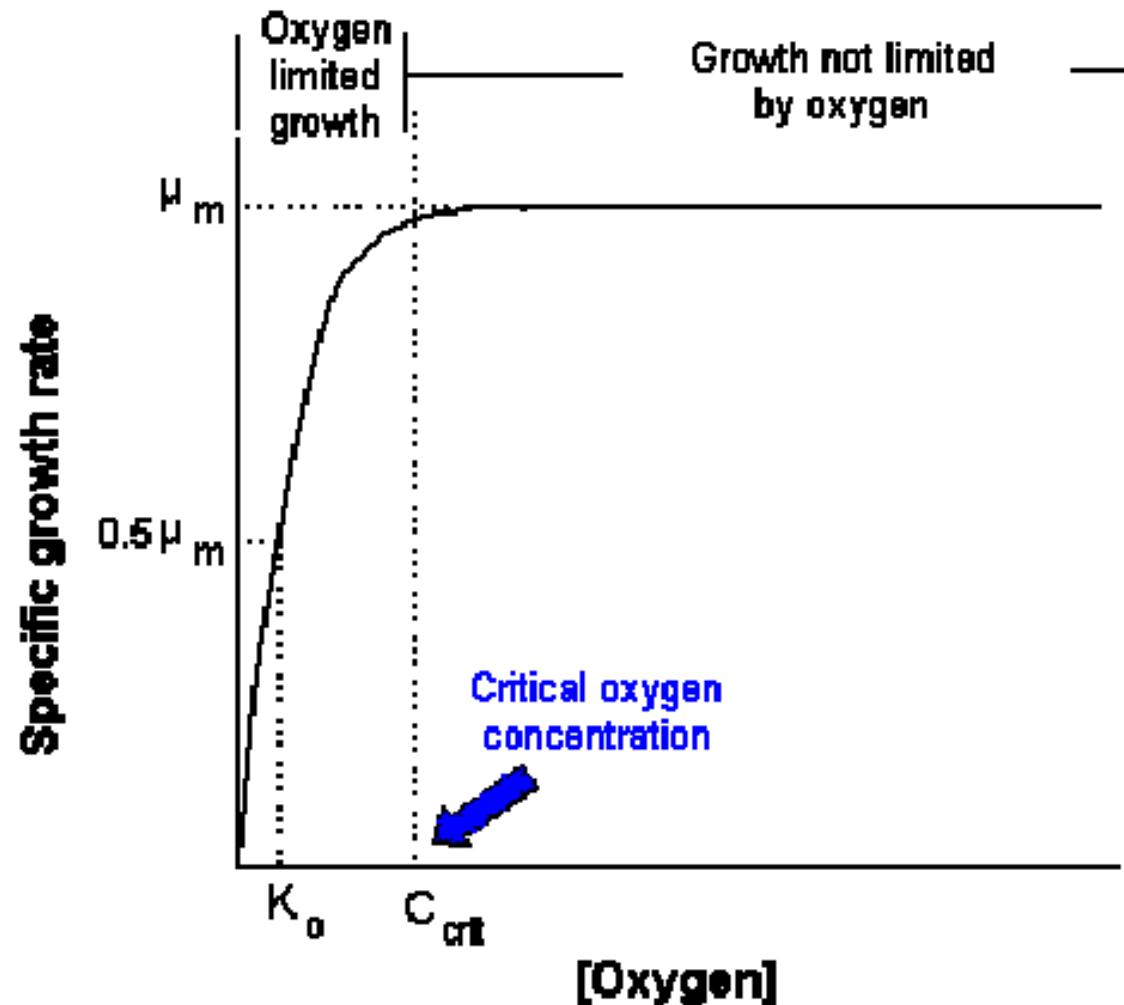
Which of the following would have the highest oxygen transfer rate characteristics?

- a) A sparged stirred tank bioreactor being stirred at 200 rpm
- b) A non-sparged stirred tank bioreactor being stirred at 200 rpm
- c) A shake flask being mixed at 200 rpm
- d) All of the above would have equivalent oxygen transfer rate characteristics.

# Specific oxygen requirements for a range of cells



# Oxygen as growth limiting nutrient



# Specific oxygen requirements for a range of cells

Organism	$q_{O_2}$ (mmol O <sub>2</sub> g <sup>-1</sup> h <sup>-1</sup> )
<i>Aspergillus niger</i>	3.0
<i>Streptococcus griseus</i>	3.0
<i>Penicillium chrysogenum</i>	3.9
<i>Klebsiella aerogenes</i>	4.0
<i>Saccharomyces cerevisiae</i>	8.0
<i>Escherichia coli</i>	10.8
Diploid embryo WI-38	0.15 mmol O <sub>2</sub> 10 <sup>-6</sup> cells h <sup>-1</sup>
HeLa	0.4 mmol O <sub>2</sub> 10 <sup>-6</sup> cells h <sup>-1</sup>

# Specific oxygen requirements for a range of cells

Kritische Sauerstoffkonzentration  
 $C_{O_2, \text{krit}}$  für Organismen

Mikroorganismus	$C_{\text{krit}}$ mg/l
<i>Escherichia coli</i>	0,1 - 0,3
<i>Pseudomonas denitrificans</i>	0,3
<i>Penicillium chrysogenum</i>	0,3 - 0,7
<i>Saccharomyces cerevisiae</i>	0,6
<i>Aspergillus oryzae</i>	0,6
<i>Pseudomonas ovalis</i>	1,1
<i>Azotobacter vinelandii</i>	1,1
<i>Torulopsis utilis</i>	2,0

Maximale spezifische Sauerstoffaufnahme  $q_{O_2, \text{max}}$  für Organismen

Mikroorganismus	$q_{O_2, \text{max}}$ mgO <sub>2</sub> /(gTS h)
<i>Escherichia coli</i>	346
<i>Saccharomyces cerevisiae</i>	256
<i>Klebsiella aerogenes</i>	128
<i>Penicillium chrysogenum</i>	125
<i>Streptomyces griseus</i>	96
<i>Aspergillus niger</i>	96

$$q_{O_2} = q_{O_2, \text{max}} \cdot \frac{C_{O_2}}{K_o + C_{O_2}}$$

# ***OUR (Oxygen Uptake rate)***

Yeast Culture (at 10 g/L DW): ca. 26.77 mmol O<sub>2</sub> L<sup>-1</sup> h<sup>-1</sup>

*E. coli* max. 120-150 mmol O<sub>2</sub> L<sup>-1</sup> h<sup>-1</sup>

Values from literature:

<i>E. coli</i>	0.346 kg O <sub>2</sub> kg <sup>-1</sup> Biomasse h <sup>-1</sup>
<i>Saccharomyces cerevisiae</i>	0.256 kg O <sub>2</sub> kg <sup>-1</sup> Biomasse h <sup>-1</sup>
<i>Aspergillus niger</i>	0.096 kg O <sub>2</sub> kg <sup>-1</sup> Biomasse h <sup>-1</sup>
<i>Penicillium chrysogenum</i>	0.125 kg O <sub>2</sub> kg <sup>-1</sup> Biomasse h <sup>-1</sup>

# Oxygen as a substrate

Like any substrate given by Monod equation:

$$\mu = \mu_m \frac{S}{K_S + S}$$

For oxygen this is given by:

$$OUR = q_{O_2} = q_{O_{2m}} \frac{C_L}{K_{O_2} + C_L}$$

Where  $q_{O_2}$  = specific oxygen uptake rate ( $\text{mmolO}_2 \text{ g}^{-1} \text{ h}^{-1}$ )  
 $q_{O_{2m}}$  = maximum specific OUR ( $\text{mmol O}_2 \text{ g}^{-1} \text{ h}^{-1}$ )  
 $K_{O_2}$  = saturation constant for  $O_2$  ( $\text{mM}$ )

At steady state:

$$\text{OUR} = X \cdot q_{O_{2m}} = k_L a (C^* - C_L) = \text{OTR}$$

# Basic form of $k_L a$ -correlations

- Zahlreiche Autoren haben aus experimentelle Daten  $k_L a$ -Korrelationen aufgestellt. Die unterschiedlichen Angaben in der Literatur weichen jedoch deutlich voneinander ab.
- $k_L a$ -Korrelationen haben häufig die Form einer einfachen Potenzbeziehung:

$$k_L a = C \cdot \left( \frac{P}{V_L} \right)^a \cdot u_G^b$$

$k_L a$  [ 1/s ] volumetrischer Stoffübergangskoeffizient

$C$  [ - ] empirisch ermittelte Konstante

$a, b$  [ - ] empirisch ermittelte Exponenten

$P$  [W] Leistungseintrag

$V_L$  [m<sup>3</sup>] Flüssigkeitsvolumen (Arbeitsvolumen)

$u_G$  [m/s] Gassleerrohrgeschwindigkeit

## **$k_L a$ -Korrelation von Vant't Riet**

- Vant't Riet (1979) hat für Rührkesselreaktoren mit koaleszierenden niederviskosen Medien folgende Korrelation aufgestellt:

$$k_L a = 0,42 \cdot \left(\frac{P}{V_L}\right)^{0,4} \cdot u_G^{0,5}$$

$k_L a$  [ 1/s ] volumetrischer Stoffübergangskoeffizient

P [W] Leistungseintrag

$V_L$  [ l ] Flüssigkeitsvolumen (Arbeitsvolumen)

$u_G$  [m/s] Gassleerrohrgeschwindigkeit



P/ $V_L$  wird oft auch als lokale Energiedissipation  $e$  bezeichnet.

## $k_L a$ -Korrelation von Schlüter

- Basierend auf einem Konzept von Zlokarnik (1978) hat Schlüter (1991) eine Korrelation speziell für die Anwendung im Bereich Biotechnologie entwickelt:

$$k_L a = C \cdot \left( \frac{P / V_L}{\rho \cdot (v \cdot g^4)^{1/3}} \right)^a \cdot \left[ \frac{\dot{V}_G}{V_L} \cdot \left( \frac{v}{g^2} \right)^{1/3} \right]^b \cdot \left( \frac{g^2}{v} \right)^{1/3}$$

$k_L a$  [ 1/s ] volumetrischer Stoffübergangskoeffizient

P [W] Leistungseintrag

$V_L$  [ l ] Flüssigkeitsvolumen (Arbeitsvolumen)

$\rho$  [kg/m<sup>3</sup>] Dichte

$v$  [m<sup>2</sup>/s] kinematische Viskosität

$\dot{V}_G$  [m<sup>3</sup>/s] Begasungsrate

Scheibenrührer empirisch	
a	0,62
b	0,23
C	7,94E-04



Scheibenrührer

# Methods for measuring $k_L a$

- 1) Non-steady state method
- 2) Steady state method, Dynamic method
- 3) Chemical reaction methods e.g. sulphite

## 1) Non-steady state method

- Fill reactor with water or medium
- Determine  $C^*$ - solubility under operating conditions (temperature, pH, pressure gas composition, medium composition etc.)
- Sparge with  $N_2$  to calibrate  $pO_2$  electrode to 0%
- Sparge with air or  $O_2$  to calibrate  $pO_2$  electrode for 100% saturation
- Sparge with  $N_2$  to give  $pO_2$  of 0%
- Sparge with air or  $O_2$  and measure slope of increase in  $pO_2$  with time:

$$\frac{dC_L}{dt} = k_L a (C^* - C_L)$$

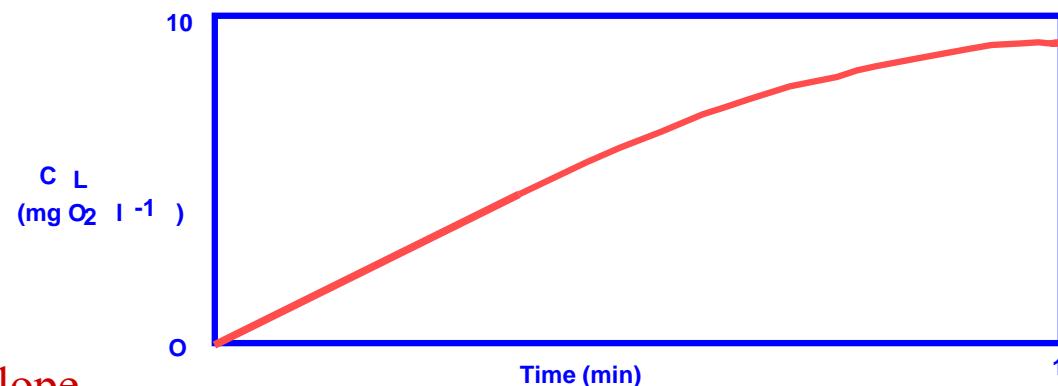
$$\frac{-d(C^* - C_L)}{dt} = k_L a$$

or

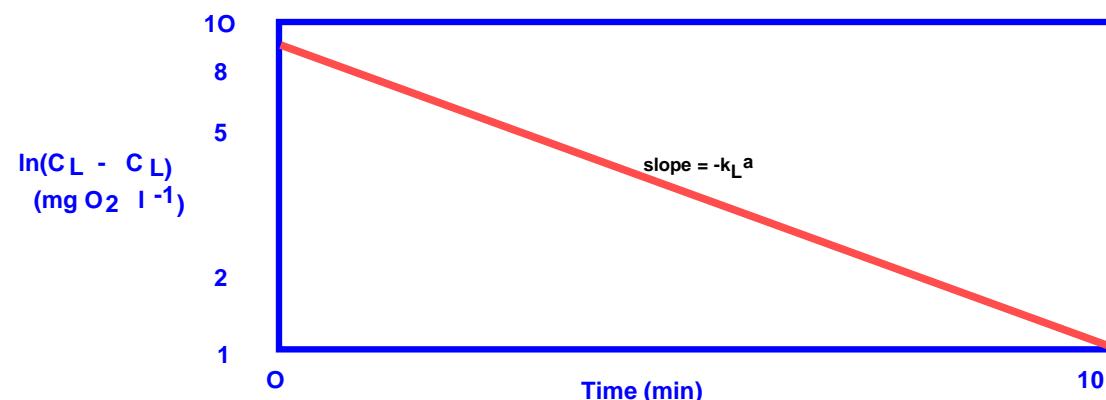
# Non-steady state method for $k_L a$ determination

Or:  $\ln(C^* - C_L) = -k_L a t$

Note the form:  $y = ax + b$



Plot of  $\ln(C^* - C_L)$  versus time gives slope  
 $= k_L a$



## 2) Dynamic method

$$\frac{d(V \cdot C_{O_2})}{dt} = k_L a \cdot (C_{O_2}^* - C_{O_2}) \cdot V - q_{O_2} \cdot X \cdot V$$

$$\frac{dC_{O_2}}{dt} = \underbrace{k_L a \cdot (C_{O_2}^* - C_{O_2})}_{\text{Zufuhr, OTR}} - \underbrace{q_{O_2} \cdot X}_{\text{Verbrauch, OUR}}$$

$k_L a$ : Stofftransportkoeffizient für laminaren Flüssigkeitsfilm \* spez. Austauschfläche  $\text{h}^{-1}$

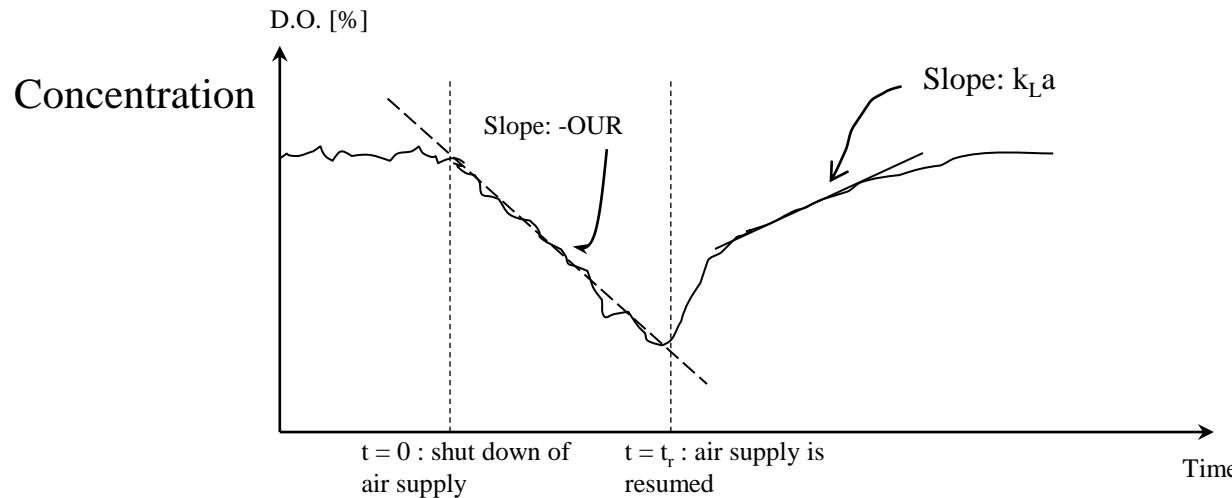
$C_{O_2}^*$ : Sättigungslöslichkeit für Sauerstoff an der Grenzfläche  $\text{mg l}^{-1}$  (s.Tabelle)

$q_{O_2} = \frac{1}{Y_{X/O}} \cdot \mu$ : spezifische Sauerstoffverbrauchsgeschwindigkeit  $\text{h}^{-1}$

# Dynamic method

Uses fermenter with active cells.

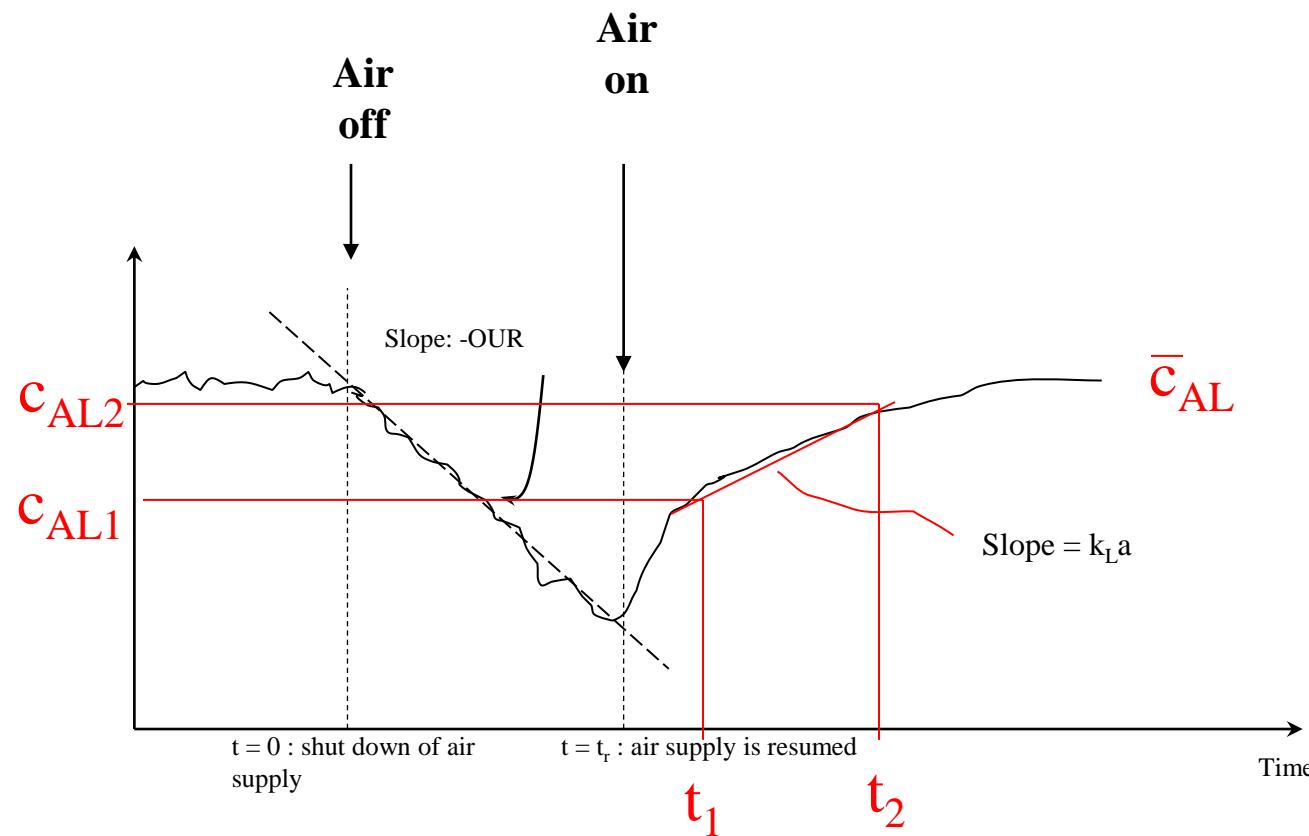
$$\frac{dC_L}{dt} = k_L a \cdot (C_L^* - C_L) - X \cdot q_{O_2} = OTR - OUR$$



$$\ln \frac{[C_L^{t=\infty} - C_L^{t=0}]}{[C_L^{t=\infty} - C_L^t]} = k_L a \cdot t$$

Schematic DO profile against time. At  $t=0$ , air supply is shut down (dashed line). Afterwards, the DO decreases until the air supply is resumed. The slope of the decay gives the OUR. The profile after  $t_r$  can lead to the  $k_L a$ .

# Variation of oxygen tension for dynamic measurement of $k_L a$



$$\frac{dC_{AL}}{dt} = k_L a (C^*_{AL} - C_{AL}) - q_{O2} x$$

Rate of oxygen consumption

If  $dC_{AL}/dt = 0$  and  $C_{AL} = \bar{C}_{AL}$

Then:  $q_{O2} x = k_L a (C^*_{AL} - \bar{C}_{AL})$

$$\frac{dC_{AL}}{dt} = k_L a (C_{AL} - \bar{C}_{AL}) \quad k_L a = \text{const.} \rightarrow \text{Integration}$$

$$k_L a = \frac{\ln \left[ \frac{\bar{C}_{AL} - C_{AL1}}{\bar{C}_{AL} - C_{AL2}} \right]}{t_2 - t_1}$$

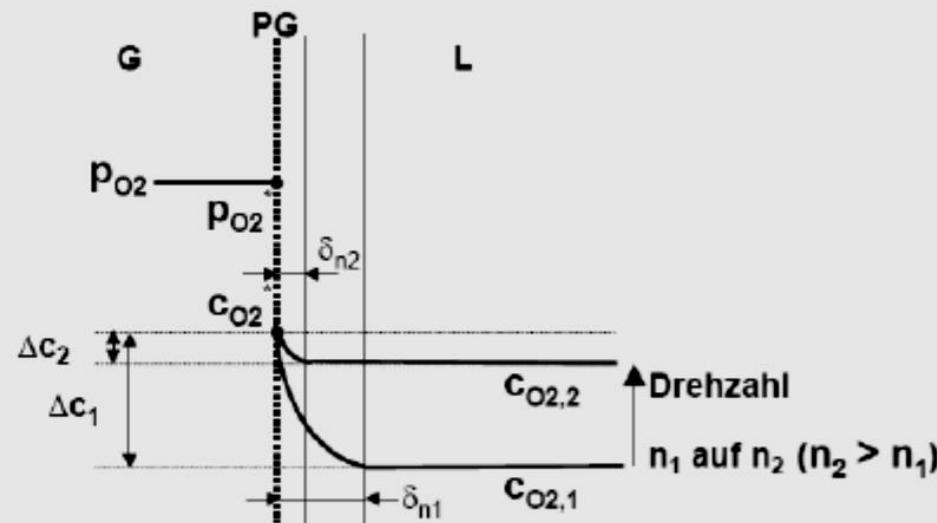
$$\frac{dC_{O_2}}{dt} = k_L a \cdot (C_{O_2}^* - C_{O_2}) - q_{O_2} \cdot X$$

Bilanzgleichung zeigt die Möglichkeiten auf, den Stoffaustausch zu beeinflussen.

$k_L a$ :   
 Drehzahl n des Rührers  
 Rührergeometrie

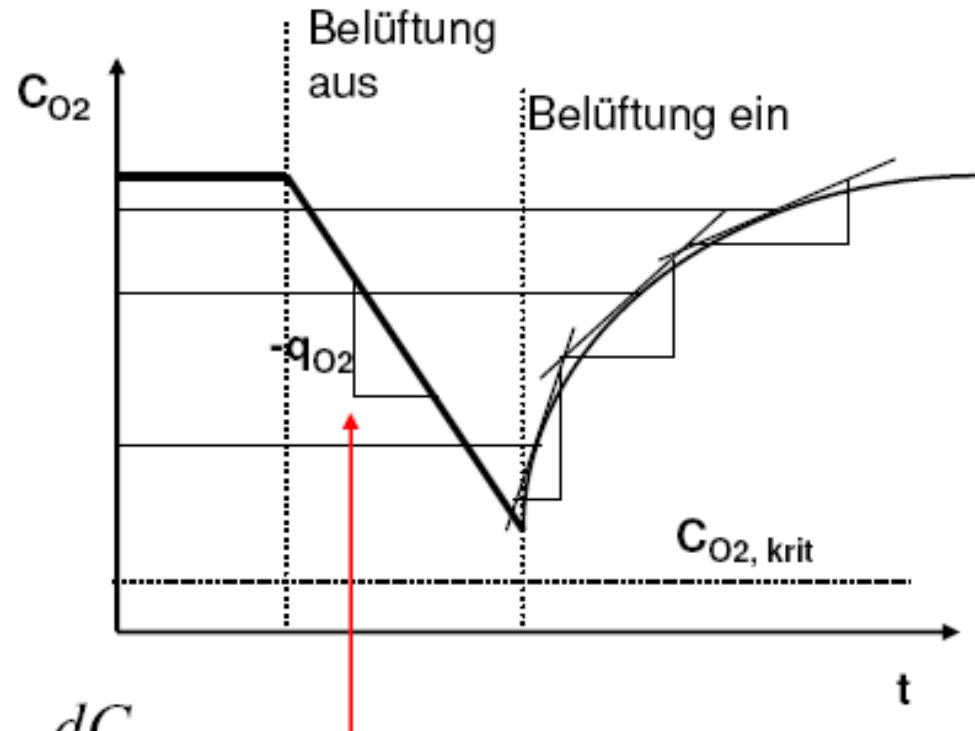
$C_{O_2}^*$ :   
 Gesamtdruck  
 Partialdruck O<sub>2</sub>  
 Gasdurchsatz

$C_{O_2}$ : möglichst niedrige Konzentration, aber  $C_{O_2} > C_{O_2, \text{krit}}$



Bestimmung der drei Parameter durch dynamische Methode während einer Fermentation:

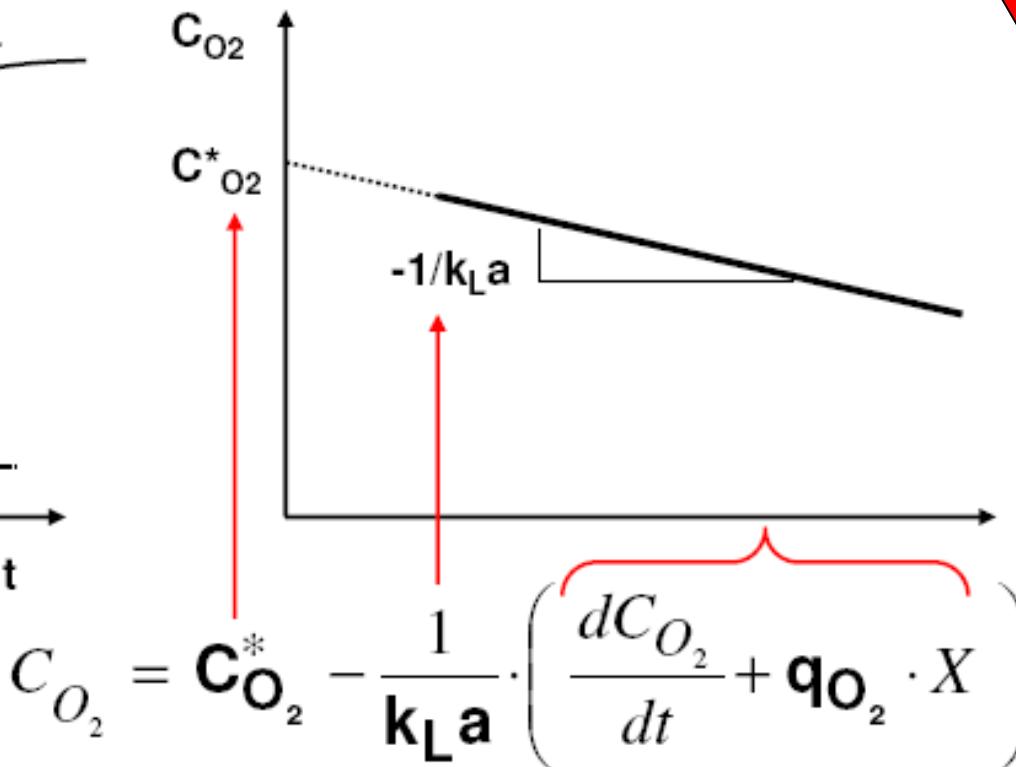
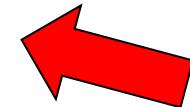
$$\frac{dC_{O_2}}{dt} = k_L a \cdot (C_{O_2}^* - C_{O_2}) - q_{O_2} \cdot X$$



$$\frac{dC_{O_2}}{dt} = -q_{O_2} \cdot X$$

Im Wiederanstieg werden an mehreren Stellen Steigungen und die zugehörigen  $C_{O_2}$  bestimmt.

Problem: Sondendynamik!



$$C_{O_2} = C_{O_2}^* - \frac{1}{k_L a} \cdot \left( \frac{dC_{O_2}}{dt} + q_{O_2} \cdot X \right)$$

# Summary: Dynamic determination of $k_L a$ -value

## 1. Luftzufuhr geschlossen

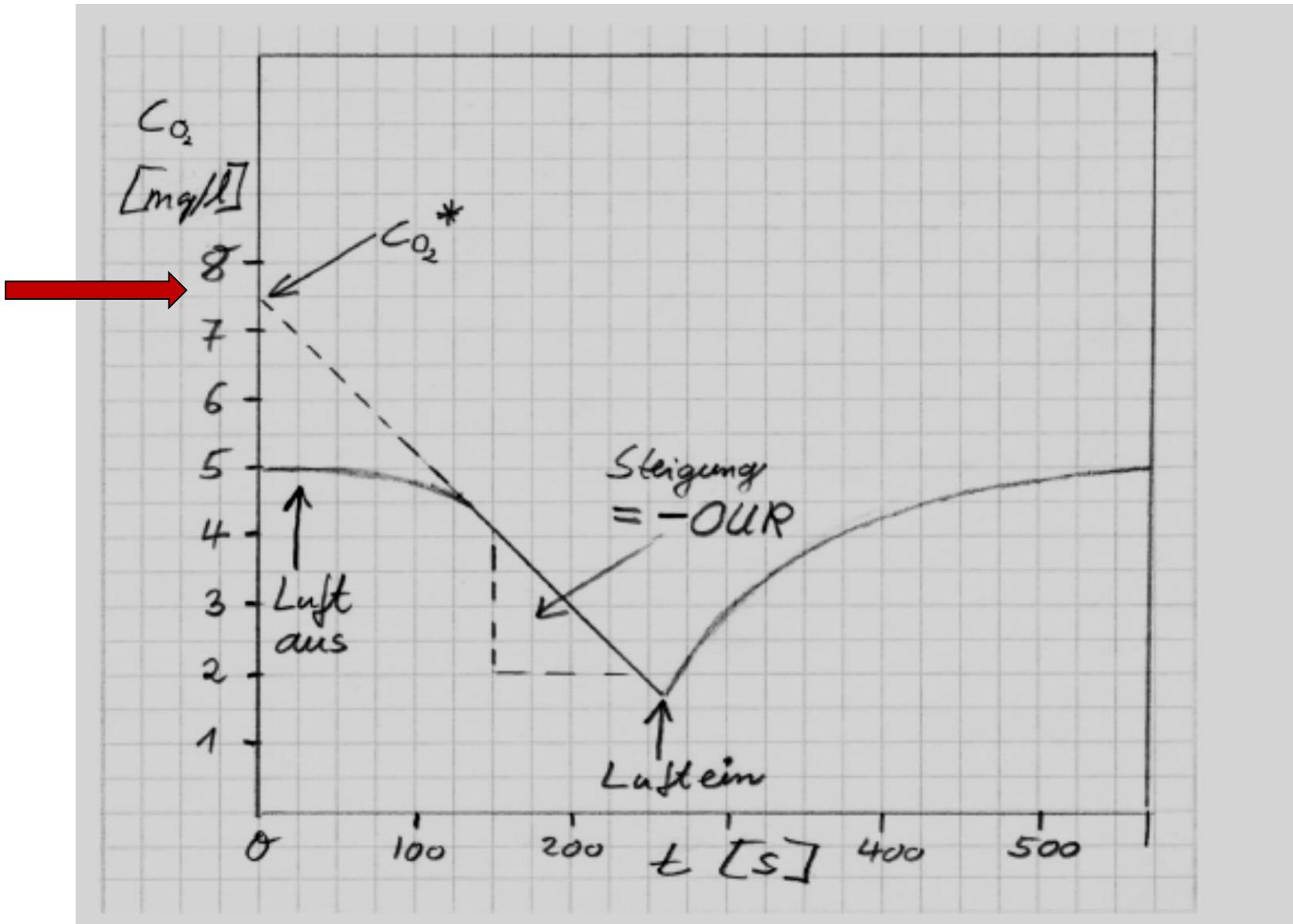
Wird die Belüftung geschlossen fällt der  $O_2$ -Eintrags-Term aus der Bilanzgleichung weg. Übrig bleibt nur noch:

$$\frac{dc_{O_2}}{dt} = -OUR$$

Wird die Gleichung integriert ergibt sich die Gerade:

$$c_{O_2} = -OUR \cdot t + c_{O_2}^*$$

Die Steigung einer Auftragung von  $c_{O_2}$  über  $t$  ist daher  $-OUR$ .



# Example: Determination of - OUR

Stamm: *Diaporthe carbinicola*

Temp.: 30°C

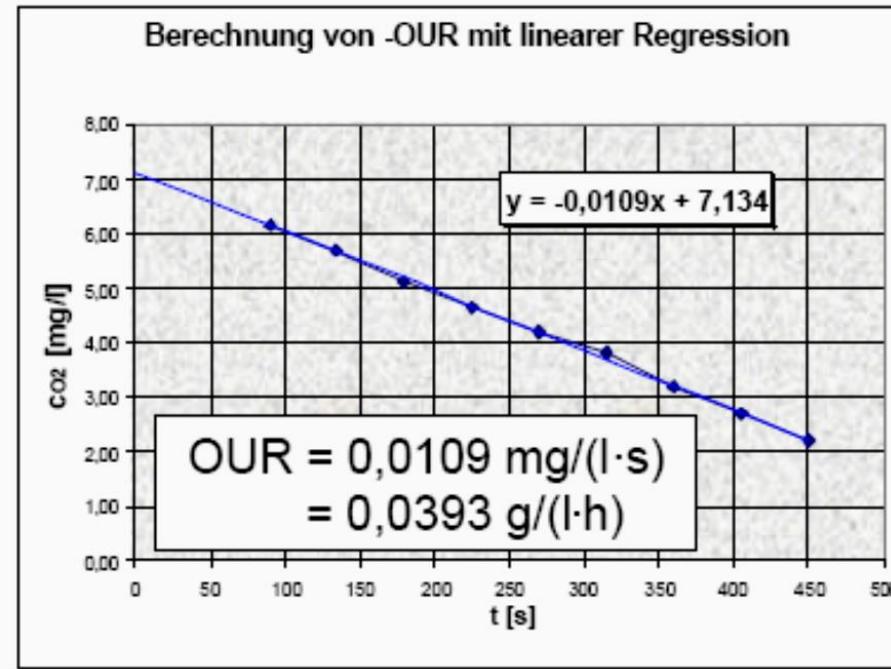
Produkt: Labenzym

Begasung: 0,375 vvm

Bioreaktor: 200 l

Drehzahl: 150 min<sup>-1</sup>

Begasung	t	pO <sub>2</sub>	C <sub>O<sub>2</sub></sub>
	[s]	[%]	[mg/l]
aus	0		
	45	82,2	6,20
	90	81,6	6,15
	135	75,6	5,70
	180	67,6	5,09
	225	61,7	4,65
	270	55,7	4,20
	315	50,4	3,80
	360	42,4	3,20
	405	35,8	2,70
	450	29,1	2,19



## 2. Luftzufuhr wieder geöffnet

Wird die Luftzufuhr geöffnet, ergibt sich:

$$\frac{dc_L}{dt} = k_L a \cdot (c_{O_2}^* - c_{O_2}) - OUR$$

Die Gleichung kann umgestellt werden zu:

$$c_{O_2} = -\frac{1}{k_L a} \cdot \left( \frac{dc_{O_2}}{dt} + OUR \right) + c_{O_2}^*$$

Die Steigung einer Auftragung von  $c_{O_2}$  über  $\left( \frac{dc_{O_2}}{dt} + OUR \right)$

ist daher  $-\frac{1}{k_L a}$

$$\frac{dc_{O_2}}{dt} = k_{La} \cdot (c_{O_2}^* - c_{O_2}) - \text{OUR} \quad | + \text{OUR}$$

$$\frac{dc_{O_2}}{dt} + \text{OUR} = k_{La} \cdot (c_{O_2}^* - c_{O_2}) \quad | \cdot \frac{1}{k_{La}}$$

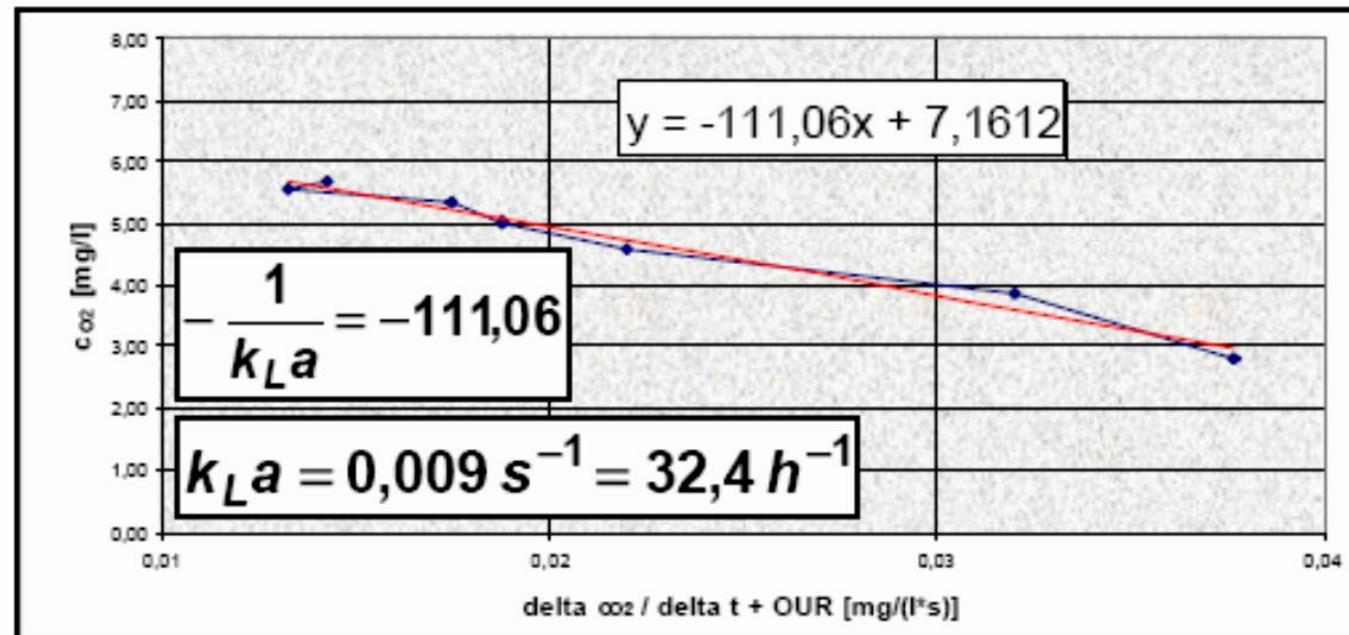
$$\frac{1}{k_{La}} \cdot \left( \frac{dc_{O_2}}{dt} + \text{OUR} \right) = c_{O_2}^* - c_{O_2} \quad | - c_{O_2}^*$$

$$\frac{1}{k_{La}} \cdot \left( \frac{dc_{O_2}}{dt} + \text{OUR} \right) - c_{O_2}^* = -c_{O_2} \quad | \cdot -1$$

$$c_{O_2} = -\frac{1}{k_{La}} \cdot \left( \frac{dc_{O_2}}{dt} + \text{OUR} \right) + c_{O_2}^*$$

Begasung	t	pO <sub>2</sub>	c <sub>O<sub>2</sub></sub>	delta c <sub>O<sub>2</sub></sub>	delta t	dc <sub>O<sub>2</sub></sub> / dt	dc <sub>O<sub>2</sub></sub> /dt + OUR	Mittel c <sub>O<sub>2</sub></sub>
	[s]	[%]	[mg/l]	[mg/l]	[s]	[mg/(l*s)]	[mg/(l*s)]	[mg/l]
an	450	29,1	2,19					
	495	29,1	2,19					
	540	45,1	3,40	1,2059	45	0,026798	0,037715	2,80
	585	57,7	4,35	0,9496	45	0,021103	0,032020	3,87
	630	64,3	4,85	0,4974	45	0,011054	0,021971	4,60
	675	69,0	5,20	0,3542	45	0,007872	0,018789	5,02
	720	72,9	5,49	0,2939	45	0,006532	0,017449	5,35
	765	74,3	5,60	0,1055	45	0,002345	0,013262	5,55
	810	76,3	5,75	0,1507	45	0,003350	0,014267	5,68

**Beispiel:**  
**Praktische**  
**Bestimmung**  
**von k<sub>L</sub>a**



## Example: Estimating $k_L a$ using the dynamic method

A 20 L stirred tank fermenter containing a *Bacillus thuringiensis* culture at 30°C is used for the production of microbial insecticide.  $k_L a$  is determined using the dynamic method. Air flow is shut off for a few minutes and then the dissolved-oxygen level drops; the air supply is then re-connected. When steady-state is established, the dissolved-oxygen tension is 78% air saturation. The following results are obtained:

Time (s)	5	15
% air saturation	50	66

- Estimate  $k_L a$
- An error is made determining the steady-state oxygen level which, instead of 78%, is taken 70%. What is the percentage error in  $k_L a$  resulting from this 10% error in  $C_{AL}$

# Solution

### 3) Sulphite method

Based on zero order reactions where  $\text{SO}_3^{2-}$  oxidized to  $\text{SO}_4^{2-}$  in presence of catalyst e.g.  $\text{Co}^{2+}$  or  $\text{Cu}^{2+}$  (very rapid):

$$\frac{1}{2} \frac{dC_{\text{SO}_4^{2-}}}{dt} = k_L a C^*$$

1/2 since 0.5 mole  $\text{O}_2$  used per mole  $\text{SO}_4^{2-}$  formed (so must measure in moles), therefore:

$$k_L a = \frac{1}{2} \frac{dC_{\text{SO}_4^{2-}}}{C^*} \bigg/ dt$$

This usually overestimates  $k_L a$  due to enhancement of chemical reaction rate in liquid film around bubbles

Units of  $k_L a = \text{h}^{-1}$  or  $\text{min}^{-1}$  e.g. 11.5  $\text{h}^{-1}$

# Frontier of oxygen transport

$$\frac{dC_{O_2}}{dt} = 0 = k_L a \cdot \left( C_{O_2}^* - C_{O_2, \text{krit}} \right) - q_{O_2} \cdot X_{\text{max}}^e$$

$$X_{\text{max}}^e = \frac{k_L a}{q_{O_2}} \cdot \left( C_{O_2}^* - C_{O_2, \text{krit}} \right)$$

$$S_{\text{max}}^0 = \frac{1}{Y_{X/S}} \cdot X_{\text{max}}^e$$

Maximale Konzentration an Biomasse, die mit maximalem  $k_L a$  des Reaktors ausreichend mit Sauerstoff versorgt werden kann, wenn die  $O_2$ -Konzentration gerade den kritischen Wert annimmt.

Daraus lässt sich über den Ausbeutekoeffizienten ganz einfach die maximale Substratkonzentration  $S^0$  zu Beginn der Batch-Reaktion bestimmen.

## 2) Steady state method

Uses bioreactor as respirometer

Measure  $O_2$  in inlet and outlet gas streams and value of  $C_L$

Therefore:

$$k_L a = \frac{OUR}{C^* - C_L}$$

Require gas analyzer e.g. paramagnetic oxygen analyzer and  $pO_2$  electrode

# Calculation of OUR / CPR with help of gas-analysis

$$OUR = \frac{\dot{V}_G^E}{22,4} \cdot 32 \cdot (X_{O_2}^E - X_{O_2}^A) \cdot \frac{1}{V_L}$$

Diagram illustrating the calculation of **OUR** (Oxygen Utilization Rate) using gas analysis data:

- Inputs:**
  - $\dot{V}_G^E$  (Begasungs-Volumenstrom [l/h])
  - $X_{O_2}^E$  ( $O_2$ -Molanteil in der Zuluft [-])
  - $X_{O_2}^A$  ( $O_2$ -Molanteil in der Abluft [-])
  - $V_L$  (Flüssigkeitsvolumen [l])
  - $Molvolumen$  [l/mol]
  - $Molmasse O_2$  [g/mol]
- Calculation:**
$$OUR = \frac{\dot{V}_G^E}{22,4} \cdot 32 \cdot (X_{O_2}^E - X_{O_2}^A) \cdot \frac{1}{V_L}$$

# Basic informations

For calculation of OUR:

- O<sub>2</sub>-concentration at outlet
- gas volume flow at inlet and / or outlet
- and liquid volume of fermenter (working volume) must be known

Gas flow in = gas flow out  
|

But gas flow out can be calculated – if gas composition at outlet is known

Standard Air composition:

20,930 %	O <sub>2</sub>
0,033 %	CO <sub>2</sub>

## Calculation of gas volume flow at outlet (N<sub>2</sub>–balance)

$$\dot{V}_G^E \cdot X_{N_2}^E = \dot{V}_G^A \cdot X_{N_2}^A$$

$$\dot{V}_G^A = \dot{V}_G^E \cdot \frac{X_{N_2}^E}{X_{N_2}^A}$$

$$1 = X_{O_2}^A + X_{CO_2}^A + X_{N_2}^A$$

$$X_{N_2}^A = 1 - X_{O_2}^A - X_{CO_2}^A$$

$$\dot{V}_G^A = \dot{V}_G^E \cdot \frac{X_{N_2}^E}{1 - X_{O_2}^A - X_{CO_2}^A}$$

$$OUR = \left[ \frac{32}{22,4} \cdot \dot{V}_G^E \cdot X_{O_2}^E - \frac{32}{22,4} \cdot \boxed{\dot{V}_G^A} \cdot X_{O_2}^A \right] \cdot \frac{1}{V_L}$$

$$\boxed{\dot{V}_G^E \cdot \frac{X_{N_2}^E}{1 - X_{O_2}^A - X_{CO_2}^A}}$$

$$OUR = \left[ \boxed{\frac{32}{22,4} \cdot \dot{V}_G^E} \cdot X_{O_2}^E - \boxed{\frac{32}{22,4} \cdot \dot{V}_G^E \cdot \frac{X_{N_2}^E}{1 - X_{O_2}^A - X_{CO_2}^A}} \cdot X_{O_2}^A \right] \cdot \frac{1}{V_L}$$

$$OUR = \boxed{\frac{32}{22,4} \cdot \dot{V}_G^E} \cdot \left( X_{O_2}^E - \frac{X_{N_2}^E}{1 - X_{O_2}^A - X_{CO_2}^A} \cdot X_{O_2}^A \right) \cdot \frac{1}{V_L}$$

# CPR / CER

$$CPR = \left[ \frac{44,01}{22,4} \cdot V_G^E \cdot \left( -X_{CO_2}^E + \frac{X_{N_2}^E}{1 - X_{O_2}^A - X_{CO_2}^A} \cdot X_{O_2}^A \right) \right] \cdot \frac{1}{V_L}$$

## RQ ( Respiratory Quotient)

$$RQ = \frac{(CPR \cdot 32)}{(44,01 \cdot OUR)}$$

Aerobic:  $RQ \sim 1$

Anaerobic:  $RQ \gg 1$

RQ Table

Respiratory substrate	RQ
Carbohydrate	1.0
Lipid	0.7
Protein	0.9

# Oxygen transfer rate (OTR)

$$N_A = k_L a(C^* - C_L) = OTR$$

$N_A$  = volumetric mass transfer rate ( $\text{mMO}_2\text{l}^{-1}\text{h}^{-1}$ )

$k_L$  = mass transfer coefficient at phase boundary ( $\text{ms}^{-1}$ )

$a$  = volumetric mass transfer area ( $\text{m}^2\text{m}^{-3} = \text{m}^{-1}$ )

$C^*$  = dissolved gas concentration in phase boundary ( $\text{mM l}^{-1}$ )

$C_L$  = dissolved oxygen concentration ( $\text{mM l}^{-1}$ )

OTR = oxygen transfer rate ( $\text{mM l}^{-1} \text{ h}^{-1}$ )

# Oxygen transfer rates (OTR) in bioreactors / fermenters

Reactor Volume (m <sup>3</sup> )	Impellor	Assay method	OTR mM O <sub>2</sub> L <sup>-1</sup> h <sup>-1</sup>
0.1	Turbine	Sulphite	100-223
0.8	Turbine	Sulphite	94
1.2	Turbine	Sulphite	64
5.0	Turbine	Sulphite	45-72
47.7	Turbine	Sulphite	42
34.2	Waldhof	Yeast	16-22
58.5	Vogelbusch	Yeast	26-43

$k_L a$ -Werte für verschiedene Reaktorsysteme, die in der Biotechnologie zum Einsatz kommen:

Reaktortyp	$k_L a$ h <sup>-1</sup>
Schüttelkolben	8...200
Rührkesselreaktor	325...2650
Blasensäule	140
Druckschlaufenreaktor	400
Tropfkörper	350
Air-Lift-Schlaufenreaktor	350
Siebbodenreaktor	< 1000
Paddelrad-Reaktor	1000

*Oxygen transfer capacity in bioreactors*

	<i>OTR (mmol L<sup>-1</sup> h<sup>-1</sup>)</i>	<i>K<sub>La</sub> (h<sup>-1</sup>)</i>
<i>Rotary shakers:</i>		
flasks without baffles	15-30	60-120
flasks with baffles	< 150	< 600
<i>Fermenters (microbial):</i>		
lab scale	200-400	800-1600
production scale (20-300m <sup>3</sup> )	< 100	< 400
<i>Animal cell bioreactors</i>	< 1	< 4

# Example 1: Cell concentration in aerobic culture

A strain of *Azotobacter vinelandii* is cultured in a  $15\text{m}^3$  stirred Fermenter for alginic acid production. Under current operating conditions  $k_L a$  is  $0.17\text{ s}^{-1}$ . Oxygen solubility in the broth is approx.  $8 \times 10^{-3}\text{ kg m}^{-3}$ .

- a) The specific rate of oxygen uptake is  $12.5\text{ mmol g}^{-1}\text{ h}^{-1}$ . What is the maximum possible cell concentration?
- b) The bacteria suffer growth inhibition after copper sulphate is accidentally added to the fermentation broth. This causes a reduction in oxygen uptake rate to  $3\text{ mmol g}^{-1}\text{ h}^{-1}$ . What maximum cell concentration can now be supported by the fermenter?

## Example 2: Specific oxygen uptake in *E.coli* culture

It is assumed, that the specific oxygen uptake rate ( $qO_2$ ) of *E. coli* is 5.0 mmol g<sup>-1</sup> h<sup>-1</sup>. Which cell concentration X can be reached in a laboratory reactor with a  $k_L a$  of 25 h<sup>-1</sup>. When  $C_L = 10\% C^*$ . and for the medium at 37 °C is  $C^* = 0.17$  mmol L<sup>-1</sup>

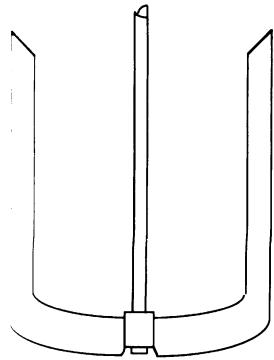
## Example 3:

1. Estimate how fast the dissolved oxygen concentration is consumed in a bioreactor with  $K_{La}$  1000 h<sup>-1</sup>, containing a 10 g/L culture growing with  $\mu = 0.5$  h<sup>-1</sup> if the aeration is interrupted.

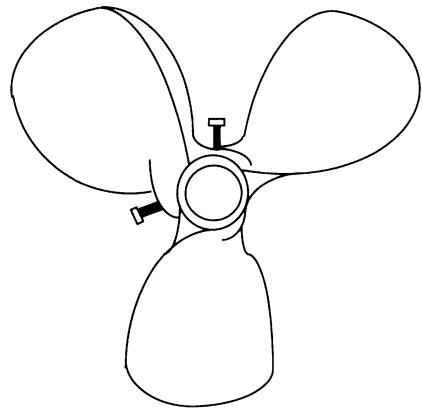
First calculate the quasi-steady state oxygen concentration. Assume  $Y_{X/O} = 1$  g/g and the oxygen solubility in the medium equilibrium with air  $C^* = 7$  mg/L

# Mixing /Mixing equipment

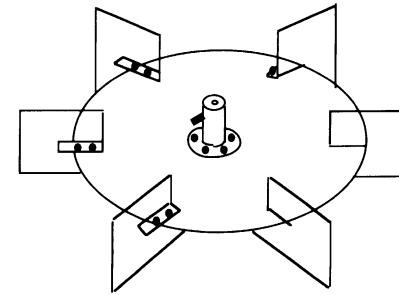
[Video principles of mechanical agitation](#)



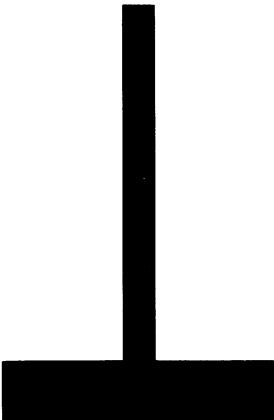
Anchor



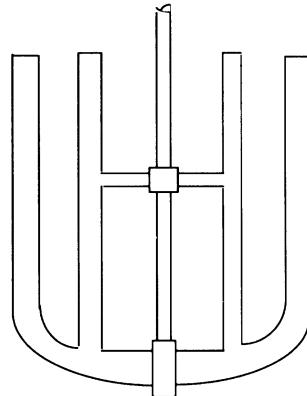
Propeller



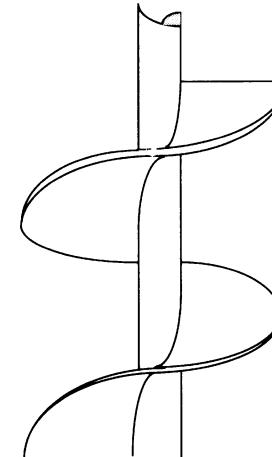
6-flat-blade disc-turbine



Paddle

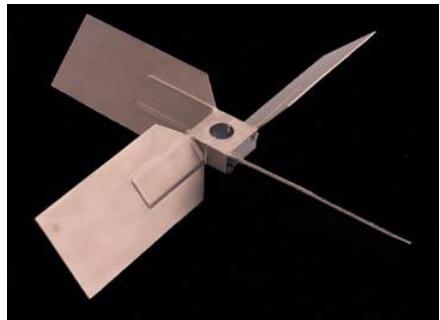


Gate anchor



Helical screw

Pitched-blade



Flat-blade radial



Propeller

Helic impeller



ribbon



Rushton turbine  
with three blades.



Gate anchor



Helical screw

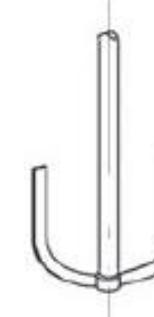


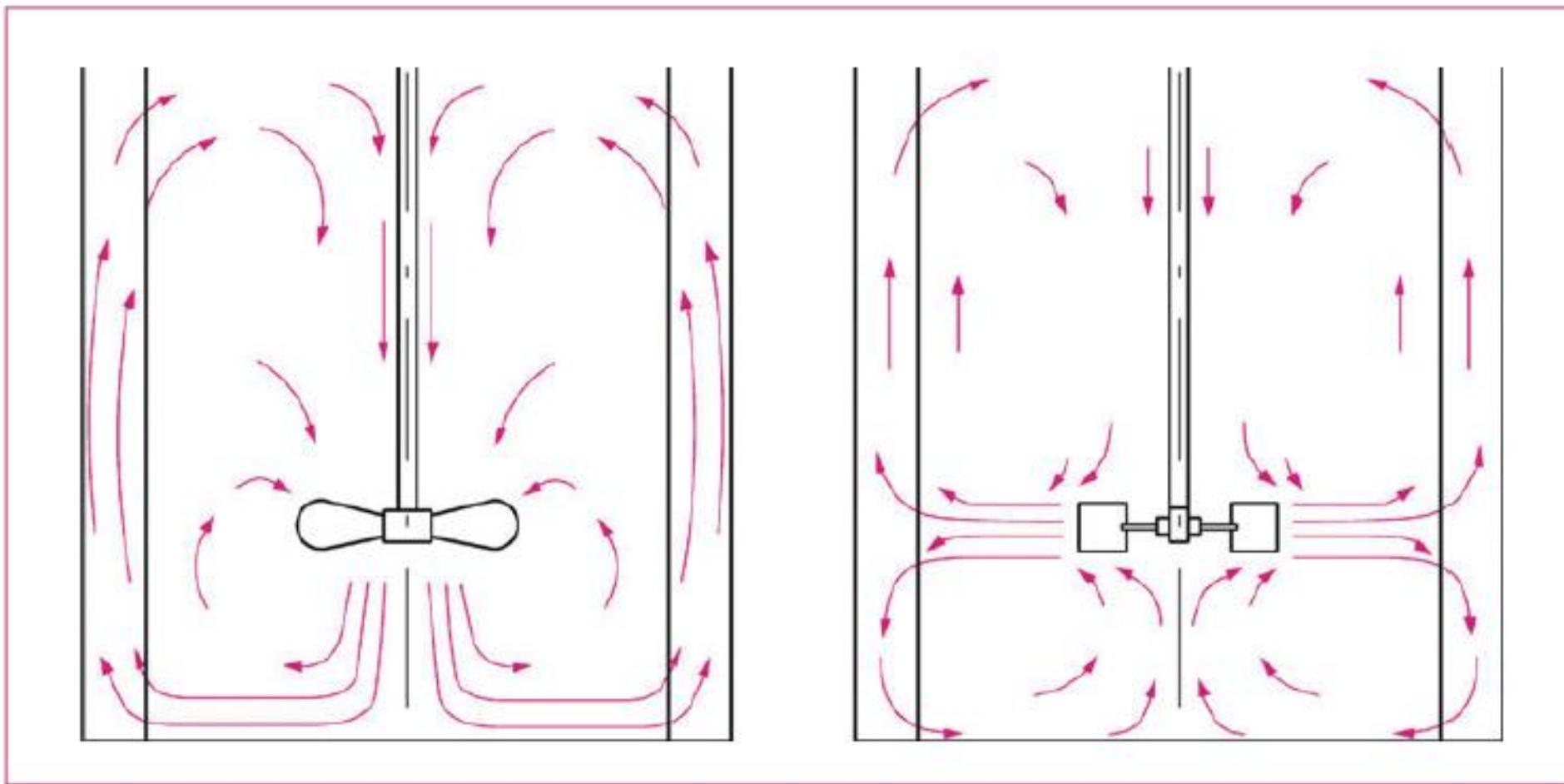
Flat-blade disc  
turbine

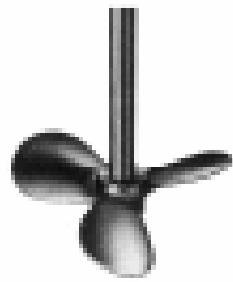


### Gebräuchliche Rührertypen

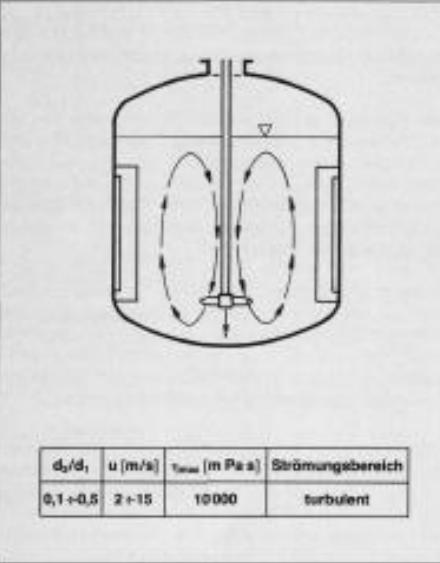
Zähigkeit der Flüssigkeit [Pa s]

		< 0,5	0,5 - 5	5 - 50
Hauptsächlich bewirkte Flüssigkeitsströmung	tangential bis radial	 Scheiben-R.  Impeller-R. (Pfaudler)	 Kreuzbalken-R.  Gitter-R.	 Blatt-R.  Anker-R.
	axial	 Schaufel-R. mit angestellten Schaufeln  Propeller-R. Schaufeln	 MIG-R. (EKATO)	 Wendel-R.

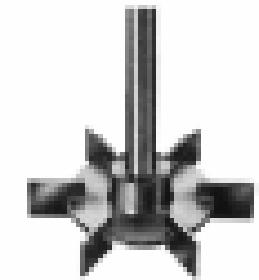
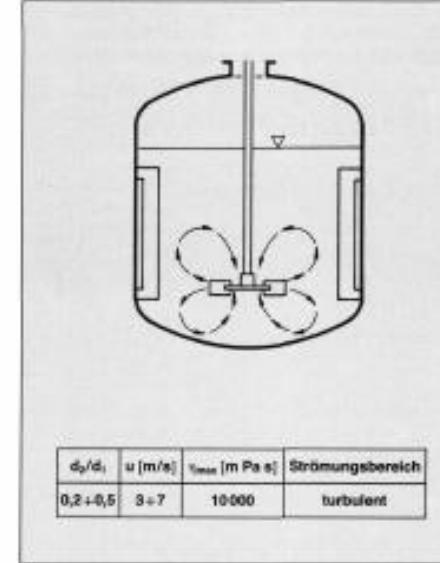




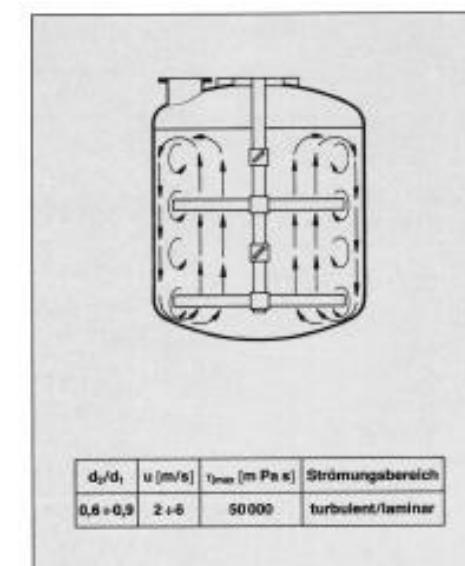
Propeller



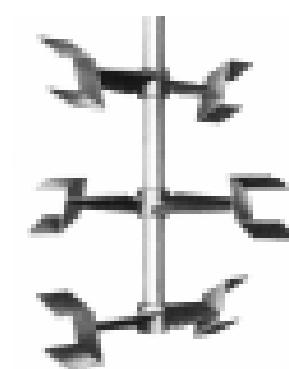
Scheibenrührer



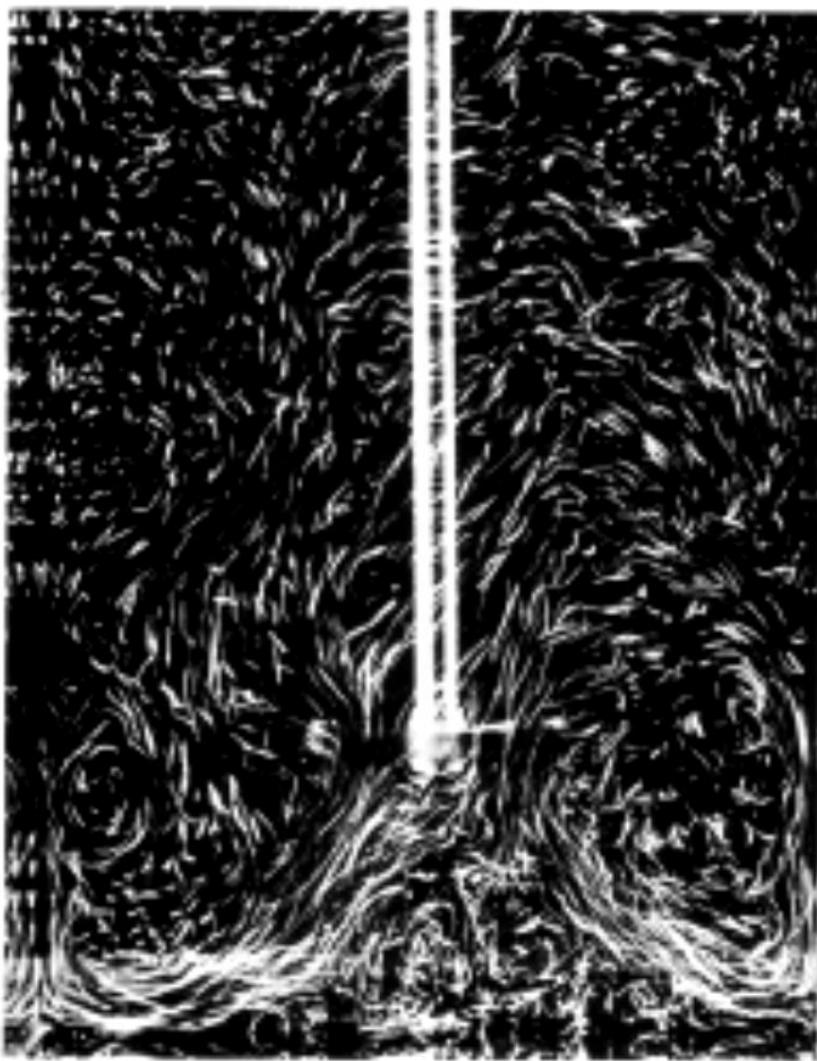
Kreuzbalkenrührer ( $\alpha = 45^\circ$ )



INTERMIG®

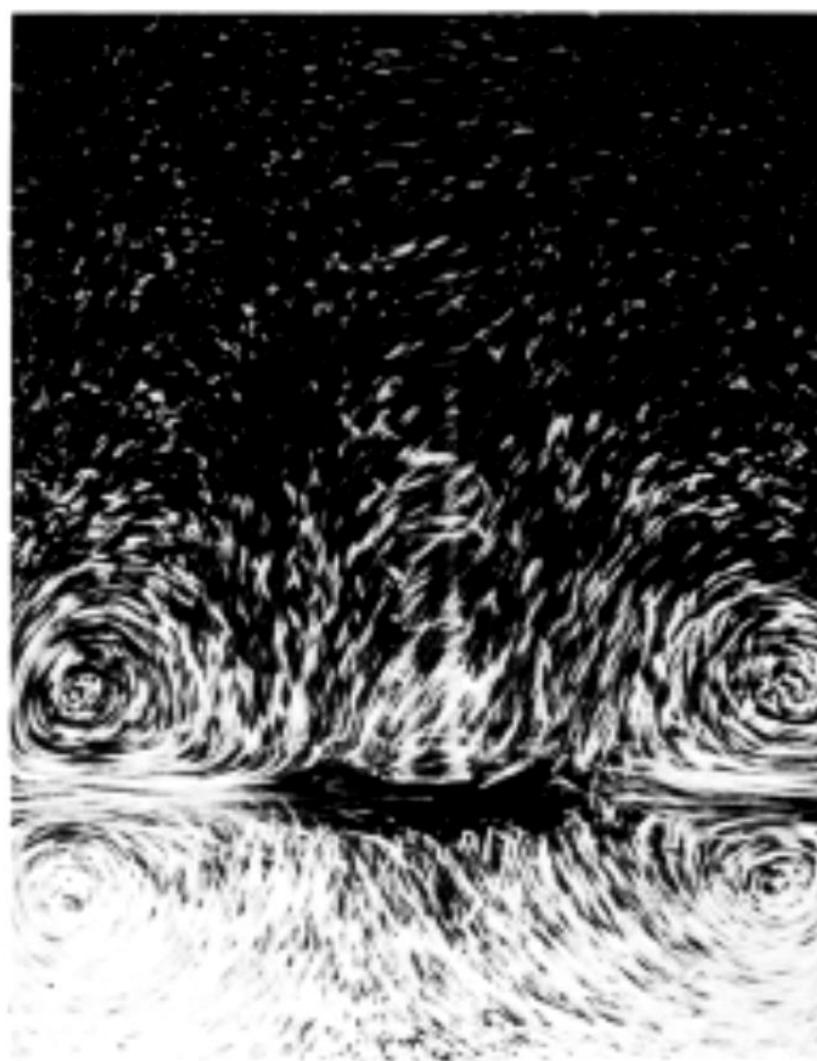


Propeller



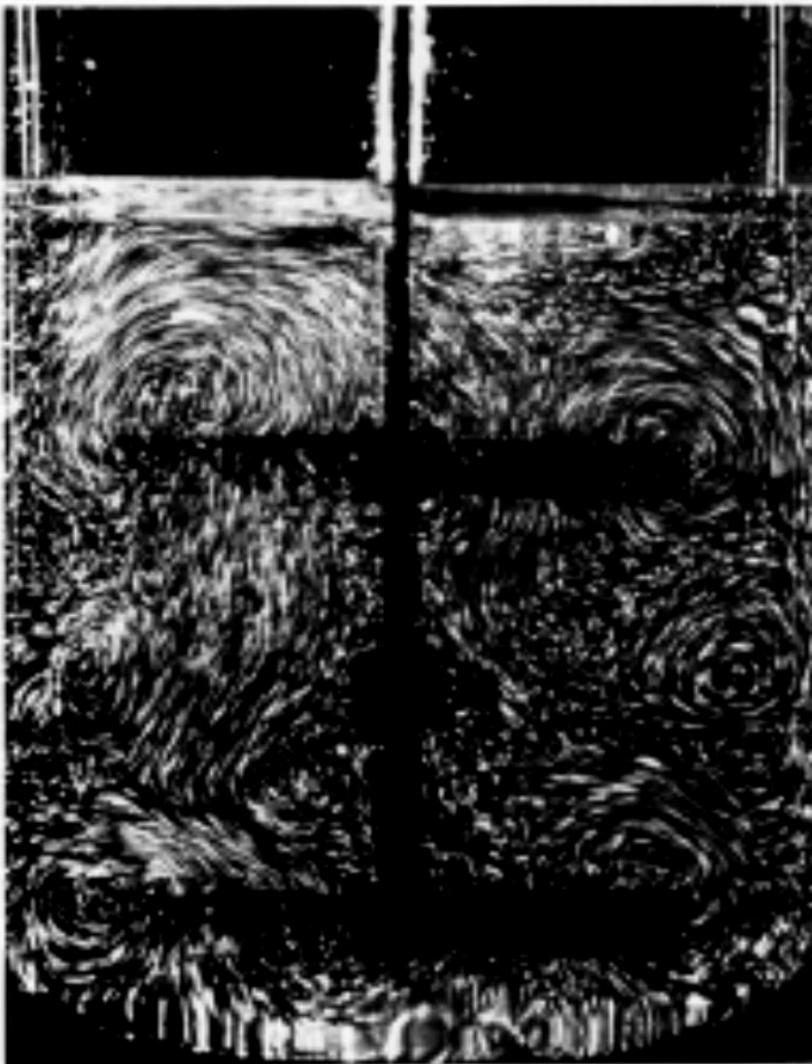
$Re = 5 \cdot 10^6$  (turbulent)

Scheibenrührer



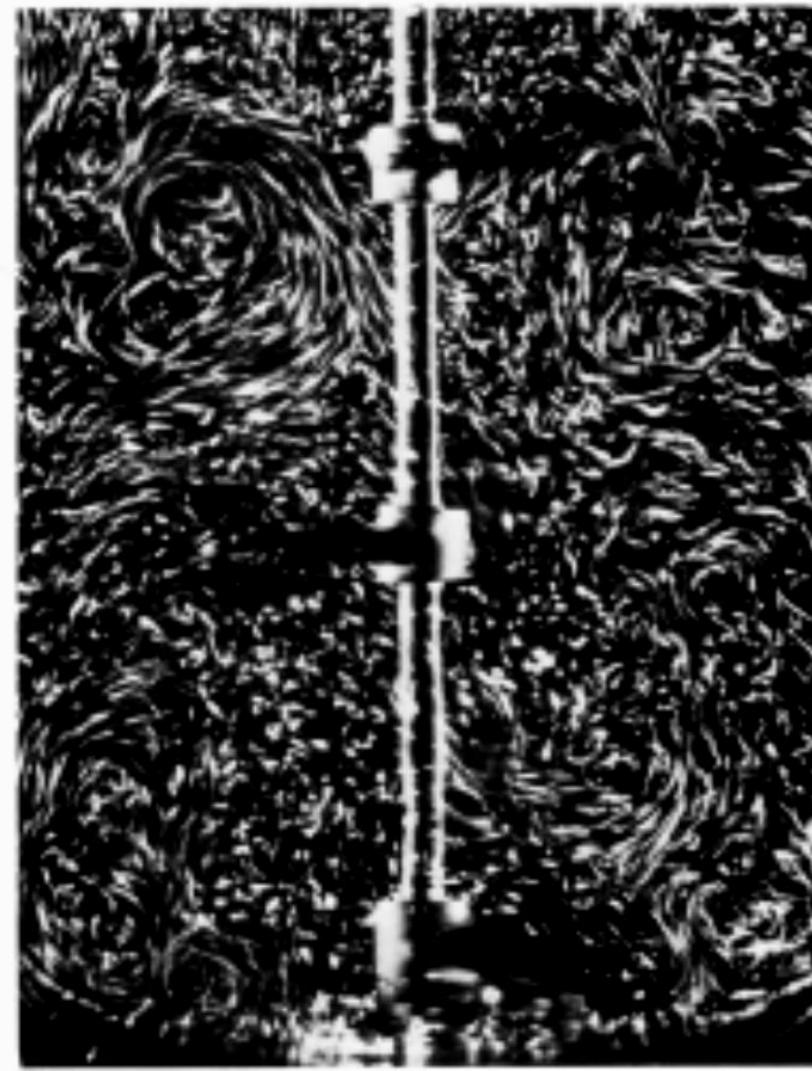
$Re = 5 \cdot 10^6$  (turbulent)

Kreuzbalkenrührer ( $\alpha = 45^\circ$ )



$Re = 300$  (laminar)

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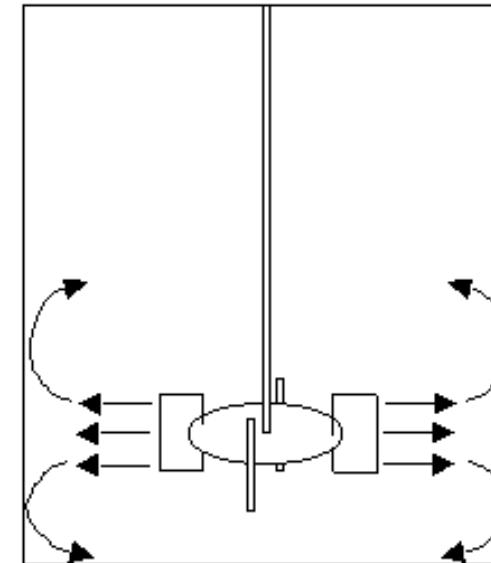
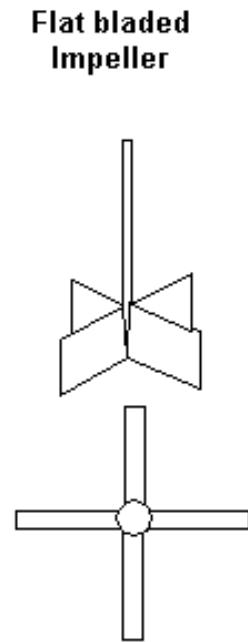
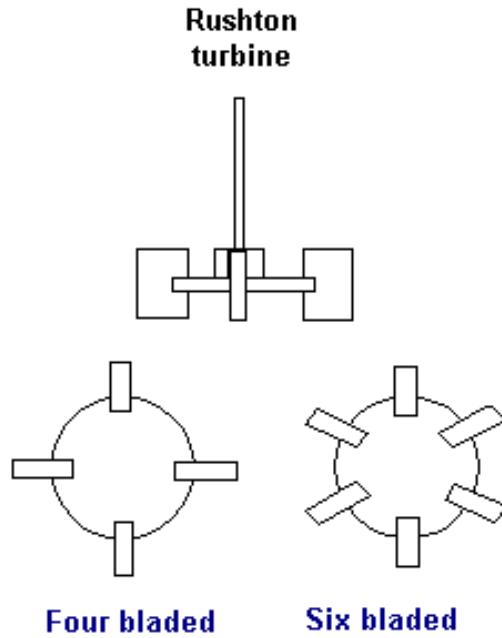


$Re = 5 \cdot 10^4$  (turbulent)

# Agitator design and operation

## Radial flow impellers

Radial flow impellers contain two or more impeller blades which are set at a vertical pitch:



With radial flow impellers, the liquid is pushed towards the wall of the tank; that is, along the radius of the reactor

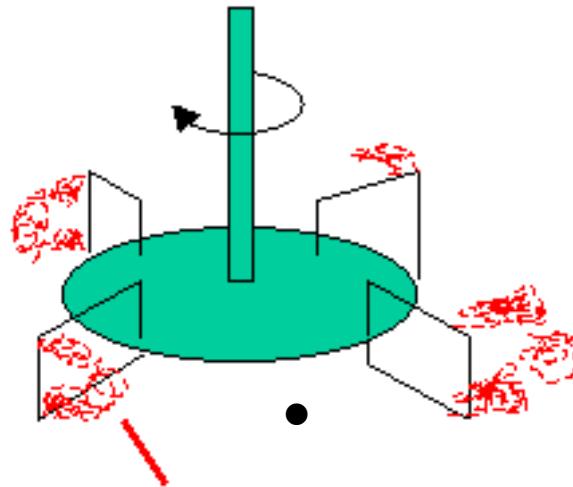
With radial flow impellers, vertical (or axial) mixing is achieved with the use of baffles.

Radial flow mixing is not as efficient as axial flow mixing for radial flow impellers, a much higher input of energy input is required to generate a given level of flow as compared to axial flow impellers.

## Radial flow impellers - Shear characteristics

Radial flow impellers do and are designed to, generate high shear conditions. This is achieved by the formation of vortices in the wake of the impeller:

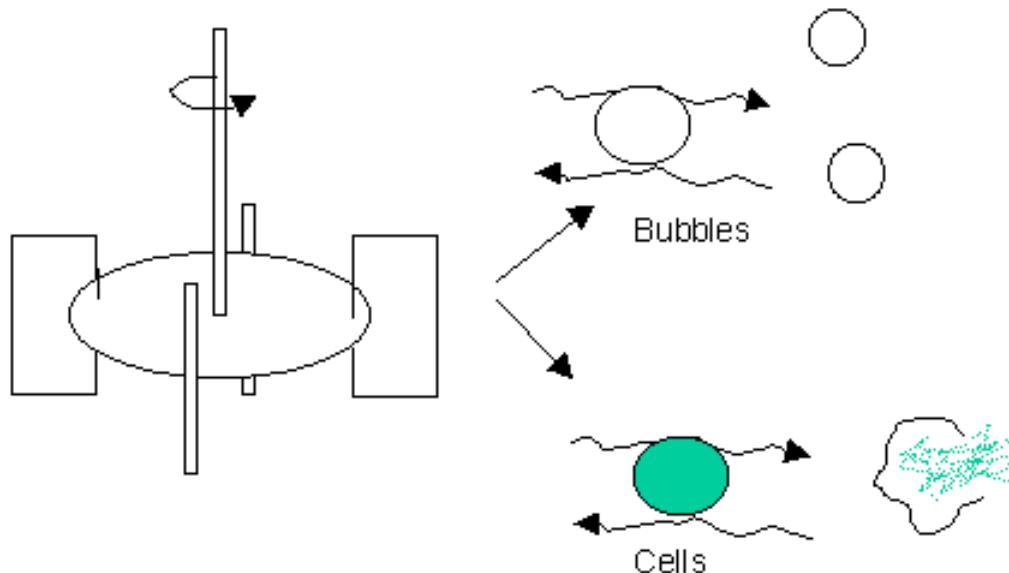
The high shear is effective at breaking up bubbles. For this reason, radial flow impellers are used for the culture of aerobic bacteria.



Eddies form in the wake of the impeller blades and generate a high shear environment

High shear can also damage shear sensitive materials such as crystals and precipitates and shear sensitive cells such as filamentous fungi and animal cells

Radial flow impellers are effective at generating high shear conditions. This aids in breaking up bubbles but can also lead to cell damage

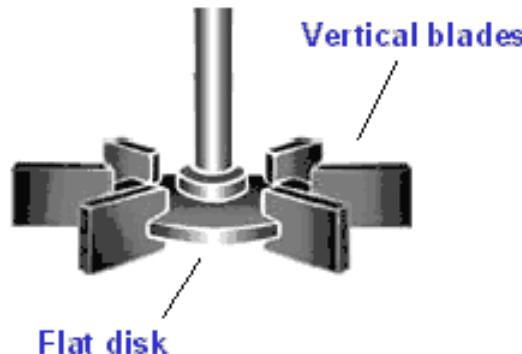


## Radial flow impellers - Rushton turbine

The most commonly used agitator in microbial fermentations is the Rushton turbine.

Like all radial flow impellers, the Rushton turbine is designed to provide the high shear conditions required for breaking bubbles and thus increasing the oxygen transfer rate.

The Rushton turbine has a 4 or 6 blades which are fixed onto a disk. The diameter of the Rushton turbine should be 1/3 of the tank diameter

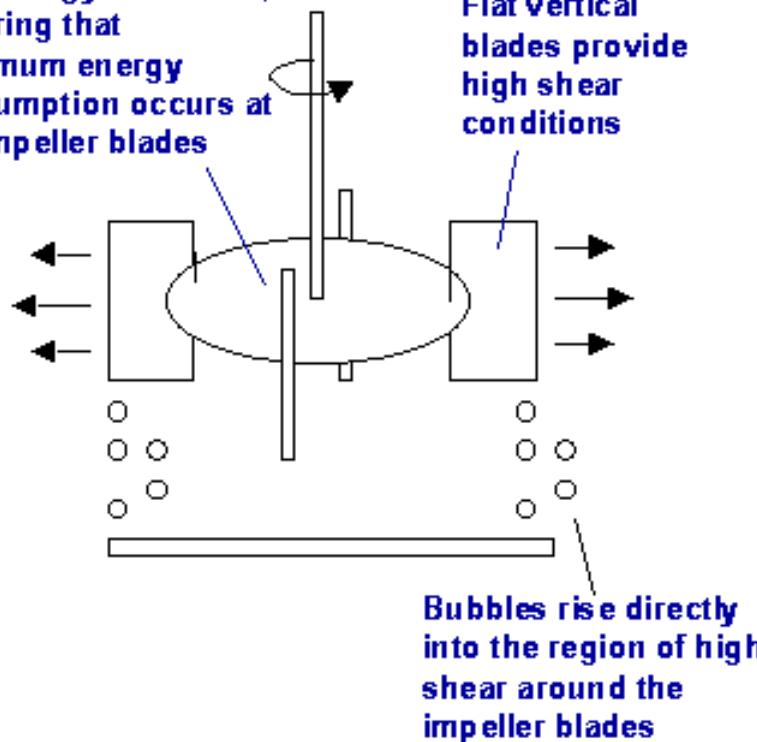


A Rushton turbine is often referred to as a disk turbine.

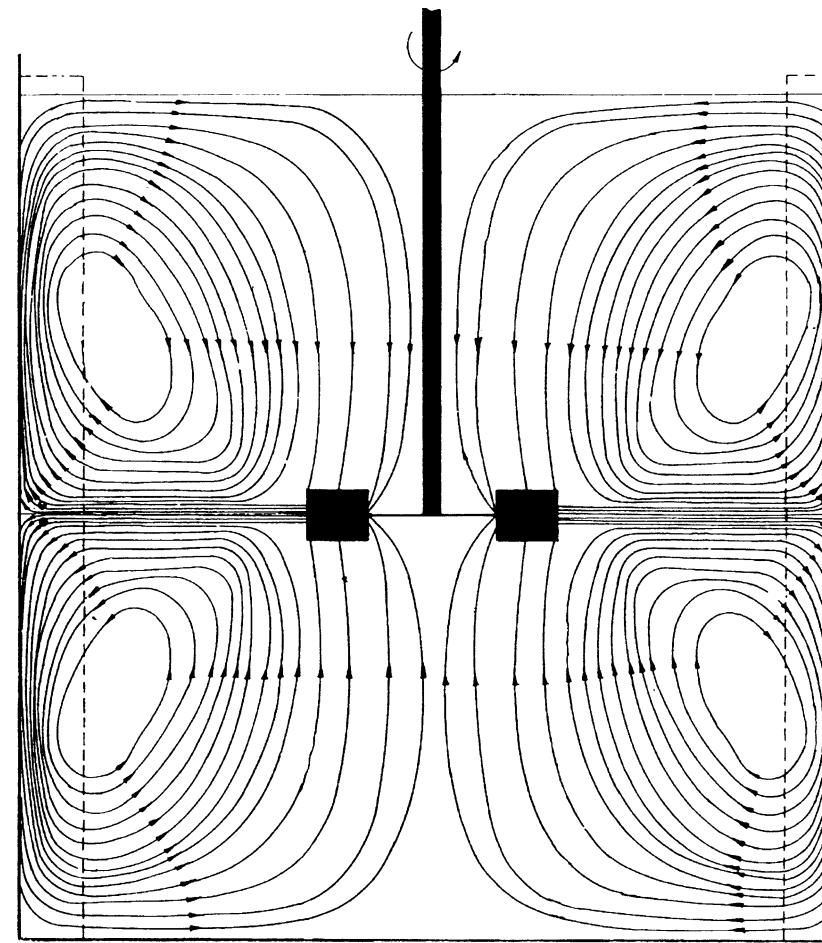
The disk design ensures that most of the motor power is consumed at the tips of the agitator and thus maximizing the energy used for bubble shearing.

Flat disk consumes little energy as it turns, ensuring that maximum energy consumption occurs at the impeller blades

Flat vertical blades provide high shear conditions



# Radial flow impellers - Rushton turbine

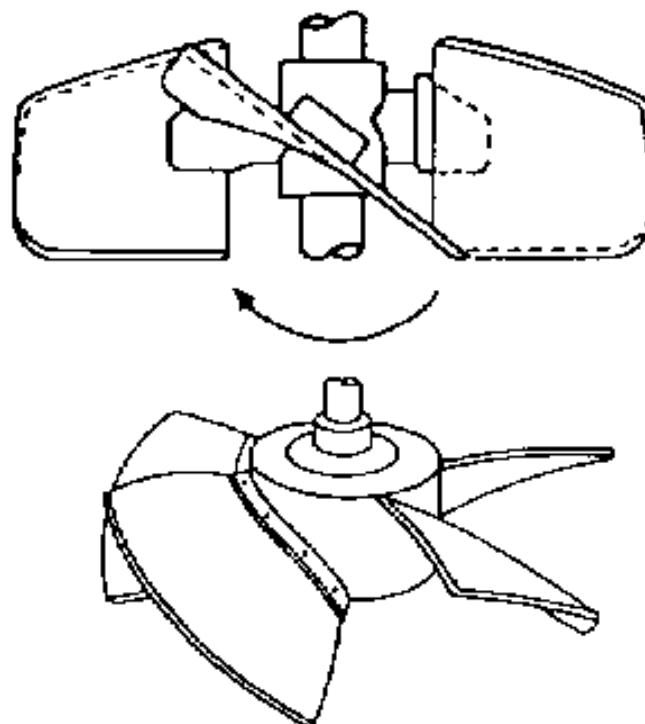


Flow pattern developed by a centrally-positioned radial-flow impeller

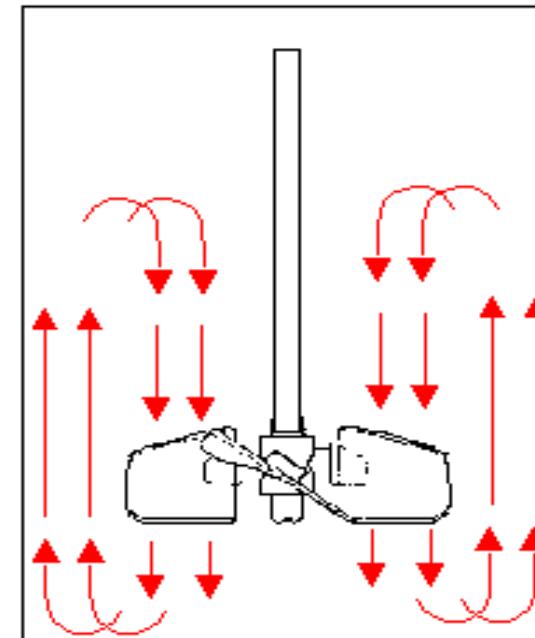
# Axial flow impellers

Axial flow impeller blades are pitched at an angle and thus direct the liquid flow towards the base of the tank.

Examples of axial flow impellers are marine impellers and hydrofoil impellers.



The resultant flow pattern is thus predominantly vertical; ie. along the tank axis

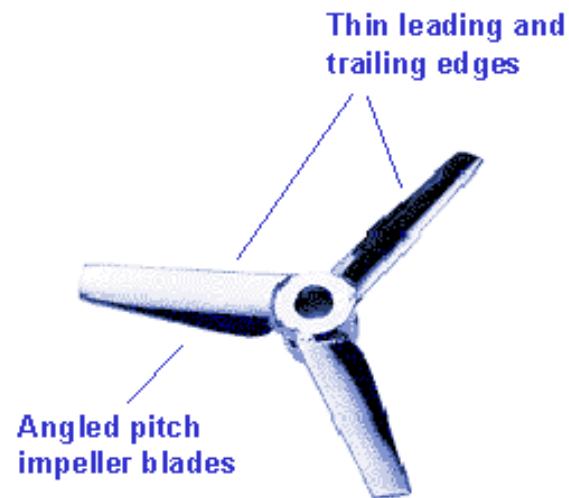


With axial impellers, the liquid is pushed in a downward direction; that is, along the axis of the reactor.

Axial flow mixing is considerably more energy efficient than radial flow mixing.

# Axial flow impellers

They are also more effective at lifting solids from the base of the tank. Axial flow impellers have low shear properties. The angled pitch of the agitators coupled with the thin trailing edges of the impeller blades reduces formation of eddies in the wake of the moving blades.



Low shear conditions are achieved by pitching the impeller blades at an angle and by making the edges of the impeller blades thin and smooth.

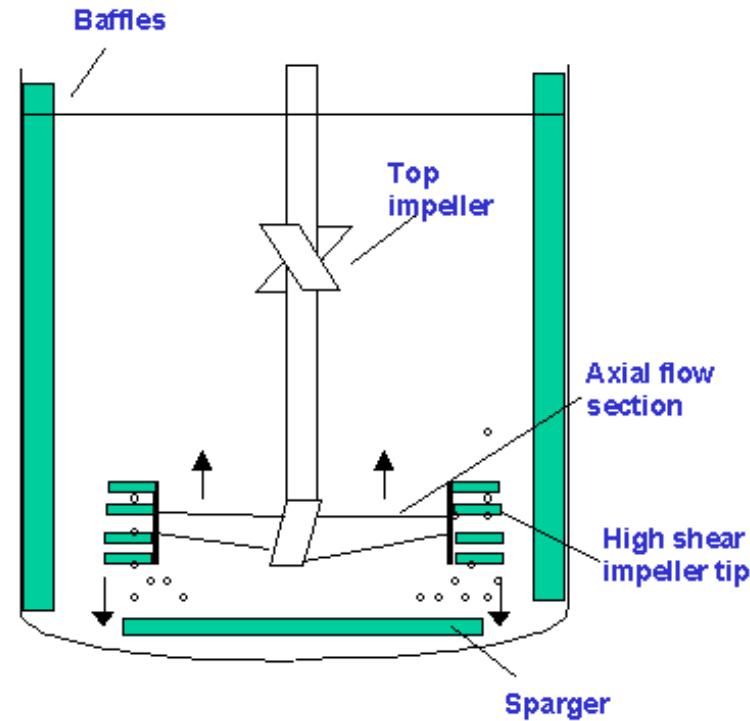
Axial flow impellers are used for mixing shear sensitive processes such as crystallization and precipitation reactions. They are also used widely in the culture of animal cells.

Their low shear characteristics generally makes them ineffective at breaking up bubbles and thus unsuitable for use in aeration of bacterial fermentations

# Axial flow impellers

## Intermig Impeller

The Intermig impeller is a axial flow which is used for microbial fermentations. The impeller is shown in the following diagram:



The agitation system has two impellers. The bottom impeller has a large axial flow section. The tips of the impeller contain finger like extensions which create a turbulent wake for breaking bubbles. As the high shear region exists only at the tip, the overall shear conditions in the reactor are lower than would be generated by a radial flow impeller such as a Rushton Turbine. Intermig impellers are used widely for agitation and aeration in **fungal fermentations**.

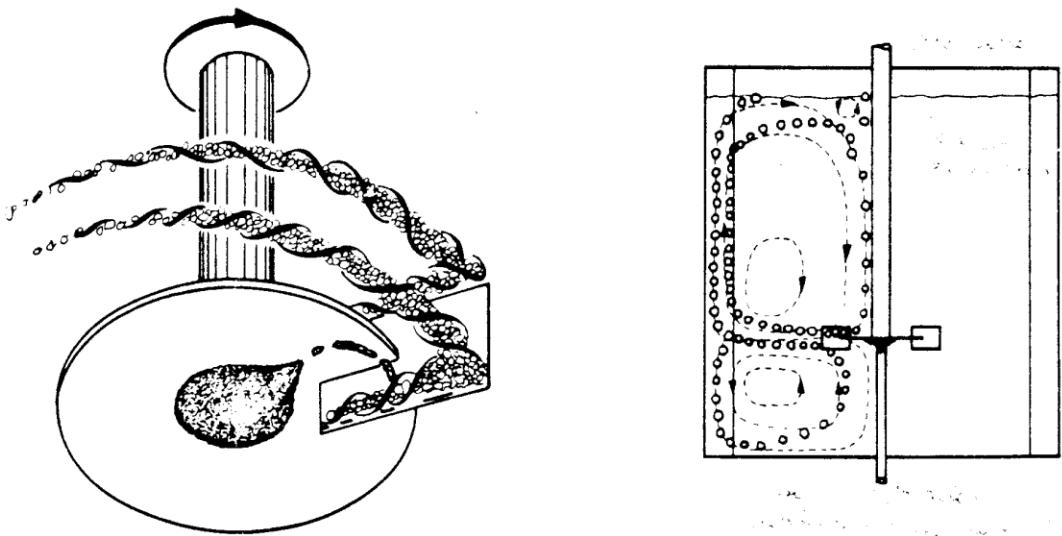


Abb. 18: Dispergierung der Luft und Blasenstroemung bei kleinem Gasdurchsatz,  
Scheibenruehrer [9]

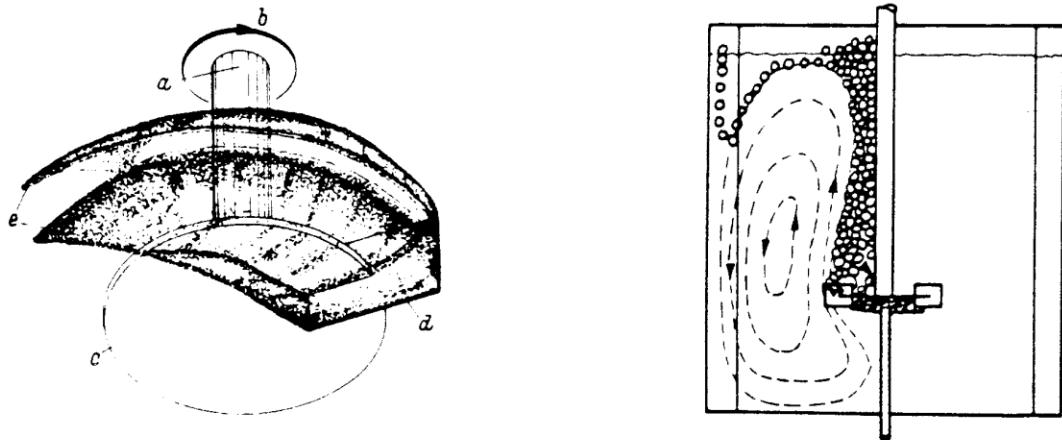


Abb. 19: Dispergierung der Luft und Blasenstroemung bei grossem Gasdurchsatz,  
Scheibenruehrer [9]

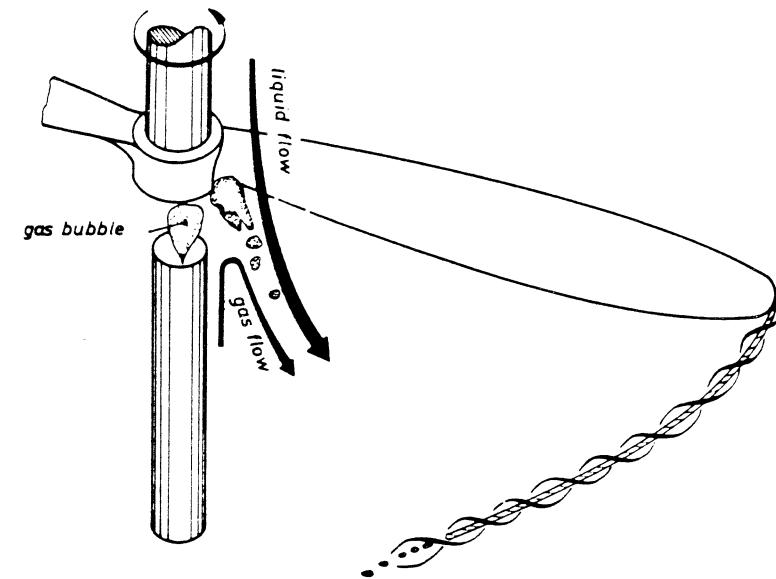
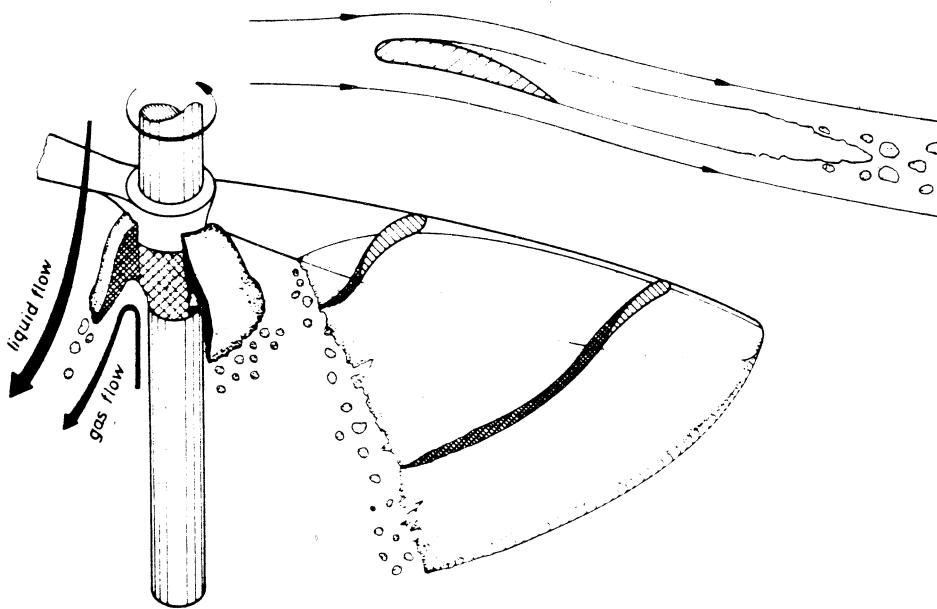
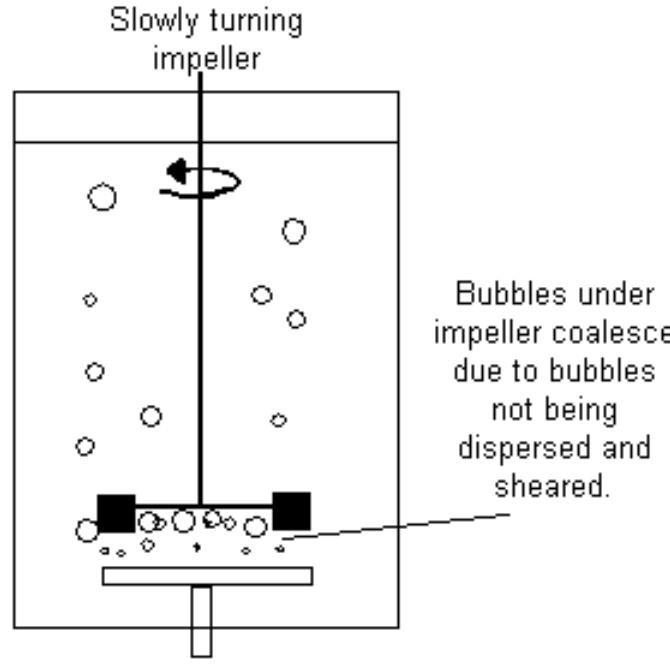


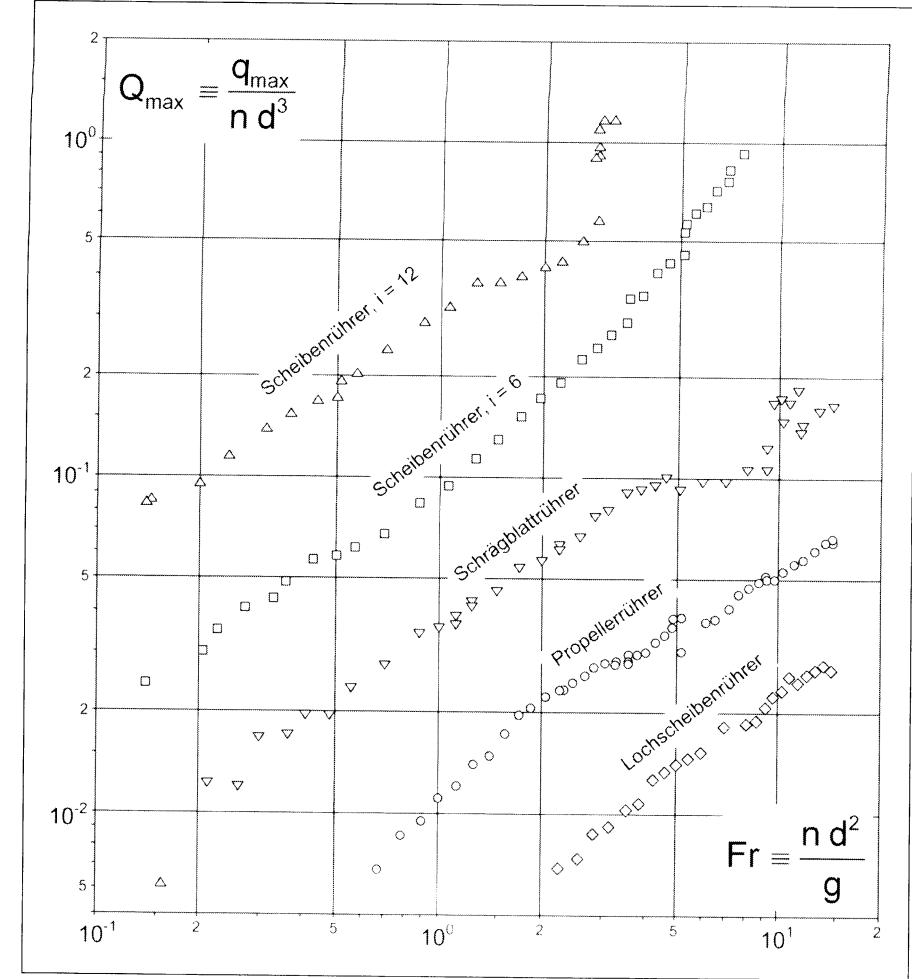
Abb. 20: Dispergierung der Luft durch einen Propellerrührer bei grossem (oben) und bei kleinem Gasdurchsatz (rechts) [9]

# Flooded impeller

If the agitation speed is too low or the air flow rate is too high, then a phenomenon known as a **flooded impeller** will occur.

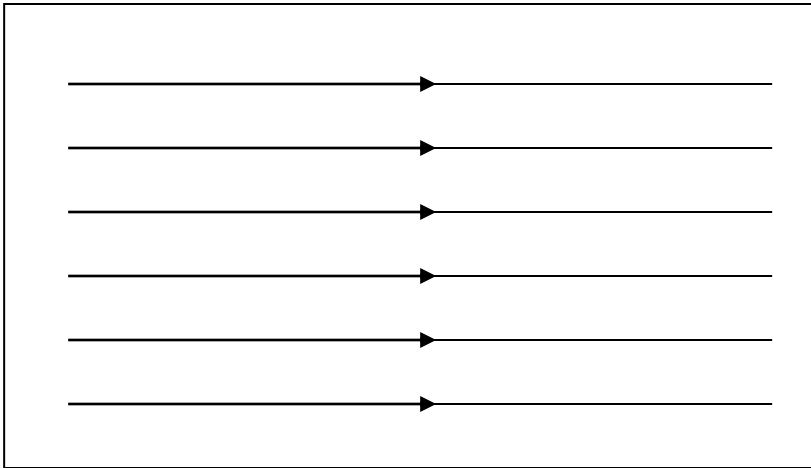


When the impeller is flooded, bubbles will accumulate underneath the impeller and coalesce. This leads to the formation of large bubbles and poor oxygen transfer efficiencies.



Characteristic of flooding for different impellers  
 $D/d = 5$ ;  $H/D = 1$ ,  $i$  = amount of blades for impeller

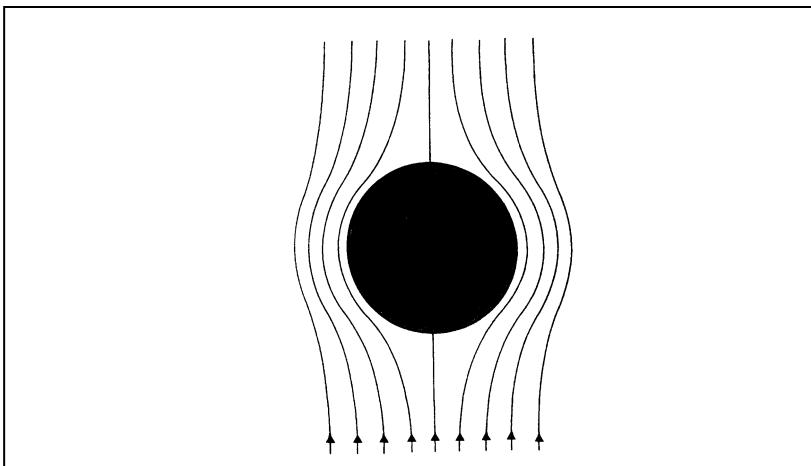
# Reynolds-Number



Constant fluid velocity

$$Re = \frac{D_i \cdot v \cdot \rho}{\eta}$$

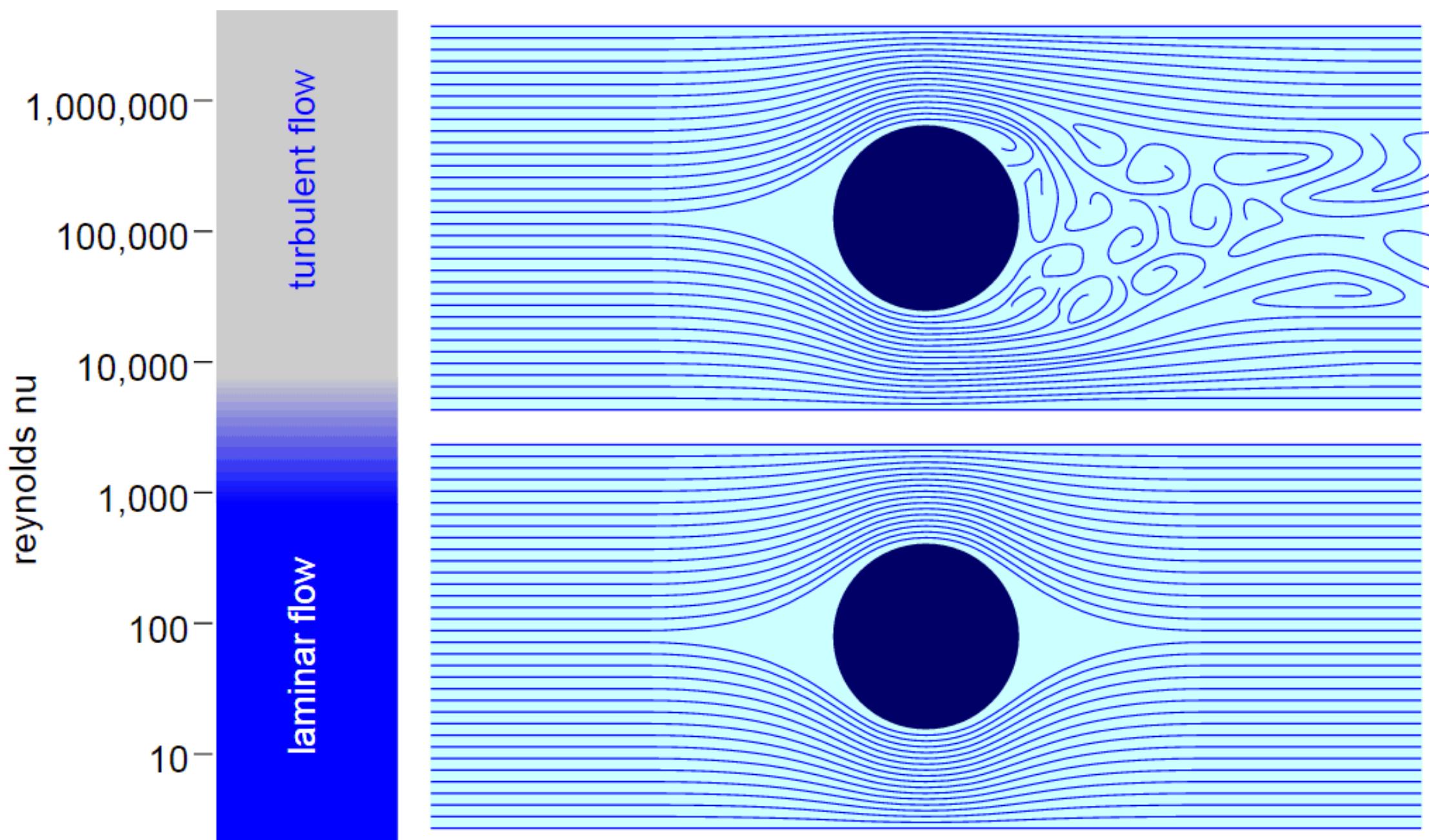
D: Pipe-Diameter;  $v$ : average linear velocity  
 $\rho$ : fluid density;  $\eta$ : fluid viscosity



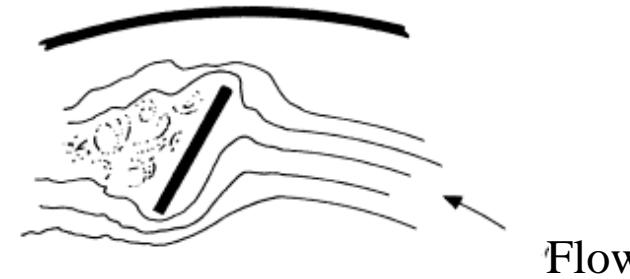
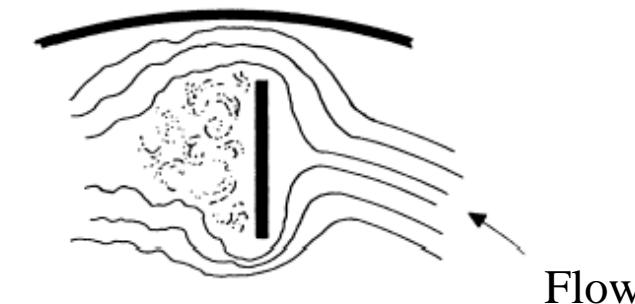
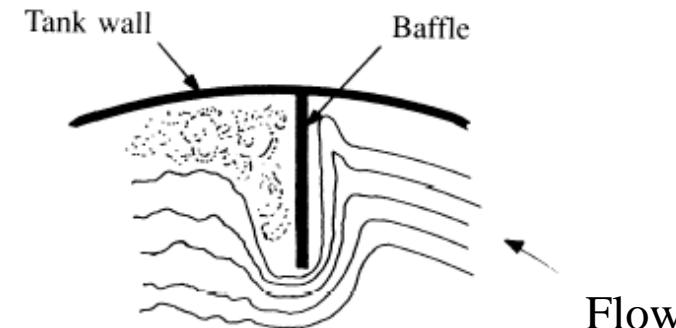
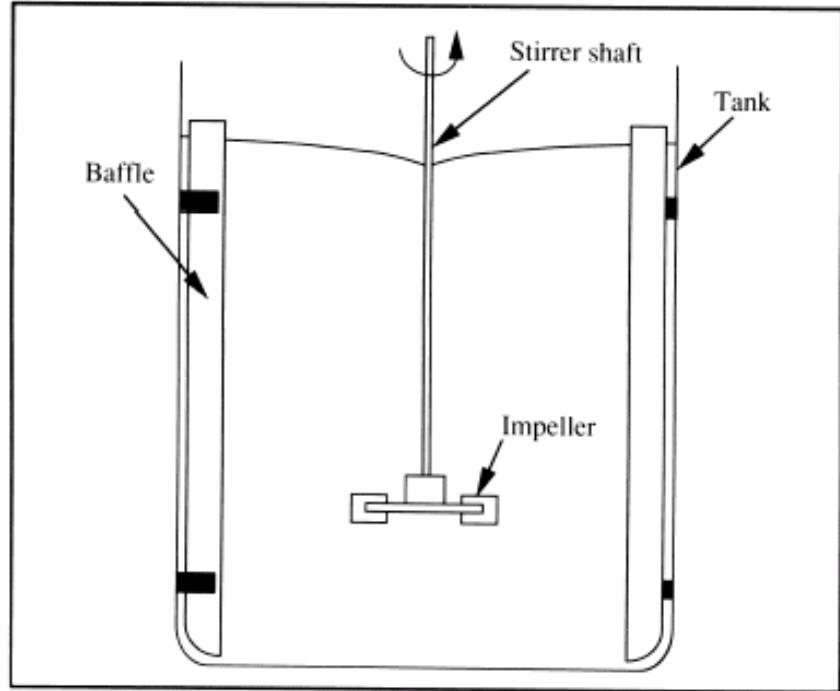
Steady flow over a submerged object

$$Re = \frac{D_i^2 \cdot N_i \cdot \rho}{\eta}$$

D: Impeller-Diameter;  $N_i$ : stirrer speed  
 $\rho$ : fluid density;  $\eta$ : fluid viscosity



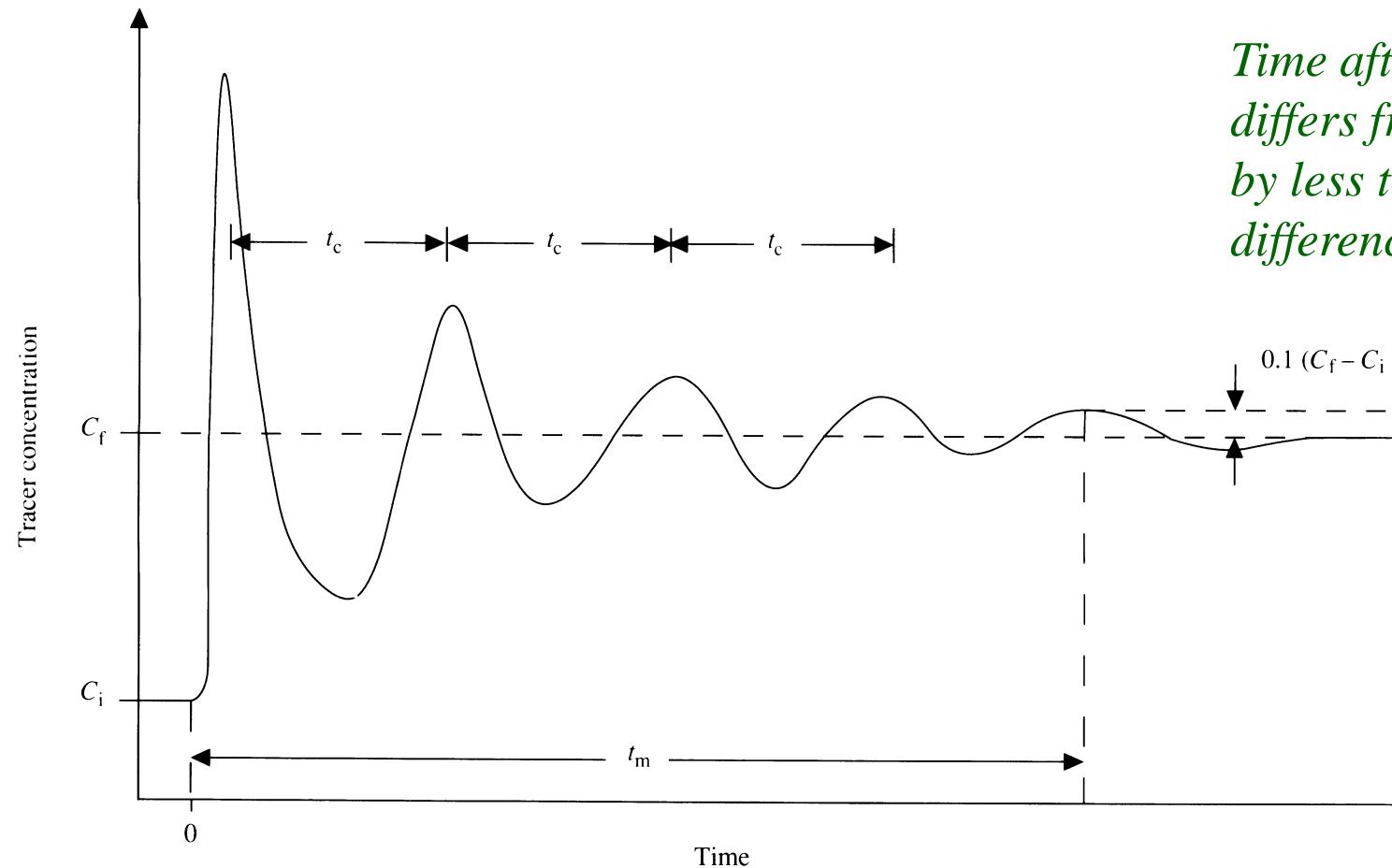
# Mixing /Mixing equipment



## Mechanism of mixing:

- distribution (macromixing)
- dispersion
- diffusion (micromixing)

# Mixing: assessing mixing effectiveness

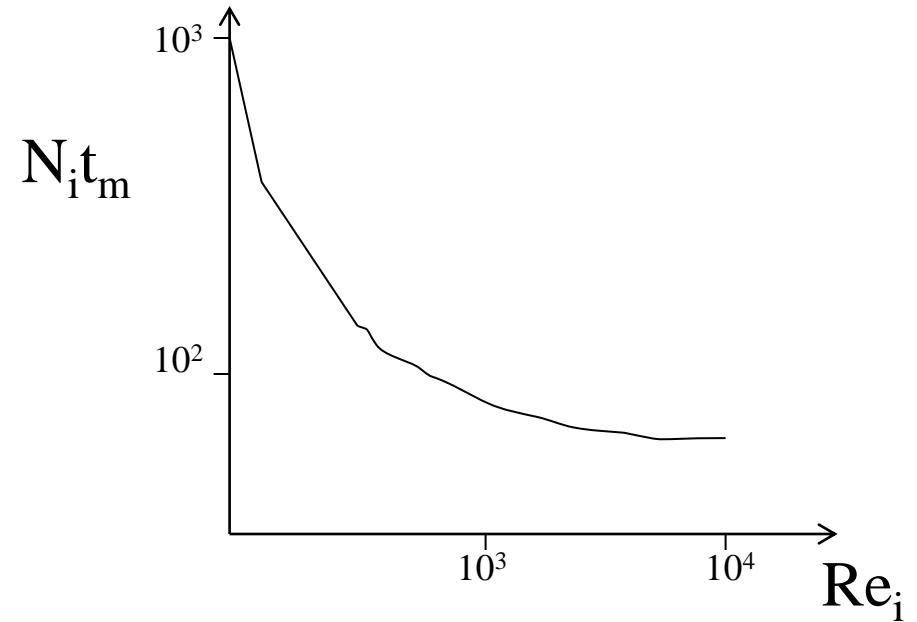


*Time after which the concentration of tracer differs from the final concentration  $C_f$  by less than 10% of the total concentration difference  $(C_f - C_i)$ .*

For a single-phase liquid in a stirred tank with several baffles and small impeller:  $t_m = 4 t_c$        $t_c$ : circulation time

Industrial scale (1-100 m<sup>3</sup>): 30 – 120 s mixing times

# Mixing: assessing mixing effectiveness



For Rushton turbines:

$$N_i t_m = \frac{1.54 V}{D_i^3}$$

(At high  $Re_i$ )

$N_i$ : speed of impeller  $t_m$ : mixing time  
 $V$ : liquid Volume  $D_i$ : impeller diameter

At low Reynold,  $N_i t_m$  increases significantly with decreasing  $Re_i$

$Re_i > 5 \times 10^3$ ,  $N_i t_m$  approaches a constant value which persists at high  $Re_i$

$N_i t_m$  at high Reynolds-numbers depends only on the size of the tank and stirrer

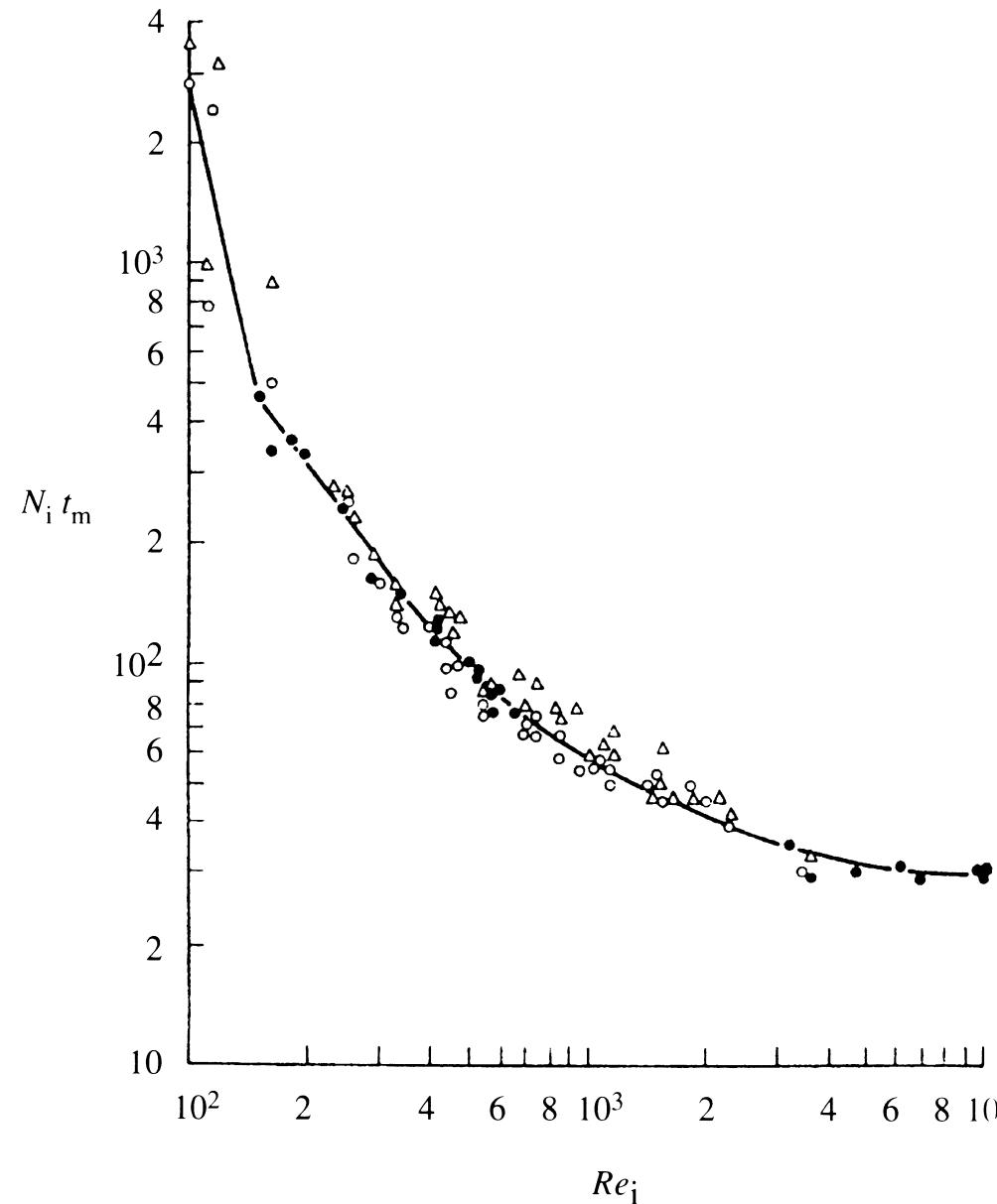
$N_i t_m$ : represents the number of stirrer rotations required to homogenise the liquid

# Mixing: assessing mixing effectiveness

Variation of mixing time with Reynolds number for a six-blade Rushton turbine in a baffled tank. The impeller is located one-third the tank diameter off the floor of the vessel; the impeller diameter is one-third the tank diameter. The liquid height is equal to the tank diameter; the tank has four baffles of width one-tenth the tank diameter. Several measurement techniques and tank sizes were used: (●) thermal method, 1.8-m diameter vessel; (○) thermal method, 0.24-m vessel; (△) decoloration method, 0.24-m vessel.

(Reprinted from C.J. Hoogendoorn and A.P. den Hartog, Model studies on mixers in the viscous flow region, *Chem. Eng. Sci.* 22, 1689–1699.

Copyright 1967, with permission from Pergamon Press Ltd, Oxford.)



# Mixing and agitation

$$Re = N_{Re} = \frac{D_i^2 \cdot N \cdot \rho}{\eta} \quad [-]$$

where:

$D_i$  = stirrer diameter

$N$  = agitation speed ( $s^{-1}$ )

$\rho$  = density ( $g \text{ cm}^{-3}$ )

$\eta$  = dynamic viscosity ( $g \text{ cm}^{-1} \text{ s}^{-1}$ )

For most bioreactors the relative velocity between the nutrient solution and individual cells should be approximately **0.5 ms<sup>-1</sup>** (i.e. highly turbulent)

	Small fermenter	Large fermenter
<b>Water</b> ( $\eta = 10^{-2} \text{ g cm}^{-1} \text{ s}^{-1}$ = 1 centi Poise)	<b><math>4 \times 10^5</math></b>	<b><math>6.9 \times 10^6</math></b>
<b>Culture medium</b> ( $\eta = 5 \text{ g cm}^{-1} \text{ s}^{-1}$ = 500 centi Poise)	<b><math>8 \times 10^2</math></b>	<b><math>1.4 \times 10^2</math></b>

Reynold 's numbers for typical bioreactors

# Example : Estimation of Mixing time

A fermentation broth with viscosity  $10^{-2}$  Pa s and a density  $1000$  kg m $^{-3}$  is agitated in a  $2.7$  m $^3$  baffled tank using a Rushton turbine with diameter  $0.5$  m and a stirrer speed  $1$  s $^{-1}$ . Estimate the mixing time.

$$1 \text{ Pa}\cdot\text{s} = \mathbf{1 \text{ kg}/(\text{m}\cdot\text{s})}$$

# Power number

$N_P = \frac{\text{imposed force}}{\text{inertial force}}$

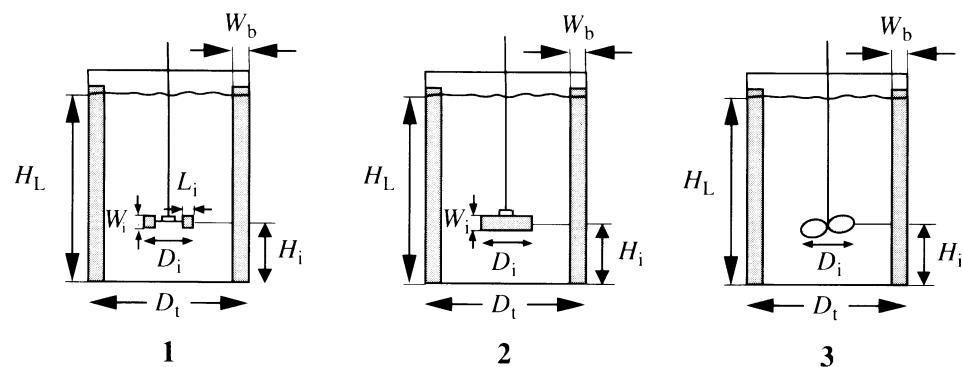
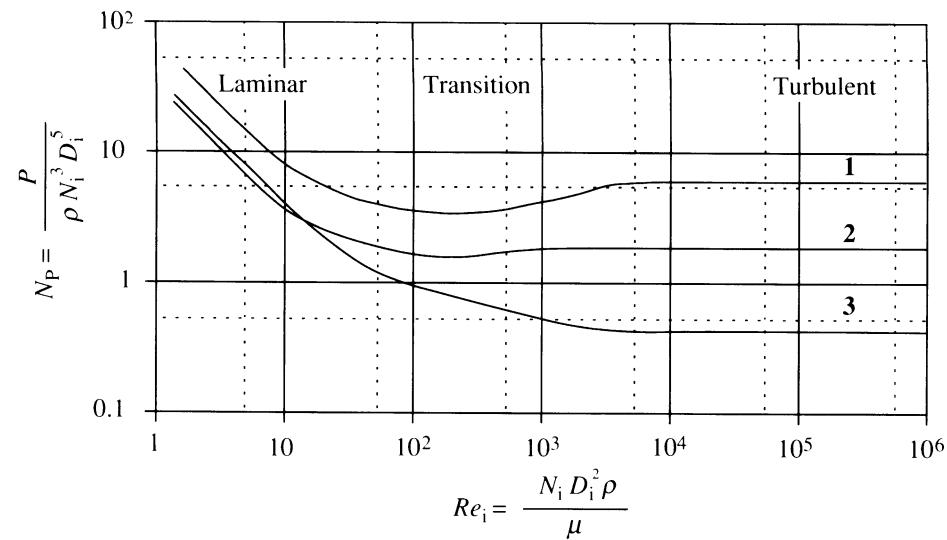
$$N_P = \frac{P_0}{N^3 D_i^5 \rho}$$

$P_0$  = stirring power (kW)

$N$  = stirrer speed ( $s^{-1}$ )

$D_i$  = stirrer diameter (cm)

$\rho$  = medium density ( $g\ cm^{-3}$ )



Impeller	$D_t / D_i$	$H_L / D_i$	$H_i / D_i$	Baffles	
				$W_b / D_t$	Number
1. Rushton turbine $W_i / D_i = 0.2, L_i / D_i = 0.25$	3	3	1	0.1	4
2. Paddle $W_i / D_i = 0.25$	3	3	1	0.1	4
3. Marine propeller Pitch = $D_i$	3	3	1	0.1	4

Correlation between power number and Reynolds number for Rushton turbine, paddle and marine propeller without sparging



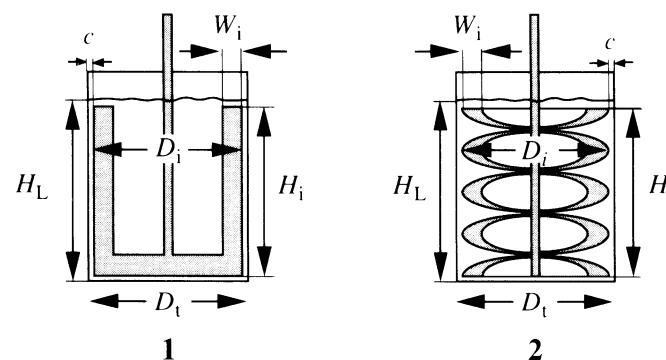
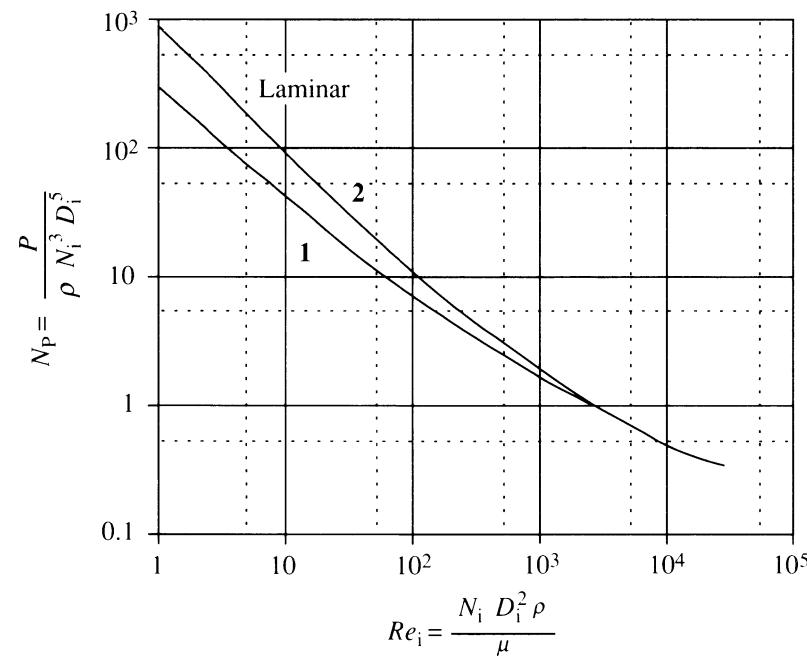
1.



2.



3.

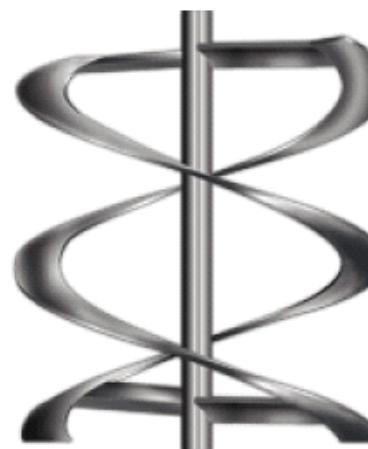


Impeller	$D_t / D_i$	$c / D_i$	$H_i / D_i$	$W_i / D_i$
1. Anchor	1.02	0.01	1	0.1
2. Helical ribbon	1.02	0.01	1	0.1

Correlation between power number and Reynolds number for anchor and helical-ribbon impellers without sparging



1.



2.

# Correlation between power number and Reynold's number

**In the laminar flow region of mixing speeds ( $N_{Re} < 10$ )**

$$N_p = K_1 (N_{Re})^{-m}$$

where:

$K_1$  = a constant, independent of reactor size but dependent on reactor geometry and impellor shape/ size

$m$  = 1

The power required for agitation is **independent** of culture **density** but correlated with viscosity:

$$P_0 = K_1 \cdot N^2 \cdot D_i^3 \cdot \eta$$

# Correlation between power number and Reynold's number

**In the turbulent flow range** of mixing speeds ( $N_{Re} > 10^4$ ) the power number ( $N_p$ ) is **constant and independent of the Reynold's number**:

$$N_p = K_2 = \text{constant } m = 0$$

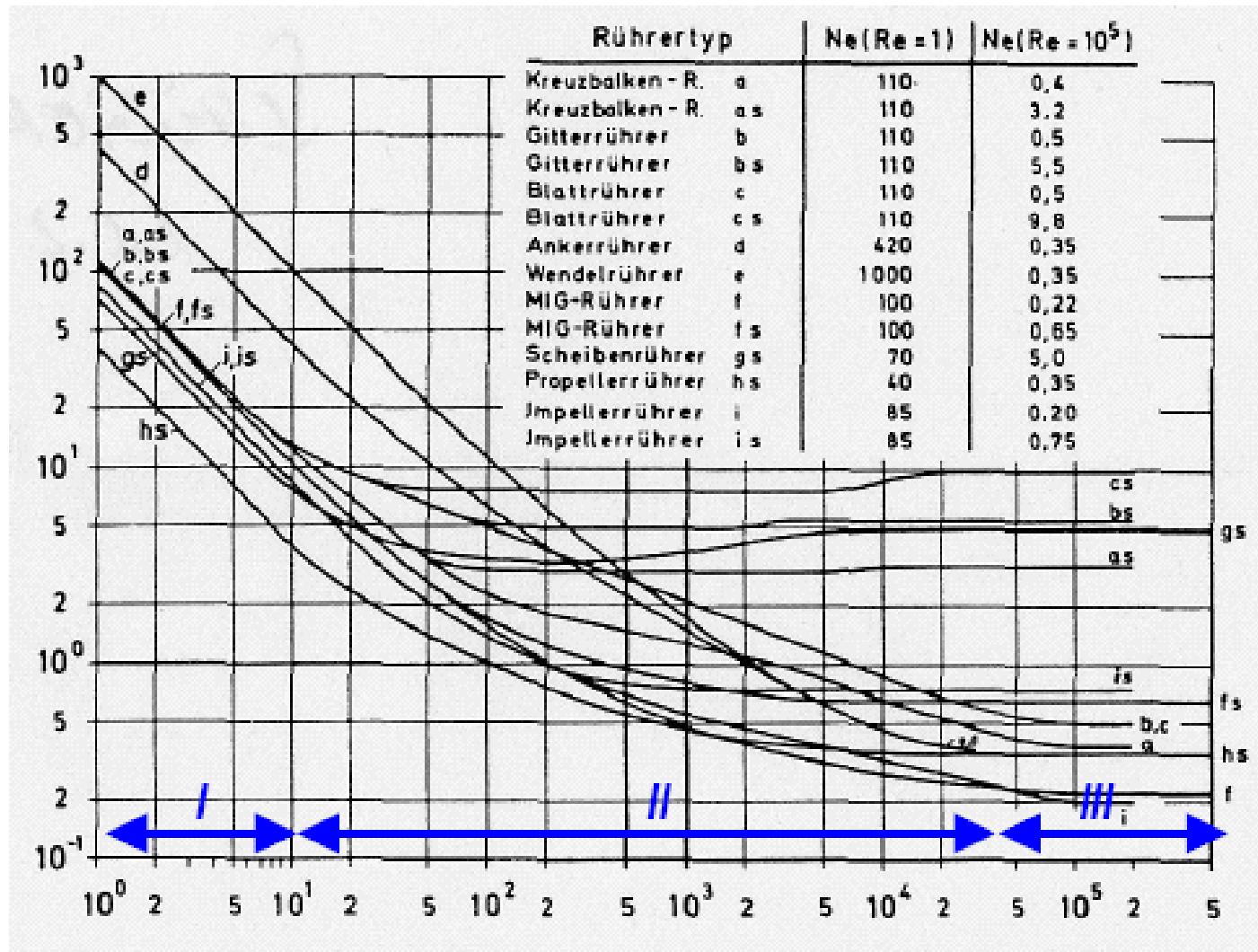
Under these conditions the power number is independent of viscosity:

$$P_0 = K_2 \cdot N^3 \cdot D_i^5 \cdot \rho$$

**In the transient range** of mixing speeds ( $N_{Re} = 10-10^4$ ) there is no simple correlation between  $N_p$  and  $N_{Re}$

# Correlation between power number and Reynold's number

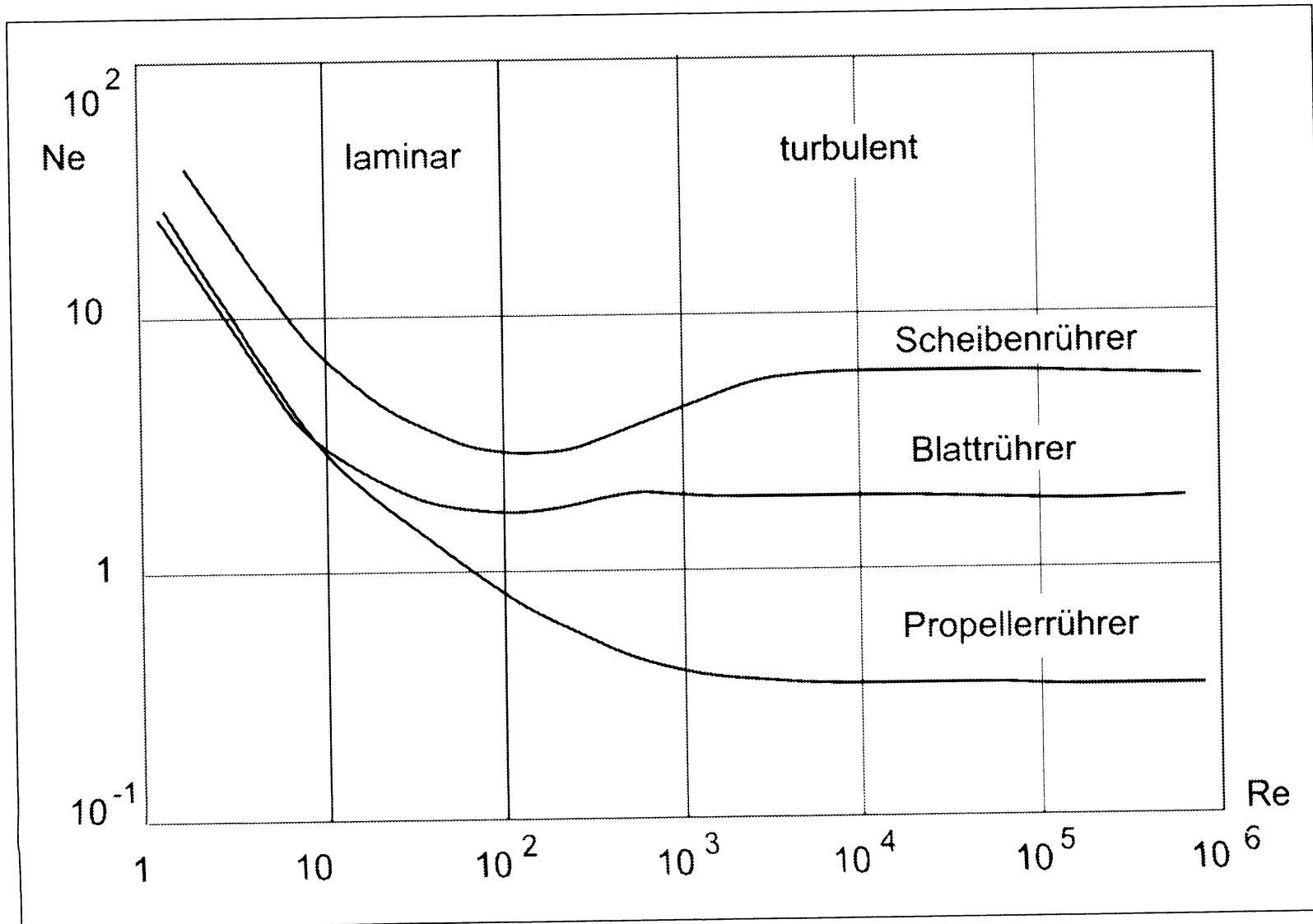
Impeller type	$K_1$ ( $N_{Re} = 1$ )	$K_2$ ( $N_{Re} = 10^5$ )
Rusthon turbine	70	5-6
Paddle	35	2
Marine Impeller	40	0.35
Anchor	420	0.35
Helical ribbon	1000	0.35



$$c_P = K_2$$

Rührertyp	$c_P$ -Wert
Blattrührer	9,8
Scheibenrührer (12 Blatt)	8,0
Gitterrührer	5,5
Scheibenrührer (6 Blatt)	5,0
Kreuzbalkenrührer	3,2
Impellerrührer	0,75
Propellerrührer	0,35

(s: mit Strombrecher)



# Example: Calculation of power requirements

A fermentation broth with viscosity  $10^{-2}$  Pa s and a density  $1000$  kg m $^{-3}$  is agitated in a  $50$  m $^3$  baffled tank using a marine propeller  $1.3$  m in diameter. The tank geometry is:

Calculate the power required for a stirrer speed of  $4$  s $^{-1}$ .

$$1 \text{ W} = 1 \text{ kg m}^2 \text{ s}^{-3} \quad 1 \text{ Pa}\cdot\text{s} = 1 \text{ kg}/(\text{m}\cdot\text{s})$$

# Effect of viscosity

For **Newtonian fluids** the dynamic viscosity is constant at constant temperature and is dependent on the ratio of the shear stress to the rate of shear as described by Newton 's law of friction:

$$\eta = \frac{T}{\gamma} = \text{constant (for Newtonian fluid)} \quad [\eta] = \frac{\text{kg}}{\text{m} \cdot \text{s}} = \text{Pa} \cdot \text{s} = \frac{\text{Ns}}{\text{m}^2}$$

where:  $T$  = Shear stress ( $\text{kg m}^{-1}$ )  
 $\gamma$  = Shear rate ( $\text{s}^{-1}$ )

$$1 \text{ Ns/m}^2 = 1 \text{ Pa} \cdot \text{s} = 10 \text{ Poise}$$
$$1 \text{ Centipoise} = 1 \text{ cP} = 10^{-3} \text{ Ns/m}^2.$$

For non- Newtonian cultures the dynamic viscosity is dependent on temperature and rate of shear. Such fluids include:

**Pseudoplastic**- apparent viscosity decreases with increasing shear rate

**Dilatant**- apparent viscosity increases with increasing shear rate

**Bingham plastic**- will not flow unless a stress,  $T_0$  is imposed as given by:

$$\frac{T - T_0}{\gamma} = \eta = \text{constant}$$

# Common non-Newtonian fluids

<i>Fluid type</i>	<i>Examples</i>
<b>Newtonian</b>	all gases, water, dispersions of gas in water, low-molecular-weight liquids, aqueous solutions of low-molecular-weight compounds
<b>Non-newtonian</b>	
Pseudoplastic	rubber solutions, adhesives, polymer solutions, some greases, starch suspensions, cellulase acetate, mayonnaise, some soap and detergent slurries, some paper pulps, paints, wallpaper paste, biological fluids
Dilatantsome	cornflour and sugar solutions, starch, quicksand, wet beach sand, iron powder dispersed in low-viscosity liquids, wet cement aggregates
Bingham	some plastic melt, margarine, cooking fats, some greases, toothpaste, some soap and detergent slurries, some paper pulps
Casson plastic	Blood, tomato sauce, orange juice, melted chocolate, printing ink

<i>Culture</i>	<i>Shear rate</i> ( $s^{-1}$ )	<i>Viscometer</i>	<i>Comments</i>
<i>Saccharomyces cerevisiae</i> (pressed cake diluted with water)	2–100	rotating spindle	Newtonian below 10% solids ( $\mu < 4\text{--}5\text{ cP}$ ); pseudoplastic above 10% solids
<i>Aspergillus niger</i> (washed cells in buffer)	0–21.6	rotating spindle (guard removed)	pseudoplastic
<i>Penicillium chrysogenum</i> (whole broth)	1–15	turbine impeller	Casson plastic
<i>Penicillium chrysogenum</i> (whole broth)	not given	coaxial cylinder	Bingham plastic
<i>Penicillium chrysogenum</i> (whole broth)	not given	coaxial cylinder	pseudoplastic; $K$ and $n$ vary with $\text{CO}_2$ content of inlet gas
<i>Endomyces</i> sp. (whole broth)	not given	coaxial cylinder	pseudoplastic; $K$ and $n$ vary over course of batch culture
<i>Streptomyces noursei</i> (whole broth)	4–28	rotating spindle (guard removed)	Newtonian in batch culture; viscosity 40 cP after 96 h
<i>Streptomyces aureofaciens</i> (whole broth)	2–58	rotating spindle/ coaxial cylinder	initially Bingham plastic due to high starch concentration in medium; changes to Newtonian as starch is broken down; increasingly pseudoplastic as mycelium concentration increases

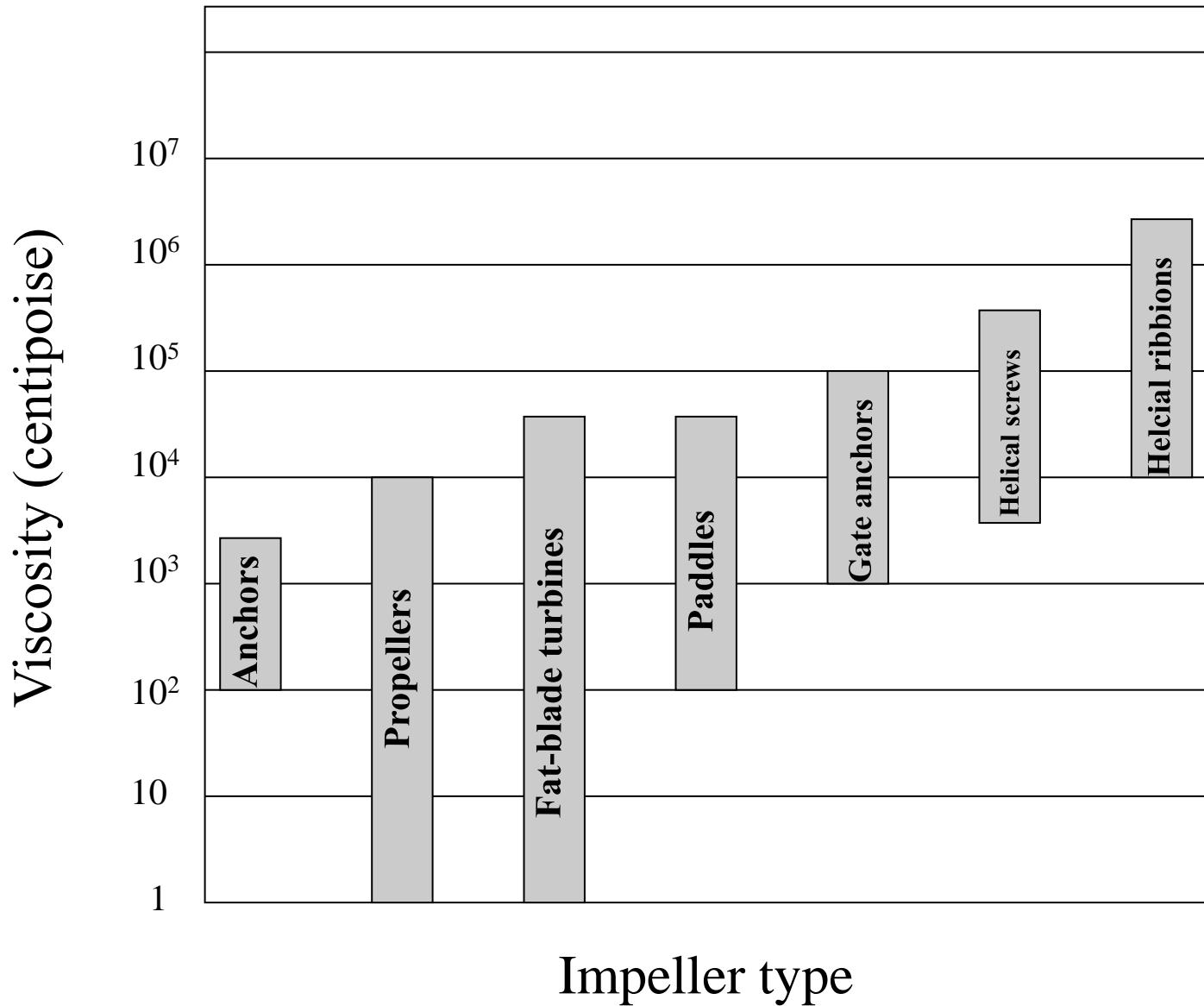
<i>Streptomyces aureofaciens</i> (whole broth)	2–58	rotating spindle/ coaxial cylinder	initially Bingham plastic due to high starch concentration in medium; changes to Newtonian as starch is broken down; increasingly pseudoplastic as mycelium concentration increases
<i>Aureobasidium pullulans</i> (whole broth)	10.2–1020	coaxial cylinder	Newtonian at the beginning of culture; increasingly pseudoplastic as concentration of product (exopolysaccharide) increases
<i>Xanthomonas campestris</i>	0.0035–100	cone-and-plate	pseudoplastic; $K$ increases continually; $n$ levels off when xanthan concentration reaches 0.5%; cell mass (max 0.6%) has relatively little effect on viscosity

# Viscosity of filamentous fermentations

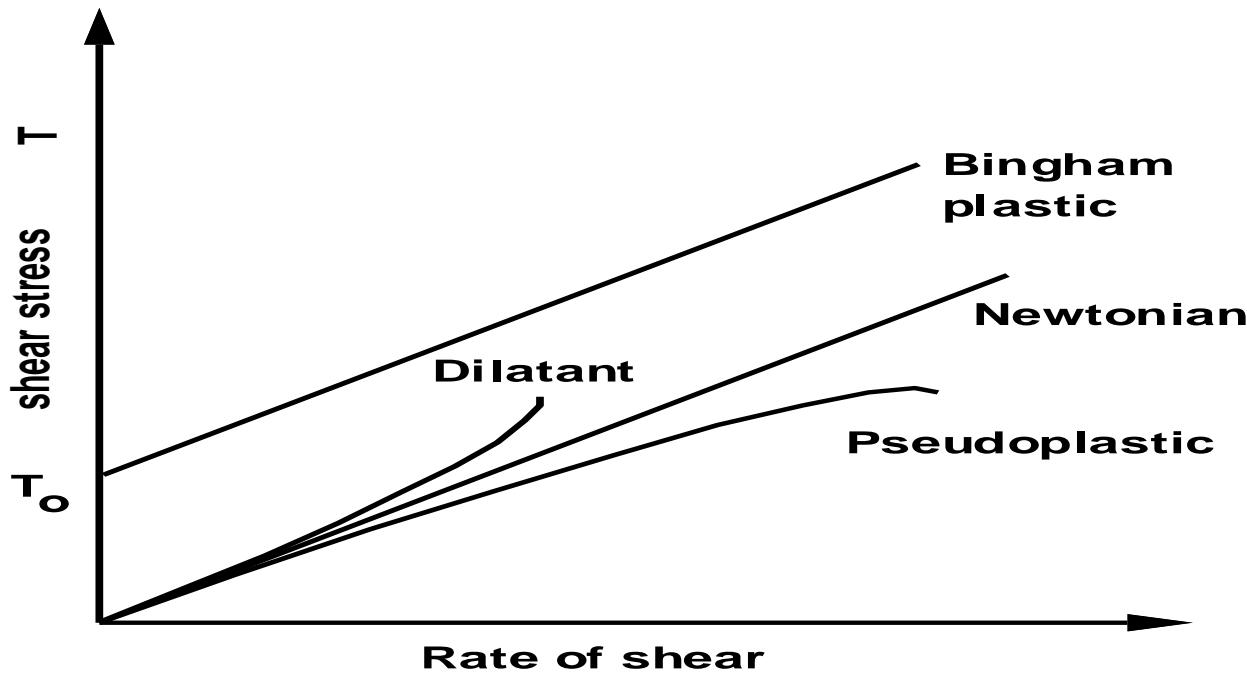
Microorganism	Application	Viscosity
<i>P.chrysogenum</i>	penicillin	Pseudoplastic
<i>Coniothyrium hellborei</i>	steroid hydroxylation	Bingham
<i>Streptomyces noursei</i>	nystatin	Newtonian
<i>A. niger</i>		Bingham
<i>Streptomyces niveus</i>	novobiocin	Bingham
<i>Streptomyces griseus</i>	streptomycin	Bingham
<i>Streptomyces sp.</i>		Newtonian and Pseudoplastic
<i>Endomyces sp.</i>	glucoamylase	Pseudoplastic

Note: animal cell fermentations Newtonian

# Viscosity ranges for different impellers



# Shear stress



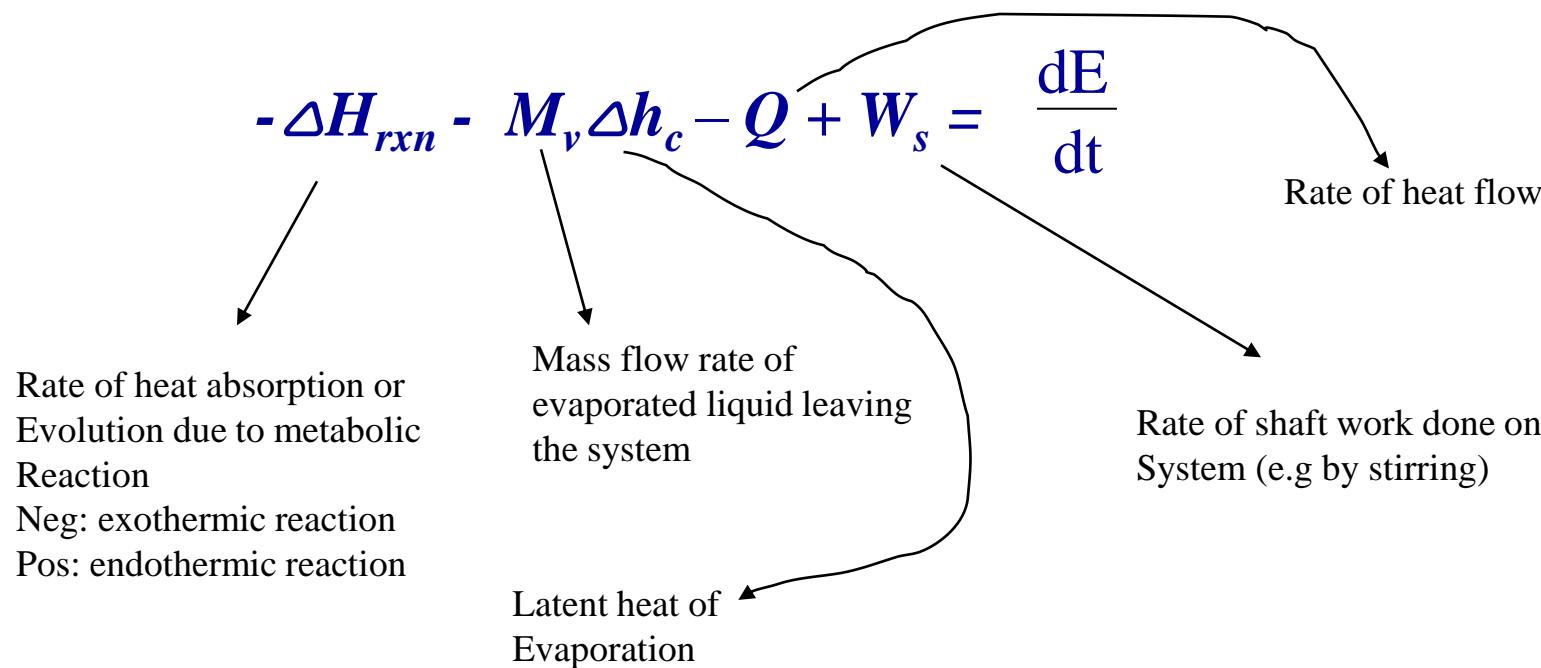
Correlation between shear rate and shear stress in culture media  
with Newtonian and non- Newtonian properties

# Heat Transfer

- In large reactors, the two main limitations on size are the abilities of the design to provide an adequate supply of oxygen and to remove metabolic heat efficiently
- Large reactors use either internal coils or a jacketed vessel for heat removal
  - Internal coils provide advantages over cooling jackets, as they provide a larger surface area for heat transfer
  - In some systems the coils can rapidly become fouled by microbial growth, decreasing heat transfer and often adversely affecting mixing

# Heat Transfer

Cell metabolism is usually the largest source of heat in fermenters, the capacity of the system for heat removal can be linked directly to the maximum cell concentration in the reactor.



At steady state:  $\frac{dE}{dt} = 0 \Rightarrow Q = -\Delta H_{rxn} - M_v \Delta h_c + W_s$

Assuming that heat dissipated from the stirrer and the cooling effects of evaporation are negligible with the heat of reaction:

$$Q = -\Delta H_{rxn}$$

Outlined earlier, approx. 460 kJ heat is released for each mole oxygen consumed.

If  $Q_{O_2}$ : rate of oxygen uptake per unit volume (gmol m<sup>-3</sup> s<sup>-1</sup>)

$$\Delta H_{rxn} = (-460 \text{ kJ gmol}^{-1}) Q_{O_2} V$$

Overall heat-transfer coefficient  
(Wm<sup>-2</sup> K<sup>-1</sup>)

$$Q = -\Delta H_{rxn} = (460 \text{ kJ gmol}^{-1}) Q_{O_2} V = q O_2 x V = UA \Delta T$$

Surface area

Fastest rate of heat transfer: if  **$\Delta T$  maximum**

(hypothetically, this occurs when the cooling water remains at its inlet  
 $T$ :  $\Delta T = T_F - T_{ci}$  ( $T_F$  : fermenter  $T$ ,  $T_{ci}$ : water inlet  $T$ )

$$Q = -\Delta H_{rxn} = (460 \text{ kJ gmol}^{-1}) Q_{O_2} V = q O_2 x V = \textcolor{red}{U} \textcolor{green}{A} \Delta \textcolor{blue}{T}$$

$$\rightarrow X_{\max} = \frac{\textcolor{red}{U} \textcolor{green}{A} (T_F - T_{ci})}{(460 \text{ kJ gmol}^{-1}) q_{O_2} V}$$

## Consequences:

if max. cell concentration is lower than that desired -> improvement of heat-transfer facilities.

For example: area A could be increased by installing a longer coiling coil, or U could be improved by increasing the stirrer speed